

MIDWEST ENERGY, INC.

SCHEDULE DRIT

(Name of Issuing Utility)

Replacing Schedule Initial Sheet

Company Wide

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### 3.0 Process Overview

There are three interconnection review paths for interconnection of Interconnecting Customer's facility. They are described below and detailed in Figure 1 with accompanying notes. Tables 1 and 2, respectively, describe the timelines and fees for these paths. Unless otherwise noted, all times in the tariff reference Company business days under normal work conditions.

**Simplified** – For qualified inverter-based facilities with a power rating of ten (10) kW or less on radial circuits under certain conditions. (See Section 3.1.)

**Expedited** – For certified facilities that pass certain pre-specified screens on radial circuits. (See Section 3.2.)

**Standard** – For all generating facilities not qualifying for either the simplified or expedited interconnection review processes that have a power rating of twenty (20) MW or less on radial circuits. (See Section 3.3.)

All potential Interconnecting Customers without respect to facility ownership, dispatch control, or prime mover that plan to operate in parallel with the Company EDS must submit a completed application and pay the appropriate application fee to the Company. The application will be acknowledged by the Company, and the Interconnecting Customer will be notified of the application's completeness. Interconnecting Customers who are not likely to qualify for the simplified or expedited processes may opt to go directly into the standard process path. All other Interconnecting Customers must proceed through a series of screens to determine their ultimate interconnection path.

#### 3.1 Simplified Process

Interconnecting Customers using qualified (UL 1741) inverter-based facilities with power ratings of ten (10) kW or less requesting an interconnection on radial distribution circuits where the aggregate facility capacity on the circuit is less than 7.5 percent of the circuit annual peak load qualify for simplified interconnection. This is the fastest and least costly interconnection path. The simplified process includes these steps:

1. Interconnecting Customer submits completed Simplified Process Interconnection Application and Service Agreement (Exhibit A).

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2. Company acknowledges to the Interconnecting Customer receipt of the application within three (3) business days of receipt.
3. Company evaluates the application for completeness and notifies the Interconnecting Customer within ten (10) business days of receipt that the application is complete and accepted or is not complete and what information is missing.
4. Company verifies facility equipment passes screens 1, 2, and 3 in Figure 1.
5. Company signs application installation approval line and sends to Customer. In certain rare instances, the Company may require the Interconnecting Customer to pay for minor system modifications. If the Interconnecting Customer does not substantially complete construction within twelve (12) months after receiving approval from the Company, the Company will require the Interconnecting Customer to reapply for interconnection.
6. Upon receipt of Company-signed application and completion of installation, Interconnecting Customer returns Certificate of Completion (included with Exhibit A) to Company. Company may inspect facility for compliance with standards and may arrange for a witness test.
7. The Interconnecting Customer has no right to operate in parallel until a witness test has been satisfactorily performed according this Interconnection Tariff and Company standards, or previously waived by the Company on the application form. If the witness test is not satisfactory, the Company has the right to disconnect the facility. The Company is obligated to complete this witness test within ten (10) business days of receipt of the Certificate of Completion. If the Company does not inspect within ten (10) business days or later by mutual agreement of the parties, the witness test is deemed waived.
8. When the inspection/witness test is satisfactory, Company notifies Interconnecting Customer in writing that interconnection is authorized.

A simplified interconnection is generally provided at no cost to the Customer. Additional protection equipment not included with the certified generator or interconnection equipment package may be added at the Company's discretion as long as the performance of the system is not negatively impacted in any way and the Customer is not charged for any equipment in addition to that which is included in the certified equipment package.

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3.2 Expedited Process

Interconnecting Customers not qualifying for the simplified process or not in the standard process must pass a series of screens before qualifying for expedited interconnection. Depending on whether one or more screens are passed, additional steps may be required. The expedited process includes these steps:

