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I. COMMON SERVICE PROVISIONS

1. Definitions

- **1.1 Ancillary Services:** Those services that are necessary to support the transmission of capacity and energy from resources to loads while maintaining reliable operation of the Transmission Provider's Transmission System in accordance with Good Utility Practice.
- **1.2** Annual Transmission Costs: The total annual cost of the Transmission System for purposes of Network Integration Transmission Service shall be the amount specified in Attachment H until amended by the Transmission Provider or modified by the Commission.
- **1.3 Application:** A request by an Eligible Customer for transmission service pursuant to the provisions of the Tariff.
- **1.4 Commission:** The Kansas Corporation Commission.
- **1.5 Completed Application:** An Application that satisfies all of the information and other requirements of the Tariff, including any required deposit.
- **1.6 Control Area:** An electric power system or combination of electric power systems to which a common automatic generation control scheme is applied in order to:
 - match, at all times, the power output of the generators within the electric power system(s) and capacity and energy purchased from entities outside the electric power system(s), with the load within the electric power system(s);
 - (2) maintain scheduled interchange with other Control Areas, within the limits of Good Utility Practice;
 - (3) maintain the frequency of the electric power system(s) within reasonable limits in accordance with Good Utility Practice; and
 - (4) provide sufficient generating capacity to maintain operating reserves in accordance with Good Utility Practice.
- **1.7 Curtailment:** A reduction in firm or non-firm transmission service in response to a transmission capacity shortage as a result of system reliability conditions.

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- **1.8 Delivering Party:** The entity supplying capacity and energy to be transmitted at Point(s) of Receipt.
- **1.9 Designated Agent:** Any entity that performs actions or functions on behalf of the Transmission Provider, an Eligible Customer, or the Transmission Customer required under the Tariff.
- **1.10 Direct Assignment Facilities:** Facilities or portions of facilities that are constructed by the Transmission Provider for the sole use/benefit of a particular Transmission Customer requesting service under the Tariff. Direct Assignment Facilities shall be specified in the Service Agreement that governs service to the Transmission Customer and shall be subject to Commission approval.
- **1.11** Eligible Customer: Any electric utility (including the Transmission Provider and any power marketer), Federal power marketing agency, or any person generating electric energy for sale for resale is an Eligible Customer under the Tariff. Electric energy sold or produced by such entity may be electric energy produced in the United States, Canada or Mexico.
- **1.12** Facilities Study: An engineering study conducted by the Transmission Provider to determine the required modifications to the Transmission Provider's Transmission System, including the cost and scheduled completion date for such modifications that will be required to provide the requested transmission service.
- **1.13** Firm Point-To-Point Transmission Service: Transmission Service under this Tariff that is reserved and/or scheduled between specified Points of Receipt and Delivery pursuant to Part II of this Tariff.
- **1.14 Good Utility Practice:** Any of the practices, methods and acts engaged in or approved by a significant portion of the electric utility industry during the relevant time period, or any of the practices, methods and acts which, in the exercise of reasonable judgment in light of the facts known at the time the decision was made, could have been expected to accomplish the desired result at a reasonable cost consistent with good business practices, reliability, safety and expedition. Good Utility Practice is not intended to be limited to the optimum practice, method, or act to the exclusion of all others, but rather to be acceptable practices, methods, or acts generally accepted in the region.



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- **1.15** Interruption: A reduction in non-firm transmission service due to economic reasons pursuant to Section **14.7**.
- 1.16 Load Ratio Share: Ratio of a Transmission Customer's rolling twelve-month average Network Load to the Transmission Provider's rolling twelve-month average total load computed in accordance with Sections 34.2 and 34.3 of the Network Integration Transmission Service under Part III of the Tariff. During the first eleven (11) months of service to an individual Network Integration Transmission Service Customer, where no historical load information is available, the values used in calculating the rolling twelve month average load of the Network Transmission Customer shall be estimated as to their values coincident with the transmission system peak load.
- **1.17 Load Shedding:** The systematic reduction of system demand by temporarily decreasing load in response to transmission system or area capacity shortages, system instability, or voltage control considerations under Part III of the Tariff.
- **1.18 Long-Term Firm Point-To-Point Transmission Service:** Firm Point-To-Point Transmission Service under Part II of the Tariff with a term of one year or more.
- **1.19** Native Load Customers: The wholesale and retail power customers of the Transmission Provider on whose behalf the Transmission Provider, by statute, franchise, regulatory requirement, or contract, has undertaken an obligation to construct and operate the Transmission Provider's system to meet the reliable electric needs of such customers.
- **1.20** Network Customer: An entity receiving transmission service pursuant to the terms of the Transmission Provider's Network Integration Transmission Service under Part III of the Tariff.
- **1.21** Network Integration Transmission Service: The transmission service provided under Part III of the Tariff.
- **1.22** Network Load: The load that a Network Customer designates for Network Integration Transmission Service under Part III of the Tariff. The Network Customer's Network Load shall include all load served by the output of any Network Resources designated by the Network Customer. A Network Customer may elect to designate less than its total load as Network Load but may not designate only part of the load at a discrete Point of Delivery.



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Where a Eligible Customer has elected not to designate a particular load at discrete points of delivery as Network Load, the Eligible Customer is responsible for making separate arrangements under Part II of the Tariff for any Point-To-Point Transmission Service that may be necessary for such non-designated load.

- **1.23** Network Operating Agreement: An executed agreement that contains the terms and conditions under which the Network Customer shall operate its facilities and the technical and operational matters associated with the implementation of Network Integration Transmission Service under Part III of the Tariff.
- **1.24** Network Operating Committee: A group made up of representatives from the Network Customer(s) and the Transmission Provider established to coordinate operating criteria and other technical considerations required for implementation of Network Integration Transmission Service under Part III of this Tariff.
- **1.25** Network Resource: Any designated generating resource owned, purchased or leased by a Network Customer under the Network Integration Transmission Service Tariff. Network Resources do not include any resource, or any portion thereof, that is committed for sale to third parties or otherwise cannot be called upon to meet the Network Customer's Network Load on a non-interruptible basis.
- **1.26** Network Upgrades: Modifications or additions to transmission-related facilities that are integrated with and support the Transmission Provider's overall Transmission System for the general benefit of all users of such Transmission System.
- 1.27 Non-Firm Point-To-Point Transmission Service: Point-To-Point Transmission Service under the Tariff that is reserved and scheduled on an as-available basis and is subject to Curtailment or Interruption as set forth in Section 14.7 under Part II of this Tariff. Non-Firm Point-To-Point Transmission Service is available on a stand-alone basis for periods ranging from one hour to one month.
- **1.28 Open Access Same-Time Information System (OASIS):** The information system and standards of conduct contained in Part 37 of the Federal Energy Regulatory Commission (FERC) regulations and all additional





requirements implemented by subsequent FERC orders dealing with OASIS.

- **1.29 Part I:** Tariff Definitions and Common Service Provisions contained in Sections 2 through 12.
- **1.30 Part II:** Tariff Sections 13 through 27 pertaining to Point-To-Point Transmission Service in conjunction with the applicable Common Service Provisions of Part I and appropriate Schedules and Attachments.
- **1.31 Part III:** Tariff Sections 28 through 35 pertaining to Network Integration Transmission Service in conjunction with the applicable Common Service Provisions of Part I and appropriate Schedules and Attachments.
- **1.32 Parties:** The Transmission Provider and the Transmission Customer receiving service under the Tariff.
- **1.33 Point(s) of Delivery:** Point(s) on the Transmission Provider's Transmission System where capacity and energy transmitted by the Transmission Provider will be made available to the Receiving Party under Part II of the Tariff. The Point(s) of Delivery shall be specified in the Service Agreement for Long-Term Firm Point-To-Point Transmission Service.
- **1.34 Point(s) of Receipt:** Point(s) of interconnection on the Transmission Provider's Transmission System where capacity and energy will be made available to the Transmission Provider by the Delivering Party under Part II of the Tariff. The Point(s) of Receipt shall be specified in the Service Agreement for Long-Term Firm Point-To-Point Transmission Service.
- **1.35 Point-To-Point Transmission Service:** The reservation and transmission of capacity and energy on either a firm or non-firm basis from the Point(s) of Receipt to the Point(s) of Delivery under Part II of the Tariff.
- **1.36 Power Purchaser:** The entity that is purchasing the capacity and energy to be transmitted under the Tariff.
- **1.37 Receiving Party:** The entity receiving the capacity and energy transmitted by the Transmission Provider to Point(s) of Delivery.
- **1.38 Regional Transmission Group (RTG):** A voluntary organization of transmission owners, transmission users and other entities approved by the Commission to efficiently coordinate transmission planning (and expansion), operation and use on a regional (and interregional) basis.
- **1.39 Reserved Capacity:** The maximum amount of capacity and energy that the Transmission Provider agrees to transmit for the Transmission Customer





over the Transmission Provider's Transmission System between the Point(s) of Receipt and the Point(s) of Delivery under Part II of the Tariff. Reserved Capacity shall be expressed in terms of whole megawatts on a sixty (60) minute interval (commencing on the clock hour) basis.

- **1.40** Service Agreement: The initial agreement and any amendments or supplements thereto entered into by the Transmission Customer and the Transmission Provider for service under the Tariff.
- **1.41** Service Commencement Date: The date the Transmission Provider begins to provide service pursuant to the terms of an executed Service Agreement, or the date the Transmission Provider begins to provide service in accordance with Section **15.3** or Section **29.1** under the Tariff.
- **1.42** Short-Term Firm Point-To-Point Transmission Service: Firm Point-To-Point Transmission Service under Part II of the Tariff with a term of less than one year.
- **1.43** System Impact Study: An assessment by the Transmission Provider of (i) the adequacy of the Transmission System to accommodate a request for either Firm Point-To-Point Transmission Service or Network Integration Transmission Service and (ii) whether any additional costs may be incurred in order to provide transmission service.
- **1.44** Third-Party Sale: Any sale for resale in interstate commerce to a Power Purchaser that is not designated as part of Network Load under the Network Integration Transmission Service.
- **1.45 Transmission Customer:** Any Eligible Customer (or its Designated Agent) that (i) executes a Service Agreement, or (ii) requests in writing that the Transmission Provider file with the Commission, a proposed unexecuted Service Agreement to receive transmission service under Part II of the Tariff. This term is used in the Part I Common Service Provisions to include customers receiving transmission service under Part II and Part III of this Tariff.
- **1.46** Transmission Provider: Midwest Energy, Inc.
- **1.47 Transmission Provider's Monthly Transmission System Peak:** The maximum firm usage of the Transmission Provider's Transmission System in a calendar month.
- **1.48 Transmission Service:** Point-To-Point Transmission Service provided under Part II of the Tariff on a firm and non-firm basis.





1.49 Transmission System: The facilities owned, controlled, or operated by the Transmission Provider that are used to provide transmission service under Part II and Part III of the Tariff.

2. Initial Allocation and Renewal Procedures

- 2.1 Initial Allocation of Available Transmission Capability: For purposes of determining whether existing capability on the Transmission Provider's Transmission System is adequate to accommodate a request for firm service under this Tariff, all Completed Applications for new firm transmission service received during the initial sixty (60) day period commencing with the effective date of the Tariff will be deemed to have been filed simultaneously. A lottery system conducted by an independent party shall be used to assign priorities for Completed Applications filed simultaneously. All Completed Applications for firm transmission service received after the initial sixty (60) day period shall be assigned a priority pursuant to Section **13.2**.
- 2.2 Reservation Priority For Existing Firm Service Customers: Existing firm service customers (wholesale requirements and transmission-only, with a contract term of one-year or more), have the right to continue to take transmission service from the Transmission Provider when the contract expires, rolls over or is renewed. This transmission reservation priority is independent of whether the existing customer continues to purchase capacity and energy from the Transmission Provider or elects to purchase capacity and energy from another supplier. If at the end of the contract term, the Transmission Provider's Transmission System cannot accommodate all of the requests for transmission service the existing firm service customer must agree to accept a contract term at least equal to a competing request by any new Eligible Customer and to pay the current just and reasonable rate, as approved by the Commission, for such service. This transmission reservation priority for existing firm service customers is an ongoing right that may be exercised at the end of all firm contract terms of one-year or longer.

3. Ancillary Services

Ancillary Services are needed with transmission service to maintain reliability within and among the Control Areas affected by the transmission service. The Transmission Provider is required to provide (or offer to arrange with the local Control Area





operator as discussed below), and the Transmission Customer is required to purchase, the following Ancillary Services (i) Scheduling, System Control and Dispatch, and (ii) Reactive Supply and Voltage Control from Generation Sources.

The Transmission Provider is required to offer to provide (or offer to arrange with the local Control Area operator as discussed below) the following Ancillary Services only to the Transmission Customer serving load within the Transmission Provider's Control Area (i) Regulation and Frequency Response, (ii) Energy Imbalance, (iii) Operating Reserve - Spinning, and (iv) Operating Reserve - Supplemental. The Transmission Customer serving load within the Transmission Provider's Control Area is required to acquire these Ancillary Services, whether from the Transmission Provider, from a third party, or by self-supply. The Transmission Customer may not decline the Transmission Provider's offer of Ancillary Services unless it demonstrates that it has acquired the Ancillary Services from another source. The Transmission Customer must list in its Application which Ancillary Services it will purchase from the Transmission Provider.