1. Interconnecting Customer submits an Expedited/Standard Process Interconnection Application (Exhibit B).
2. Company acknowledges to the Interconnecting Customer receipt of the application within three (3) business days of receipt.
3. Company evaluates the application for completeness and notifies the Interconnecting Customer within ten (10) business days of receipt that the application is or is not complete and what information is missing.
4. Company then conducts an initial review which includes applying the screening methodology (Screens 1 through 8 in Figure 1).
5. Company reserves the right to conduct internal studies if deemed necessary and at no additional cost to the Interconnecting Customer, such as but not limited to: protection review, aggregate harmonics analysis review, aggregate power factor review and voltage regulation review. Likewise, when the proposed interconnection may result in reversed load flow through the Company's load tap changing transformer(s) or line voltage regulator(s), control modifications necessary to mitigate the effects may be made to these devices by the Company at the Interconnecting Customer's expense or the facility may be required to limit its output so reverse load flow cannot occur or to provide reverse power relaying that trips the facility.
6. As part of the expedited process, the Company will assess whether any system modifications are required for interconnection, even if the project passes all of the applicable screens. If the needed modifications are minor, that is, the requirement can be determined within the time allotted through the application fee and any internal studies, then the modification requirements, reasoning, and costs for these minor modifications will be identified and included in the executable Interconnection

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Service Agreement (Exhibit F). If the requirements cannot be determined within the time and cost allotted in the initial review and any internal studies, the Company may require that the project undergo additional review to determine those requirements. The time allocated for additional review is a maximum of ten (10) hours of engineering time.

7. If after this review, the Company still cannot determine the requirements, the Company will document the reasons why and will meet with the Interconnecting Customer to determine how to move the process forward to the parties' mutual satisfaction. In all cases, the Interconnecting Customer will pay for the cost of modifications as outlined in Section 5.
8. Assuming all applicable screens are passed, Company sends the Interconnecting Customer an executable Interconnection Service Agreement (Exhibit F) and a quote for any required system modifications or reasonable witness test costs.
9. If one or more screens are not passed, the Company will provide a Supplemental Review Agreement (Exhibit C). If the Interconnecting Customer executes the agreement, the Company will conduct the review. If the supplemental review determines the requirements for processing the application through the expedited process including any system modifications, then the modification requirements, reasoning, and costs for these modifications as defined in Section 5 will be identified and included in an executable Interconnection Service Agreement sent to the Interconnecting Customer for execution. If the supplemental review does not determine the requirements, it will include a proposed Impact Study Agreement (Exhibit D) as part of the standard process which will include an estimate of the cost of the study. Even if a proposed project initially fails a particular screen in the expedited process, if supplemental review shows that it can return to the expedited process then it will do so. Supplemental review includes up to ten (10) hours of engineering time.
10. Interconnecting Customer returns the signed Interconnection Service Agreement which is then executed by the Company.
11. Interconnecting Customer completes installation and, upon receipt of payment, the Company completes system modifications, if required.

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- 12. Company inspects completed installation for compliance with standards and attends witness test, if required.
- 13. Assuming inspection is satisfactory, Company notifies Interconnecting Customer in writing that interconnection is authorized.

3.3 Standard Process

The standard process has the longest maximum time duration and highest potential costs. There are two ways to enter the standard process:

- 1. Interconnecting Customers may choose to proceed immediately to the standard process.
  - a. Interconnecting Customer submits an Expedited/Standard Process Interconnection Application (Exhibit B).
  - b. Company acknowledges to the Interconnecting Customer receipt of the application within three (3) business days of receipt.
  - c. Company evaluates the application for completeness and notifies the Interconnecting Customer within ten (10) business days of receipt that the application is or is not complete and what information is missing.
- 2. Based upon the results of the initial and supplemental reviews, Interconnecting Customers applying under the simplified or expedited process may be required to enter the standard process.

The standard process includes these steps:

- 1. The Company will conduct an initial review that includes a scoping meeting/discussion with the Interconnecting Customer (if necessary) to review the application. At the scoping meeting the Company will provide pertinent information such as:
  - a. The available fault current at the proposed location;
  - b. The existing peak loading on the lines in the general vicinity of the facility;
  - c. The configuration of the transmission or distribution lines.