An optional ancillary service, Loss Compensation Service, will be made available to the Transmission Customer. This service will set forth the amount of losses the Transmission Customer must supply if the Transmission Customer elects to furnish the losses directly, as well as the applicable rates to be charged if the Transmission Customer elects to purchase the service from the Transmission Provider.

If the Transmission Provider is a public utility providing transmission service but is not a Control Area operator, it may be unable to provide some or all of the Ancillary Services. In this case, the Transmission Provider can fulfill its obligation to provide Ancillary Services by acting as the Transmission Customer's agent to secure these Ancillary Services from the Control Area operator. The Transmission Customer may elect to (i) have the Transmission Provider act as its agent, (ii) secure the Ancillary Services directly from the Control Area operator, or (iii) secure the Ancillary Services (discussed in Schedules **3**, **4**, **5** and **6**) from a third party or by self-supply when technically feasible.

The Transmission Provider shall specify the rate treatment and all related terms and conditions in the event of an unauthorized use of Ancillary Services by the Transmission Customer.

The specific Ancillary Services, prices and/or compensation methods are described on the Schedules that are attached to and made a part of the Tariff. Three principal requirements apply to discounts for Ancillary Services provided by the Transmission Provider in conjunction with its provision of transmission service as follows: (1) any





offer of a discount made by the Transmission Provider must be announced to all Eligible Customers solely by posting on the Oasis, (2) any customer-initiated requests for discounts (including requests for use by one's wholesale merchant or an affiliate's use) must occur solely by posting on the OASIS, and (3) once a discount is negotiated, details must be immediately posted on the OASIS. A discount agreed upon for an Ancillary Service must be offered for the same period to all Eligible Customers on the Transmission Provider's system. Sections 3.1 through 3.7 below list the six required Ancillary Services and the one optional Ancillary Service.

- **3.1** Scheduling, System Control and Dispatch Service: The rates and/or methodology are described in Schedule 1.
- **3.2** Reactive Supply and Voltage Control from Generation Sources Service: The rates and/or methodology are described in Schedule **2**.
- **3.3 Regulation and Frequency Response Service:** Where applicable the rates and/or methodology are described in Schedule **3**.
- **3.4 Energy Imbalance Service:** Where applicable the rates and/or methodology are described in Schedule **4**.
- **3.5 Operating Reserve Spinning Reserve Service:** Where applicable the rates and/or methodology are described in Schedule **5**.
- **3.6 Operating Reserve Supplemental Reserve Service:** Where applicable the rates and/or methodology are described in Schedule **6**.
- **3.7 Loss Compensation Service:** Where applicable the rates and/or methodology are described in Schedule **9**.

4. Open Access Same-Time Information System (OASIS)

Terms and conditions regarding Open Access Same-Time Information System and standards of conduct are set forth in 18 CFR § 37 of the FERC regulations (Open Access Same-Time Information System and Standards of Conduct for Public Utilities). In the event available transmission capability as posted on the OASIS is insufficient to accommodate a request for firm transmission service, additional studies may be required as provided by this Tariff pursuant to Sections **19** and **32**.

5. Local Furnishing Bonds

5.1 Transmission Providers That Own Facilities Financed by Local Furnishing Bonds: This provision is applicable only to Transmission Providers that have financed facilities for the local furnishing of electric energy with taxexempt bonds, as described in Section 142(f) of the Internal Revenue Code



("local furnishing bonds"). Notwithstanding any other provisions of the Tariff, the Transmission Provider shall not be required to provide transmission service to any Eligible Customer pursuant to this Tariff if the provision of such transmission service would jeopardize the tax-exempt status of any local furnishing bond(s) used to finance the Transmission Provider's facilities that would be used in providing such transmission service.

5.2 Alternative Procedures for Requesting Transmission Service:

(i) If the Transmission Provider determines that the provisions of transmission service requested by an Eligible Customer would jeopardize the tax-exempt status of any local furnishing bond(s) used to finance its facilities that would be used in providing such transmission service, it shall advise the Eligible Customer within thirty (30) days of receipt of the Completed Application.

(ii) If the Eligible Customer thereafter renews its request for the same transmission service referred to in (i) by tendering an application under Section 211 of the Federal Power Act, the Transmission Provider, within ten (10) days of receiving a copy of the Section 211 application, will waive rights to a request for service under Section 213(a) of the Federal Power Act and to the issuance of a proposed order under Section 212(c) of the Federal Power Act. The Commission, upon the receipt of the Transmission Provider's waiver of its rights to a request for service under Section 213(a) of the Federal Power Act and to the issuance of a proposed order under Section 213(a) of the Federal Power Act and to the issuance of a proposed order under Section 213(a) of the Federal Power Act and to the issuance of a proposed order under Section 212(c) of the Federal Power Act, shall issue an order under Section 211 of the Federal Power Act. Upon issuance of the order under Section 211 of the Federal Power Act, the Transmission Provider shall be required to provide the requested transmission service in accordance with terms and conditions of this Tariff.

6. Reciprocity

A Transmission Customer receiving transmission service under this Tariff agrees to provide comparable transmission service that it is capable of providing to the Transmission Provider on similar terms and conditions over facilities used for the transmission of electric energy owned, controlled or operated by the Transmission Customer and over facilities used for the transmission of electric energy owned, controlled or operated by the Transmission Customer's corporate affiliates. A

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Transmission Customer that is a member of a power pool or Regional Transmission Group also agrees to provide comparable transmission service to the members of such power pool and Regional Transmission Group on similar terms and conditions over facilities used for the transmission of electric energy owned, controlled or operated by the Transmission Customer and over facilities used for the transmission of electric energy owned, controlled or operated by the Transmission Customer's corporate affiliates.

This reciprocity requirement applies not only to the Transmission Customer that obtains transmission service under the Tariff, but also to all parties to a transaction that involves the use of transmission service under the Tariff, including the power seller, buyer and any intermediary, such as a power marketer. This reciprocity requirement also applies to any Eligible Customer that owns, controls or operates transmission facilities that uses an intermediary, such as a power marketer, to request transmission service under the Tariff. If the Transmission Customer does not own, control or operate transmission facilities, it must include in its Application a sworn statement of one of its duly authorized officers or other representatives that the purpose of its Application is not to assist an Eligible Customer to avoid the requirements of this provision.

7. Billing and Payment

- 7.1 Billing Procedure: Within a reasonable time after the first day of each month, the Transmission Provider shall submit an invoice to the Transmission Customer for the charges for all services furnished under the Tariff during the preceding month. The invoice shall be paid by the Transmission Customer within twenty (20) days of receipt. All payments shall be made in immediately available funds payable to the Transmission Provider, or by wire transfer to a bank named by the Transmission Provider.
- **7.2** Interest on Unpaid Balances: Interest on any unpaid amounts (including amounts placed in escrow) shall be calculated in accordance with the methodology specified for interest on refunds in the Commission's security deposit regulations. Interest on delinquent amounts shall be calculated from the due date of the bill to the date of payment. When payments are made by mail, bills shall be considered as having been paid on the date of receipt by the Transmission Provider.



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7.3 Customer Default: In the event the Transmission Customer fails, for any reason other than a billing dispute as described below, to make payment to the Transmission Provider on or before the due date as described above, and such failure of payment is not corrected within thirty (30) calendar days after the Transmission Provider notifies the Transmission Customer to cure such failure, a default by the Transmission Customer shall be deemed to exist. Upon the occurrence of a default, the Transmission Provider may initiate a proceeding with the Commission to terminate service but shall not terminate service until the Commission so approves any such request. In the event of a billing dispute between the Transmission Provider and the Transmission Customer, the Transmission Provider will continue to provide service under the Service Agreement as long as the Transmission Customer (i) continues to make all payments not in dispute, and (ii) pays into an independent escrow account the portion of the invoice in dispute, pending resolution of such dispute. If the Transmission Customer fails to meet these two requirements for continuation of service, then the Transmission Provider may provide notice to the Transmission Customer of its intention to suspend service in sixty (60) days, in accordance with Commission policy.

8. Accounting for the Transmission Provider's Use of the Tariff

The Transmission Provider shall record the following amounts, as outlined below.

- **8.1 Transmission Revenues:** Include in a separate operating revenue account or subaccount the revenues it receives from Transmission Service when making Third-Party Sales under Part II of the Tariff.
- 8.2 Study Costs and Revenues: Include in a separate transmission operating expense account or subaccount, costs properly chargeable to expense that are incurred to perform any System Impact Studies or Facilities Studies which the Transmission Provider conducts to determine if it must construct new transmission facilities or upgrades necessary for its own uses, including making Third-Party Sales under the Tariff; and include in a separate operating revenue account or subaccount the revenues received for System Impact Studies or Facilities Studies performed when such amounts are separately stated and identified in the Transmission Customer's billing under the Tariff.



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9. Regulatory Filings

Nothing contained in the Tariff or any Service Agreement shall be construed as affecting in any way the right of the Transmission Provider to unilaterally make application to the Commission for a change in rates, terms and conditions, charges, classification of service, Service Agreement, rule or regulation pursuant to the Commission's rules and regulations and Kansas statutes.

Nothing contained in the Tariff or any Service Agreement shall be construed as affecting in any way the ability of any Party receiving service under the Tariff to exercise its rights under the Federal Power Act and pursuant to the Commission's rules and regulations promulgated thereunder.

10. Force Majeure and Indemnification

- **10.1** Force Majeure: An event of Force Majeure means any act of God, labor disturbance, act of the public enemy, war, insurrection, riot, fire, storm or flood, explosion, breakage or accident to machinery or equipment, any Curtailment, order, regulation or restriction imposed by governmental military or lawfully established civilian authorities, or any other cause beyond a Party's control. A Force Majeure event does not include an act of negligence or intentional wrongdoing. Neither the Transmission Provider nor the Transmission Customer will be considered in default as to any obligation under this Tariff if prevented from fulfilling the obligation due to an event of Force Majeure. However, a Party whose performance under this Tariff is hindered by an event of Force Majeure shall make all reasonable efforts to perform its obligations under this Tariff.
- **10.2** Indemnification: The Transmission Customer shall at all times indemnify, defend, and save the Transmission Provider harmless from, any and all damages, losses, claims, including claims and actions relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the Transmission Provider's performance of its obligations under this Tariff on behalf of the Transmission Customer, except in cases of negligence or intentional wrongdoing by the Transmission Provider.

11. Creditworthiness

For the purpose of determining the ability of the Transmission Customer to meet its obligations related to service hereunder, the Transmission Provider may require





reasonable credit review procedures. This review shall be made in accordance with standard commercial practices. In addition, the Transmission Provider may require the Transmission Customer to provide and maintain in effect during the term of the Service Agreement, an unconditional and irrevocable letter of credit as security to meet its responsibilities and obligations under the Tariff, or an alternative form of security proposed by the Transmission Customer and acceptable to the Transmission Provider and consistent with commercial practices established by the Uniform Commercial Code that protects the Transmission Provider against the risk of non-payment.

12. Dispute Resolution Procedures

- 12.1 Internal Dispute Resolution Procedures: Any dispute between a Transmission Customer and the Transmission Provider involving Transmission Service under the Tariff (excluding applications for rate changes or other changes to the Tariff, or to any Service Agreement entered into under the Tariff, which shall be presented directly to the Commission for resolution) shall be referred to a designated senior representative of the Transmission Provider and a senior representative of the Transmission Customer for resolution on an informal basis as promptly as practicable. In the event the designated representatives are unable to resolve the dispute within thirty (30) days [or such other period as the Parties may agree upon] by mutual agreement, such dispute may be submitted to arbitration and resolved in accordance with the arbitration procedures set forth below.
- **12.2 External Arbitration Procedures:** Any arbitration initiated under the Tariff shall be conducted before a single neutral arbitrator appointed by the Parties. If the Parties fail to agree upon a single arbitrator within ten (10) days of the referral of the dispute to arbitration, each Party shall choose one arbitrator who shall sit on a three-member arbitration panel. The two arbitrators so chosen shall within twenty (20) days select a third arbitrator to chair the arbitration panel. In either case, the arbitrators shall be knowledgeable in electric utility matters, including electric transmission and bulk power issues, and shall not have any current or past substantial business or financial relationships with any party to the arbitration (except prior arbitration). The arbitrator(s) shall provide each of the Parties an opportunity to be heard and, except as otherwise provided herein, shall

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generally conduct the arbitration in accordance with the Commercial Arbitration Rules of the American Arbitration Association and any applicable Commission regulations or Regional Transmission Group rules.

- **12.3 Arbitration Decisions:** Unless otherwise agreed, the arbitrator(s) shall render a decision within ninety (90) days of appointment and shall notify the Parties in writing of such decision and the reasons therefor. The arbitrator(s) shall be authorized only to interpret and apply the provisions of the Tariff and any Service Agreement entered into under the Tariff and shall have no power to modify or change any of the above in any manner. The decision of the arbitrator(s) shall be final and binding upon the Parties, and judgment on the award may be entered in any court having jurisdiction. The decision of the arbitrator(s), or the decision itself, violated the standards set forth in the Federal Arbitration Act and/or the Administrative Dispute Resolution Act. The final decision of the arbitrator must also be filed with the Commission if it affects jurisdictional rates, terms and conditions of service or facilities.
- **12.4 Costs:** Each Party shall be responsible for its own costs incurred during the arbitration process and for the following costs, if applicable:
 - (a) the cost of the arbitrator chosen by the Party to sit on the threemember panel and one half of the cost of the third arbitrator chosen; or
 - (b) one half the cost of the single arbitrator jointly chosen by the Parties.
- **12.5 Rights to File a Complaint:** Nothing in this section shall restrict the rights of any party to file a Complaint with the Commission.