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2. Company provides an Impact Study Agreement (Exhibit D), including a cost estimate for the study. Where there are other potentially affected systems, and no single party is in a position to prepare an impact study covering all potentially affected systems, the Company will coordinate but not be responsible for the timing of any studies required to determine the impact of the interconnection request on other potentially affected systems. The Interconnecting Customer will be directly responsible to the potentially affected system operators for all costs of any additional studies required to evaluate the impact of the interconnection on the potentially affected systems.
3. Once the Interconnecting Customer executes the Impact Study Agreement and pays pursuant to the terms thereof, the Company will conduct the impact study.
4. If the Company determines, in accordance with good utility practice, that the system modifications to the Company EDS are not substantial, the impact study will determine the scope and cost of the modifications as defined in Section 5. If the Company determines, in accordance with good utility practice, that the system modifications to the Company EDS are substantial, the impact study will produce an estimate for the modification costs (within ±25 percent) and a Detailed Study Agreement (Exhibit E) and cost for Interconnecting Customer's approval.
5. After the Interconnecting Customer executes the Detailed Study Agreement and pays pursuant to the terms thereof, the Company will conduct the detailed study.
6. Upon completion of any necessary studies, the Company shall send the Interconnecting Customer an executable Interconnection Service Agreement (Exhibit F) including a quote for any required system modifications and reasonable witness test costs.
7. Interconnecting Customer returns signed Interconnection Service Agreement.
8. Interconnecting Customer completes installation and Company completes system modifications, if required.
9. Company inspects completed installation for compliance with requirements and attends witness test, if required.
10. Assuming inspection is satisfactory, Company notifies Interconnecting Customer in writing that interconnection is authorized.

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3.4 Time Frames

Unless otherwise noted, all days in this tariff reference Company business days under normal work conditions.

Table 1 shows the maximum timeframes allowed under the simplified, expedited, and standard review processes. The maximum time allowed for the Company to execute the entire simplified process is fifteen (15) days. The maximum time allowed for the Company to execute the entire expedited process on a radial system is forty (40) days where no supplemental review is needed and sixty (60) days where it is needed. The maximum time allowed for the Company to execute the entire standard process is 125 days for the standard review process if the Customer goes directly to standard review and 150 days if the Customer goes from the expedited process into standard review. The Company clock is stopped when awaiting information from Interconnecting Customers. Any delays caused by Interconnecting Customer will interrupt the applicable clock. Moreover, if an Interconnecting Customer fails to act expeditiously to continue the interconnection process or delays the process by failing to provide necessary information within the longer of fifteen (15) days or half the time allotted to the Company to perform a given step, or as extended by mutual agreement, then the Company may terminate the application and the Interconnecting Customer must re-apply. However, the Company will be required to retain the work previously performed in order to reduce the initial and supplemental review costs incurred for a period of no less than one (1) year. Notwithstanding these maximum time frames, the Company shall endeavor to meet the Customer's needs.

3.5 Fee Schedules

Table 2 shows the fees required for Interconnecting Customers to apply for interconnection. There are no fees for those facilities that qualify for the simplified path, except in certain unique cases where a system modification would be needed which would be covered by the Interconnecting Customer. Those qualifying for the expedited process on a radial distribution circuit will pay a \$3/KW application fee (minimum of \$300 and maximum of \$2,500) plus \$125/hour up to ten (10) hours (\$1,250) for supplemental review, when applicable, plus the actual cost as defined in Section 5 of any required system modifications. Those on the standard process path would pay the same application fee as in the expedited path as well as the actual cost as defined in Section 5 of any required system modifications, plus the actual cost of any impact and facility studies, if required.