II. POINT-TO-POINT TRANSMISSION SERVICE

Preamble

The Transmission Provider will provide Firm and Non-Firm Point-To-Point Transmission Service pursuant to the applicable terms and conditions of this Tariff. Point-To-Point Transmission Service is for the receipt of capacity and energy at designated Point(s) of Receipt and the transmission of such capacity and energy to designated Point(s) of Delivery.

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13. Nature of Firm Point-To-Point Transmission Service

- **13.1 Term:** The minimum term of Firm Point-To-Point Transmission Service shall be one day, and the maximum term shall be specified in the Service Agreement.
- 13.2 **Reservation Priority:** Long-Term Firm Point-To-Point Transmission Service shall be available on a first-come, first-served basis *i.e.*, in the chronological sequence in which each Transmission Customer has reserved service. Reservations for Short-Term Firm Point-To-Point Transmission Service will be conditional based upon the length of the requested transaction. If the Transmission System becomes oversubscribed, requests for longer term service may preempt requests for shorter term service up to the following deadlines: one day before the commencement of daily service, one week before the commencement of weekly service, and one month before the commencement of monthly service. Before the conditional reservation deadline, if available transmission capability is insufficient to satisfy all Applications, an Eligible Customer with a reservation for shorter term service has the right of first refusal to match any longer term reservation before losing its reservation priority. A longer term competing request for Short-Term Firm Point-To-Point Transmission Service will be granted if the Eligible Customer with the right of first refusal does not agree to match the competing request within 24 hours (or earlier if necessary to comply with the scheduling deadlines provided in section 13.8) from being notified by the Transmission Provider of a longer-term competing request for Short-Term Firm Point-To-Point Transmission Service. After the conditional reservation deadline, service will commence pursuant to the terms of Part II of the Tariff. Firm Point-To-Point Transmission Service will always have a reservation priority over Non-Firm Point-To-Point Transmission Service under the Tariff. All Long-Term Firm Point-To-Point Transmission Service will have equal reservation priority with Native Load Customers and Network Customers. Reservation priorities for existing firm service customers are provided in Section 2.2.
- **13.3** Use of Firm Transmission Service by the Transmission Provider: The Transmission Provider will be subject to the rates, terms and conditions of Part II of the Tariff when making Third-Party Sales under (i) agreements executed on or after July 9, 1996 or (ii) agreements executed prior to the





aforementioned date that the Commission requires to be unbundled, by the date specified by the Commission. The Transmission Provider will maintain separate accounting, pursuant to Section **8**, for any use of the Point-To-Point Transmission Service to make Third-Party Sales.

- 13.4 Service Agreements: The Transmission Provider shall offer a standard form Firm Point-To-Point Transmission Service Agreement (Attachment A) to an Eligible Customer when it submits a Completed Application for Long-Term Firm Point-To-Point Transmission Service. The Transmission Provider shall offer a standard form Firm Point-To-Point Transmission Service Agreement (Attachment A) to an Eligible Customer when it first submits a Completed Application for Short-Term Firm Point-To-Point Transmission Service pursuant to the Tariff. Executed Service Agreements that contain the information required under the Tariff shall be filed with the Commission in compliance with applicable Commission regulations.
- 13.5 Transmission Customer Obligations for Facility Additions or Redispatch Costs: In cases where the Transmission Provider determines that the Transmission System is not capable of providing Firm Point-To-Point Transmission Service without (1) degrading or impairing the reliability of service to Native Load Customers, Network Customers and other Transmission Customers taking Firm Point-To-Point Transmission Service, or (2) interfering with the Transmission Provider's ability to meet prior firm contractual commitments to others, the Transmission Provider will be obligated to expand or upgrade its Transmission System pursuant to the terms of Section 15.4. The Transmission Customer must agree to compensate the Transmission Provider for any necessary transmission facility additions pursuant to the terms of Section 27. To the extent the Transmission Provider can relieve any system constraint more economically by redispatching the Transmission Provider's resources than through constructing Network Upgrades, it shall do so, provided that the Eligible Customer agrees to compensate the Transmission Provider pursuant to the terms of Section 27. Any redispatch, Network Upgrade or Direct Assignment Facilities costs to be charged to the Transmission Customer on an incremental basis under the Tariff will be specified in the Service Agreement prior to initiating service.



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Curtailment of Firm Transmission Service: In the event that a Curtailment 13.6 on the Transmission Provider's Transmission System, or a portion thereof, is required to maintain reliable operation of such system, Curtailments will be made on a non-discriminatory basis to the transaction(s) that effectively relieve the constraint. If multiple transactions require Curtailment, to the extent practicable and consistent with Good Utility Practice, the Transmission Provider will curtail service to Network Customers and Transmission Customers taking Firm Point-To-Point Transmission Service on a basis comparable to the curtailment of service to the Transmission Provider's Native Load Customers. All Curtailments will be made on a non-discriminatory basis; however, Non-Firm Point-To-Point Transmission Service shall be subordinate to Firm Transmission Service. When the Transmission Provider determines that an electrical emergency exists on its Transmission System and implements emergency procedures to Curtail Firm Transmission Service, the Transmission Customer shall make the required reductions upon request of the Transmission Provider. However, the Transmission Provider reserves the right to Curtail, in whole or in part, any Firm Transmission Service provided under the Tariff when, in the Transmission Provider's sole discretion, an emergency or other unforeseen condition impairs or degrades the reliability of its Transmission System. The Transmission Provider will notify all affected Transmission Customers in a timely manner of any scheduled Curtailments.

13.7 Classification of Firm Transmission Service:

- (a) The Transmission Customer taking Firm Point-To-Point Transmission Service may (1) change its Receipt and Delivery Points to obtain service on a non-firm basis consistent with the terms of Section 22.1 or (2) request a modification of the Points of Receipt or Delivery on a firm basis pursuant to the terms of Section 22.2.
- (b) The Transmission Customer may purchase transmission service to make sales of capacity and energy from multiple generating units that are on the Transmission Provider's Transmission System. For such a purchase of transmission service, the resources will be designated as multiple Points of Receipt, unless the multiple generating units are at





the same generating plant in which case the units would be treated as a single Point of Receipt.