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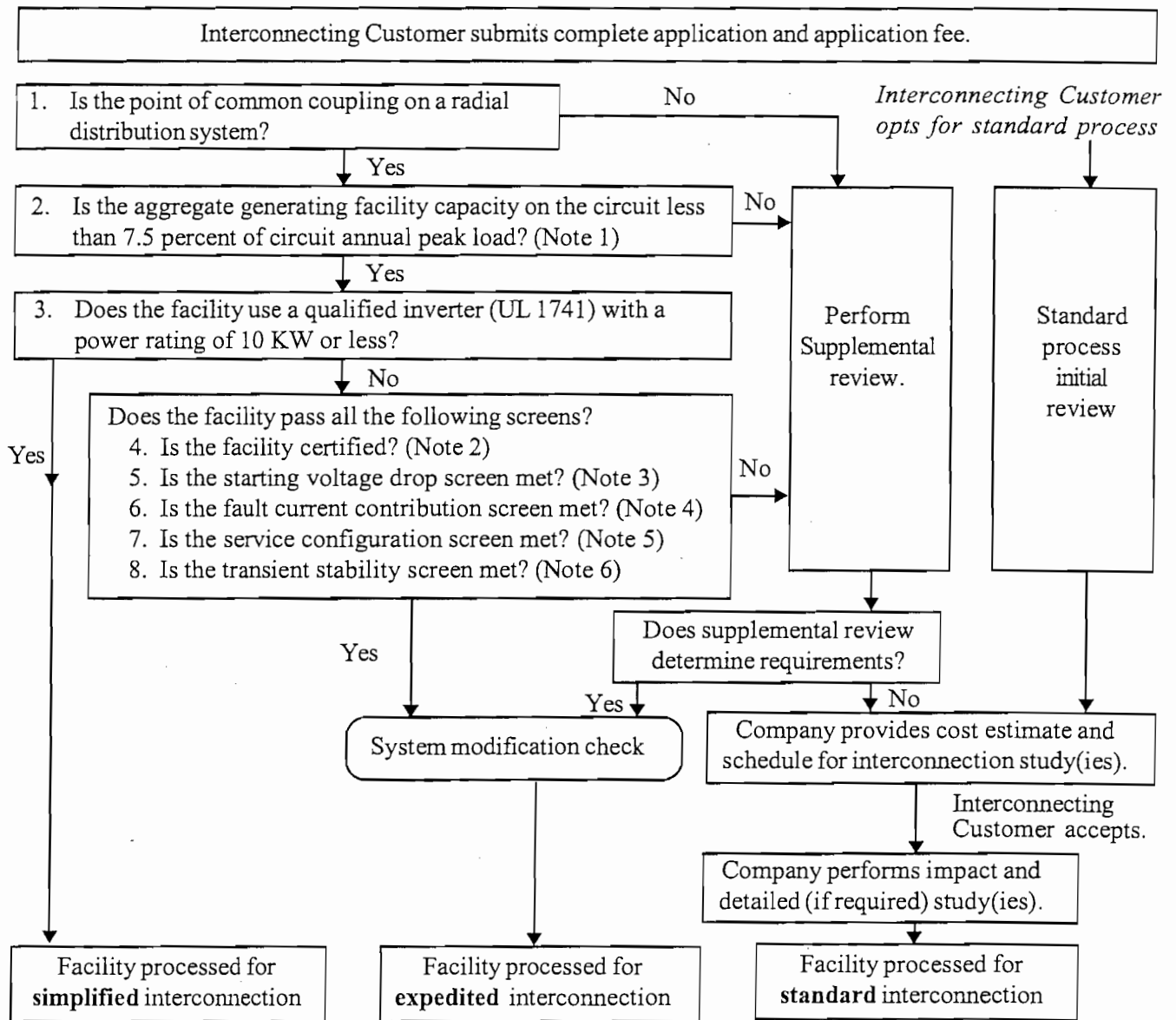
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Figure 1: Midwest Energy DR Interconnection Process



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**Explanatory Notes to Accompany Figure 1**

**Note 1.** On a typical radial distribution circuit ("feeder") the annual peak load is measured at the substation circuit breaker, which corresponds to the supply point of the circuit. A circuit may also be supplied from a tap on a higher-voltage line, sometimes called a sub-transmission line. On more complex radial circuits, where bidirectional power flow is possible due to alternative circuit supply options ("loop service"), the normal supply point is the loop tap.

**Note 2.** California and New York have adopted certification rules for expediting application review and approval of facility interconnections to utility electric delivery systems. Facilities in these states must meet the applicable commission-approved certification tests and criteria to qualify for the expedited process. Since the certification criterion is based on testing results from recognized national testing laboratories, the Company will accept facilities certified in California or New York as candidates for the expedited process. It is the Interconnecting Customer's responsibility to determine if, and submit verification that, the proposed facility has been certified in California or New York.

Underwriters Laboratories Inc. ("UL") standard UL 1741, "*Inverters, Converters and Charge Controllers for Use in Independent Power Systems*", addresses the electrical protection functionality of independent power systems. UL 1741 compliance is established by nationally recognized testing laboratories. Interconnecting Customers should contact the facility supplier to determine if it has been listed to this standard.