- (c) The Transmission Provider shall provide firm deliveries of capacity and energy from the Point(s) of Receipt to the Point(s) of Delivery. Each Point of Receipt at which firm transmission capacity is reserved by the Transmission Customer shall be set forth in the Firm Point-To-Point Service Agreement for Long-Term Firm Transmission Service along with a corresponding capacity reservation associated with each Point of Receipt. Points of Receipt and corresponding capacity reservations shall be as mutually agreed upon by the Parties for Short-Term Firm Transmission. Each Point of Delivery at which firm transmission capacity is reserved by the Transmission Customer shall be set forth in the Firm Point-To-Point Service Agreement for Long-Term Firm Transmission Service along with a corresponding capacity reservation associated with each Point of Delivery. Points of Receipt and corresponding capacity reservations shall be as mutually agreed upon by the Parties for Short-Term Firm Transmission. The greater of either (1) the sum of the capacity reservations at the Point(s) of Receipt, or (2) the sum of the capacity reservations at the Point(s) of Delivery shall be the Transmission Customer's Reserved Capacity. The Transmission Customer will be billed for its Reserved Capacity under the terms of Schedule 7. The Transmission Customer may not exceed its firm capacity reserved at each Point of Receipt and each Point of Delivery except as otherwise specified in Section 22. The Transmission Provider shall specify the rate treatment and all related terms and conditions applicable in the event that a Transmission Customer (including Third-Party Sales by the Transmission Provider) exceeds its firm reserved capacity at any Point of Receipt or Point of Delivery.
- **13.8** Scheduling of Firm Point-To-Point Transmission Service: Schedules for the Transmission Customer's Firm Point-To-Point Transmission Service must be submitted to the Transmission Provider no later than 10:00 a.m. of the day prior to commencement of such service. Schedules submitted after 10:00 a.m. will be accommodated, if practicable. Hour-to-hour schedules of any capacity and energy that is to be delivered must be stated in increments of 1,000 kW per hour or a reasonable increment mutually agreed upon if

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required for load following. Transmission Customers within the Transmission Provider's service area with multiple requests for Transmission Service at a Point of Receipt, each of which is under 1,000 kW per hour, may consolidate their service requests at a common point of receipt into units of 1,000 kW per hour for scheduling and billing purposes. Scheduling changes will be permitted up to twenty (20) minutes before the start of the next clock hour provided that the Delivering Party and Receiving Party also agree to the schedule modification. The Transmission Provider will furnish to the Delivering Party's system operator, hour-tohour schedules equal to those furnished by the Receiving Party (unless reduced for losses) and shall deliver the capacity and energy provided by such schedules. Should the Transmission Customer, Delivering Party or Receiving Party revise or terminate any schedule, such party shall immediately notify the Transmission Provider, and the Transmission Provider shall have the right to adjust accordingly the schedule for capacity and energy to be received and to be delivered.

14 Nature of Non-Firm Point-To-Point Transmission Service

- 14.1 Term: Non-Firm Point-To-Point Transmission Service will be available for periods ranging from one (1) hour to one (1) month. However, a Purchaser of Non-Firm Point-To-Point Transmission Service will be entitled to reserve a sequential term of service (such as a sequential monthly term without having to wait for the initial term to expire before requesting another monthly term) so that the total time period for which the reservation applies is greater than one month, subject to the requirements of Section 18.3.
- 14.2 Reservation Priority: Non-Firm Point-To-Point Transmission Service shall be available from transmission capability in excess of that needed for reliable service to Native Load Customers, Network Customers and other Transmission Customers taking Long-Term and Short-Term Firm Point-To-Point Transmission Service. A higher priority will be assigned to reservations with a longer duration of service. In the event the Transmission System is constrained, competing requests of equal duration will be prioritized based on the highest price offered by the Eligible Customer for the Transmission Service. Eligible Customers that have already reserved shorter term service have the right of first refusal to match any longer-term reservation before

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being preempted. A longer-term competing request for Non-Firm Point-To-Point Transmission Service will be granted if the Eligible Customer with the right of first refusal does not agree to match the competing request: (a) immediately for hourly Non-Firm Point-To-Point Transmission Service after notification by the Transmission Provider; and, (b) within 24 hours (or earlier if necessary to comply with the scheduling deadlines provided in section 14.6) for Non-Firm Point-To-Point Transmission Service other than hourly transactions after notification by the Transmission Provider.

Transmission service for Network Customers from resources other than designated Network Resources will have a higher priority than any Non-Firm Point-To-Point Transmission Service. Non-Firm Point-To-Point Transmission Service over secondary Point(s) of Receipt and Point(s) of Delivery will have the lowest reservation priority under the Tariff.

- **14.3** Use of Non-Firm Point-To-Point Transmission Service by the Transmission Provider: The Transmission Provider will be subject to the rates, terms and conditions of Part II of the Tariff when making Third-Party Sales under (i) agreements executed on or after July 9, 1996 or (ii) agreements executed prior to the aforementioned date that the Commission requires to be unbundled, by the date specified by the Commission. The Transmission Provider will maintain separate accounting, pursuant to Section **8**, for any use of Non-Firm Point-To-Point Transmission Service to make Third-Party Sales.
- **14.4 Service Agreements:** The Transmission Provider shall offer a standard form Non-Firm Point-To-Point Transmission Service Agreement (Attachment B) to an Eligible Customer when it first submits a Completed Application for Non-Firm Point-To-Point Transmission Service pursuant to the Tariff. Executed Service Agreements that contain the information required under the Tariff shall be filed with the Commission in compliance with applicable Commission regulations.
- 14.5 Classification of Non-Firm Point-To-Point Transmission Service: Non-Firm Point-To-Point Transmission Service shall be offered under terms and conditions contained in Part II of the Tariff. The Transmission Provider undertakes no obligation under the Tariff to plan its Transmission System in order to have sufficient capacity for Non-Firm Point-To-Point Transmission Service. Parties requesting Non-Firm Point-To-Point





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Transmission Service for the transmission of firm power do so with the full realization that such service is subject to availability and to Curtailment or Interruption under the terms of the Tariff. The Transmission Provider shall specify the rate treatment and all related terms and conditions applicable in the event that a Transmission Customer (including Third-Party Sales by the Transmission Provider) exceeds its non-firm capacity reservation. Non-Firm Point-To-Point Transmission Service shall include transmission of energy on an hourly basis and transmission of scheduled short-term capacity and energy on a daily, weekly or monthly basis, but not to exceed one month's reservation for any one Application, under Schedule **8**.