The IEEE 1547 Standard includes design specifications and provides technical and test specifications for facilities rated up to ten (10) MVA. To meet the IEEE standard, Interconnecting Customers must provide information or documentation that demonstrates how the facility is in compliance with the IEEE 1547 Standard. A facility will be deemed to be in compliance with the IEEE 1547 Standard if the Company previously determined it was in compliance. However, the Interconnecting Customer must provide immediate verbal notice and written notice within three (3) days after the in-service date of any facility modifications that may affect IEEE 1547 Standard compliance. Applicants who can demonstrate facility compliance with either the UL 1741 or IEEE 1547 standard will be eligible for the expedited process.

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**Note 3.** This screen only applies to facilities that start by motoring the generating unit(s) or the act of connecting synchronous generators. The voltage drops should be less than the criteria below. There are two options in determining whether starting voltage drop could be a problem. The option to be used is at the Company's discretion:

**Option 1:** The Company may determine that the facility's starting inrush current is equal to or less than the continuous ampere rating of the facility's service equipment.

**Option 2:** The Company may determine the impedances of the service distribution transformer (if present) and the secondary conductors to the facility's service equipment and perform a voltage drop calculation. Alternatively, the Company may use tables or nomographs to determine the voltage drop. Voltage drops caused by starting a generating unit as a motor must be less than 2.5 percent for primary interconnections and five (5) percent for secondary interconnections.

**Note 4.** The purpose of this screen is to ensure that fault (short-circuit) current contributions from all facilities will have no significant impact on the Company's protective devices and EDS. All of the following criteria must be met when applicable:

- a. The proposed facility, in aggregation with other generation on the circuit, will not contribute more than ten (10) percent to the circuit's maximum fault current under normal operating conditions at the point on the high voltage (primary) level nearest the proposed PCC.
- b. The proposed facility, in aggregate with other generation on the circuit, will not contribute fault current that causes any protective devices and equipment (including but not limited to substation breakers, fuse cutouts, and line reclosers), or Interconnecting Customer equipment on the EDS to exceed eighty (80) percent of the short-circuit interrupting capability. In addition, the proposed facility will not be installed on a circuit on which the available fault current already exceeds eighty (80) percent of the short-circuit interrupting capability.
- c. When measured at the secondary side (low side) of a shared distribution transformer, the short-circuit contribution of the proposed facility must be less than or equal to 2.5 percent of the interrupting rating of the Company's service equipment.

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Coordination of fault-current protection devices and systems will be examined as part of this screen. Upgrades of protective devices to allow interconnection shall be included in the scope of system modifications, the costs and payment responsibility of which will be determined as set forth in this Tariff.

**Note 5.** This screen includes a review of the type of electrical service provided to the Interconnecting Customer, including line configuration and the transformer connection to limit the potential for creating over voltages on the Company EDS due to a loss of ground during the operating time of any anti-islanding function.

Primary Distribution Line Type	Type of Interconnection to Primary Distribution Line	Result/Criteria
Three-phase, three wire	3-phase or single phase, phase-to-phase	Pass Screen
Three-phase, four wire	Effectively-grounded 3-phase or single-phase, line-to-neutral	Pass Screen

If the proposed generator is to be interconnected on a single-phase transformer shared secondary, the aggregate generation capacity on the shared secondary, including the proposed generator, will not exceed twenty (20) kVA.

If the proposed generator is single-phase and is to be interconnected on a center tap neutral of a 240 volt service, its addition will not create an imbalance between the two sides of the 240 volt service of more than twenty (20) percent of nameplate rating of the service transformer.

**Note 6.** The proposed facility, in aggregate with other facilities interconnected to the distribution low voltage side of the substation transformer feeding the distribution circuit where the facility proposes to interconnect, will not exceed ten (10) MW in an area where there are known or posted transient stability limitations to generating units located in the general electrical vicinity (e.g., three or four transmission voltage level buses from the PCC). Company shall endeavor to notify Interconnecting Customer of any known stability limitations.