- 14.6 Scheduling of Non-Firm Point-To-Point Transmission Service: Schedules for Non-Firm Point-To-Point Transmission Service must be submitted to the Transmission Provider no later than 2:00 p.m. of the day prior to commencement of such service. Schedules submitted after 2:00 p.m. will be accommodated, if practicable. Hour-to-hour schedules of energy that is to be delivered must be stated in increments of 1,000 kW per hour or a reasonable increment mutually agreed upon if required for load following. Transmission Customers within the Transmission Provider's service area with multiple requests for Transmission Service at a Point of Receipt, each of which is under 1,000 kW per hour, may consolidate their schedules at a common Point of Receipt into units of 1,000 kW per hour. Scheduling changes will be permitted up to twenty (20) minutes before the start of the next clock hour provided that the Delivering Party and Receiving Party also agree to the schedule modification. The Transmission Provider will furnish to the Delivering Party's system operator, hour-to-hour schedules equal to those furnished by the Receiving Party (unless reduced for losses) and shall deliver the capacity and energy provided by such schedules. Should the Transmission Customer, Delivering Party or Receiving Party revise or terminate any schedule, such party shall immediately notify the Transmission Provider, and the Transmission Provider shall have the right to adjust accordingly the schedule for capacity and energy to be received and to be delivered.
- **14.7 Curtailment or Interruption of Service:** The Transmission Provider reserves the right to Curtail, in whole or in part, Non-Firm Point-To-Point Transmission Service provided under the Tariff for reliability reasons when





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an emergency or other unforeseen condition threatens to impair or degrade the reliability of its Transmission System. The Transmission Provider reserves the right to Interrupt, in whole or in part, Non-Firm Point-To-Point Transmission Service provided under the Tariff for economic reasons in order to accommodate (1) a request for Firm Transmission Service, (2) a request for Non-Firm Point-To-Point Transmission Service of greater duration, (3) a request for Non-Firm Point-To-Point Transmission Service of equal duration with a higher price, or (4) transmission service for Network Customers from non-designated resources. The Transmission Provider also will discontinue or reduce service to the Transmission Customer to the extent that deliveries for transmission are discontinued or reduced at the Point(s) of Receipt.

Where required, Curtailments or Interruptions will be made on a nondiscriminatory basis to the transaction(s) that effectively relieve the constraint, however, Non-Firm Point-To-Point Transmission Service shall be subordinate to Firm Transmission Service. If multiple transactions require Curtailment or Interruption, to the extent practicable and consistent with Good Utility Practice, Curtailments or Interruptions will be made to transactions of the shortest term (e.g., hourly non-firm transactions will be Curtailed or Interrupted before daily non-firm transactions and daily non-firm transactions will be Curtailed or Interrupted before weekly non-firm transactions). Transmission service for Network Customers from resources other than designated Network Resources will have a higher priority than any Non-Firm Point-To-Point Transmission Service under the Tariff. Non-Firm Point-To-Point Transmission Service over secondary Point(s) of Receipt and Point(s) of Delivery will have a lower priority than any Non-Firm Point-To-Point Transmission Service under the Tariff. The Transmission Provider will provide advance notice of Curtailment or Interruption where such notice can be provided consistent with Good Utility Practice.

15. Service Availability

15.1 General Conditions: The Transmission Provider will provide Firm and Non-Firm Point-To-Point Transmission Service over, on or across its Transmission System to any Transmission Customer that has met the requirements of Section **16**.



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- 15.2 Determination of Available Transmission Capability: A description of the Transmission Provider's specific methodology for assessing available transmission capability posted on the Transmission Provider's OASIS (Section 4) is contained in Attachment C of the Tariff. In the event sufficient transmission capability may not exist to accommodate a service request, the Transmission Provider will respond by performing a System Impact Study.
- 15.3 Initiating Service in the Absence of an Executed Service Agreement: If the Transmission Provider and the Transmission Customer requesting Firm or Non-Firm Point-To-Point Transmission Service cannot agree on all the terms and conditions of the Point-To-Point Service Agreement, the Transmission Provider shall file with the Commission, within thirty (30) days after the date the Transmission Customer provides written notification directing the Transmission Provider to file, an unexecuted Point-To-Point Service Agreement containing terms and conditions deemed appropriate by the Transmission Provider for such requested Transmission Service. The Transmission Provider shall commence providing Transmission Service subject to the Transmission Customer agreeing to (i) compensate the Transmission Provider at whatever rate the Commission ultimately determines to be just and reasonable, and (ii) comply with the terms and conditions of the Tariff including posting appropriate security deposits in accordance with the terms of Section 17.3.
- 15.4 Obligation to Provide Transmission Service that Requires Expansion or Modification of the Transmission System: If the Transmission Provider determines that it cannot accommodate a Completed Application for Firm Point-To-Point Transmission Service because of insufficient capability on its Transmission System, the Transmission Provider will use due diligence to expand or modify its Transmission System to provide the requested Firm Transmission Service, provided the Transmission Customer agrees to compensate the Transmission Provider for such costs pursuant to the terms of Section 27. The Transmission Provider will conform to Good Utility Practice in determining the need for new facilities and in the design and construction of such facilities. The obligation applies only to those facilities that the Transmission Provider has the right to expand or modify.



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- **15.5 Deferral of Service:** The Transmission Provider may defer providing service until it completes construction of new transmission facilities or upgrades needed to provide Firm Point-To-Point Transmission Service whenever the Transmission Provider determines that providing the requested service would, without such new facilities or upgrades, impair or degrade reliability to any existing firm services.
- **15.6 Other Transmission Service Schedules:** Eligible Customers receiving transmission service under other agreements on file with the Commission may continue to receive transmission service under those agreements until such time as those agreements may be modified by the Commission.
- 15.7 Real Power Losses: Real Power Losses are associated with all transmission service. The Transmission Provider is not obligated to provide Real Power Losses. The Transmission Customer is responsible for replacing losses associated with all transmission service as calculated by the Transmission Provider. The applicable Real Power Loss factors are set forth in Schedule 9.

16. Transmission Customer Responsibilities

- **16.1 Conditions Required of Transmission Customers:** Point-To-Point Transmission Service shall be provided by the Transmission Provider only if the following conditions are satisfied by the Transmission Customer:
 - a. The Transmission Customer has pending a Completed Application for service;
 - b. The Transmission Customer meets the creditworthiness criteria set forth in Section **11**;
 - c. The Transmission Customer will have arrangements in place for any other transmission service necessary to effect the delivery from the generating source to the Transmission Provider prior to the time service under Part II of the Tariff commences;
 - d. The Transmission Customer agrees to pay for any facilities constructed and chargeable to such Transmission Customer under Part II of the Tariff, whether or not the Transmission Customer takes service for the full term of its reservation; and
 - e. The Transmission Customer has executed a Point-To-Point Service Agreement or has agreed to receive service pursuant to Section **15.3**.