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Table 1: Time Frames (Note 1)

Review Process			
	Simplified	Expedited	Standard
Acknowledge Receipt of Application	3 days	3 days	3 days
Review Application for Completeness	10 days	10 days	10 days
Complete Review of All Screens	10 days	25 days	N/A
Complete Supplemental Review (if needed)	N/A	20 days	N/A
Complete Standard Process Initial Review	N/A	N/A	20 days
Send Follow-on Studies Cost/Agreement	N/A	N/A	5 days
Complete Impact Study (if needed)	N/A	N/A	55 days
Complete Detailed Study (if needed)	N/A	N/A	30 days
Send Executable Agreement (Note 2)	Done	10 days	15 days
Total Maximum Days (Note 3)	15 days	40/60 days (Note 4)	125/150 days (Note 5)
Notice/Witness Test	<1 day with 10 day notice or by mutual agreement	1-2 days with 10 day notice or by mutual agreement	By mutual agreement

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Table 2: Fee Schedule

	Review Process		
	Simplified	Expedited	Standard
Application Fee (covers screening analyses)	0 (Note 1)	\$3/KW, minimum \$300, maximum \$2,500	\$3/KW, minimum \$300, maximum \$2,500
Supplemental Review or Additional Review (if applicable)	N/A	Up to 10 engineering hours at \$125/hr (\$1,250 maximum) (Note 2)	N/A
Standard Interconnection Initial Review	N/A	N/A	Included in application fee (if applicable)
Impact and Detailed Study (if required)	N/A	N/A	Actual cost (Note 3)
Facility Upgrades	N/A (Note 4)	Actual cost	Actual cost
O&M (Note 5)	N/A	TBD	TBD
Witness Test	0	Actual cost, up to \$300 + travel time (Note 6)	Actual cost

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**Explanatory Notes to Accompany Tables 1 and 2**

**Table 1: Time Frames**

**Note 1.** All days listed apply to Company business days under normal work conditions. All numbers in this table assume a reasonable number of applicants under review. All timelines may be extended by mutual agreement. Any delays caused by Interconnecting Customer will interrupt the applicable clock. Moreover, if an Interconnecting Customer fails to act expeditiously to continue the interconnection process or delays the process by failing to provide necessary information within the longer of fifteen (15) days or half the time allotted to the Company to perform a given step, or as extended by mutual agreement, then the Company may terminate the application and the Interconnecting Customer must reapply. However, the Company will be required to retain the work previously performed in order to reduce the initial and supplemental review costs incurred for a period of no less than one (1) year.

**Note 2.** Company delivers an executable agreement form. Once the Interconnection Service Agreement is delivered by the Company, any further modification and timetable will be established by mutual agreement.

**Note 3.** Individual step totals shown in columns exceed the maximum target. Company shall endeavor to meet total maximum days target.

**Note 4.** Shorter time applies to expedited process without supplemental review, longer time applies to expedited process with supplemental review.

**Note 5.** 125 day maximum applies to an Interconnecting Customer opting to begin directly in standard process, and 150 days is for an Interconnecting Customer who goes through initial expedited process first. In both cases this assumes that both the impact and facilities studies are needed. If the detailed study is not needed, the timelines will be shorter.

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**Table 2: Fee Schedule**

**Note 1.** If the Company determines that the facility does not qualify for the simplified process, it will let the Interconnecting Customer know what the appropriate fee is.

**Note 2.** Supplemental review and additional review are addressed in Section 3.2.

**Note 3.** This is the actual cost only attributable to the applicant. Any costs not expended from the application fee previously collected will go toward the costs of these studies.

**Note 4.** Not applicable except in certain rare cases where a system modification would be needed. If so, the modifications are the Interconnecting Customer's responsibility.

**Note 5.** O & M is defined as the Company's on-going operations and maintenance carrying charges on the incremental costs associated with serving the Interconnecting Customer. (Refer to Section 5.3 System Modification Costs and Section 5.4 Separation of Costs.)

**Note 6.** The fee will be based on actual cost up to \$300 plus driving time, unless Company representatives are required to do additional work due to extraordinary circumstances or due to problems on the Interconnecting Customer's side of the PCC (e.g., Company representative required to make two trips to the site), in which case Interconnecting Customer will cover the additional cost.

Issued \_\_\_\_\_  
Month Day Year  
Effective Upon Approval by Commission  
Month Day Year  
By Earnest A. Lehman President  
Earnest A. Lehman Signature of Officer Title

04-GIME-050-GIE  
Approved PR  
Kansas Corporation Commission  
May 27, 2004  
/S/ Susan K. Duffy