16.2 Transmission Customer Responsibility for Third-Party Arrangements: Any scheduling arrangements that may be required by other electric systems shall be the responsibility of the Transmission Customer requesting service. The Transmission Customer shall provide, unless waived by the Transmission Provider, notification to the Transmission Provider identifying such systems and authorizing them to schedule the capacity and energy to be transmitted by the Transmission Provider pursuant to Part II of the Tariff on behalf of the Receiving Party at the Point of Delivery or the Delivering Party at the Point of Receipt. However, the Transmission Provider will undertake reasonable efforts to assist the Transmission Customer in making such arrangements, including without limitation, providing any information or data required by such other electric system pursuant to Good Utility Practice.

17. Procedures for Arranging Firm Point-To-Point Transmission Service

- 17.1 **Application:** A request for Firm Point-To-Point Transmission Service for periods of one year or longer must contain a written Application to: Midwest Energy, Inc., 1330 Canterbury Drive, Hays, Kansas, 67601, at least sixty (60) days in advance of the calendar month in which service is to commence. The Transmission Provider will consider requests for such firm service on shorter notice when feasible. Requests for firm service for periods of less than one year shall be subject to expedited procedures that shall be negotiated between the Parties within the time constraints provided in Section 17.5. All Firm Point-To-Point Transmission Service requests should be submitted by entering the information listed below on the Transmission Provider's OASIS. Prior to implementation of the Transmission Provider's OASIS, a Completed Application may be submitted by (i) transmitting the required information to the Transmission Provider by telefax, or (ii) providing the information by telephone over the Transmission Provider's time recorded telephone line. Each of these methods will provide a time-stamped record for establishing the priority of the Application.
- **17.2 Completed Application:** A Completed Application shall provide all of the following information:
 - (i) The identity, address, telephone number and facsimile number of the entity requesting service;



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- (ii) A statement that the entity requesting service is, or will be upon commencement of service, an Eligible Customer under the Tariff;
- (iii) The location of the Point(s) of Receipt and Point(s) of Delivery and the identities of the Delivering Parties and the Receiving Parties;
- (iv) The location of the generating facility(ies) supplying the capacity and energy and the location of the load ultimately served by the capacity and energy transmitted. The Transmission Provider will treat this information as confidential except to the extent that disclosure of this information is required by this Tariff, by regulatory or judicial order, for reliability purposes pursuant to Good Utility Practice or pursuant to RTG transmission information sharing agreements. The Transmission Provider shall treat this information consistent with the standards of conduct contained in Part 37 of the FERC regulations;
- A description of the supply characteristics of the capacity and energy to be delivered;
- (vi) An estimate of the capacity and energy expected to be delivered to the Receiving Party;
- (vii) The Service Commencement Date and the term of the requested Transmission Service; and
- (viii) The transmission capacity requested for each Point of Receipt and each Point of Delivery on the Transmission Provider's Transmission System; customers may combine their requests for service in order to satisfy the minimum transmission capacity requirement.

The Transmission Provider shall treat this information consistent with the standards of conduct contained in Part 37 of the FERC regulations.

17.3 Deposit: A Completed Application for Firm Point-To-Point Transmission Service also shall include a deposit of either one month's charge for Reserved Capacity or the full charge for Reserved Capacity for service requests of less than one month. If the Application is rejected by the Transmission Provider because it does not meet the conditions for service as set forth herein, or in the case of requests for service arising in connection with losing bidders in a Request For Proposals (RFP), said deposit shall be returned with interest less any reasonable costs incurred by the Transmission Provider in connection with the review of the losing bidder's Application. The deposit also will be returned with interest less

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any reasonable costs incurred by the Transmission Provider if the Transmission Provider is unable to complete new facilities needed to provide the service. If an Application is withdrawn or the Eligible Customer decides not to enter into a Service Agreement for Firm Point-To-Point Transmission Service, the deposit shall be refunded in full, with interest, less reasonable costs incurred by the Transmission Provider to the extent such costs have not already been recovered by the Transmission Provider from the Eligible Customer. The Transmission Provider will provide to the Eligible Customer a complete accounting of all costs deducted from the refunded deposit, which the Eligible Customer may contest if there is a dispute concerning the deducted costs. Deposits associated with construction of new facilities are subject to the provisions of Section 19. If a Service Agreement for Firm Point-To-Point Transmission Service is executed, the deposit, with interest, will be returned to the Transmission Customer upon expiration or termination of the Service Agreement for Firm Point-To-Point Transmission Service. Applicable interest shall be computed in accordance with the Commission's security deposit regulations and shall be calculated from the day the deposit check is credited to the Transmission Provider's account.

- **17.4** Notice of Deficient Application: If an Application fails to meet the requirements of the Tariff, the Transmission Provider shall notify the entity requesting service within fifteen (15) days of receipt of the reasons for such failure. The Transmission Provider will attempt to remedy minor deficiencies in the Application through informal communications with the Eligible Customer. If such efforts are unsuccessful, the Transmission Provider shall return the Application, along with any deposit, with interest. Upon receipt of a new or revised Application that fully complies with the requirements of Part II of the Tariff, the Eligible Customer shall be assigned a new priority consistent with the date of the new or revised Application.
- 17.5 Response to a Completed Application: Following receipt of a Completed Application for Firm Point-To-Point Transmission Service, the Transmission Provider shall make a determination of available transmission capability as required in Section 15.2. The Transmission Provider shall notify the Eligible Customer as soon as practicable, but not later than thirty (30) days after the date of receipt of a Completed Application either (i) if it will be able to

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provide service without performing a System Impact Study or (ii) if such a study is needed to evaluate the impact of the Application pursuant to Section **19.1**. Responses by the Transmission Provider must be made as soon as practicable to all completed applications (including applications by its own merchant function) and the timing of such responses must be made on a non-discriminatory basis.

- 17.6 Execution of Service Agreement: Whenever the Transmission Provider determines that a System Impact Study is not required and that the service can be provided, it shall notify the Eligible Customer as soon as practicable but no later than thirty (30) days after receipt of the Completed Application. Where a System Impact Study is required, the provisions of Section 19 will govern the execution of a Service Agreement. Failure of an Eligible Customer to execute and return the Service Agreement or request the filing of an unexecuted service agreement pursuant to Section 15.3, within fifteen (15) days after it is tendered by the Transmission Provider will be deemed a withdrawal and termination of the Application and any deposit submitted shall be refunded with interest. Nothing herein limits the right of an Eligible Customer to file another Application after such withdrawal and termination.
- Extensions for Commencement of Service: The Transmission Customer can 17.7 obtain up to five (5) one-year extensions for the commencement of service. The Transmission Customer may postpone service by paying a nonrefundable annual reservation fee equal to one-month's charge for Firm Transmission Service for each year or fraction thereof. If during any extension for the commencement of service an Eligible Customer submits a Completed Application for Firm Transmission Service, and such request can be satisfied only by releasing all or part of the Transmission Customer's Reserved Capacity, the original Reserved Capacity will be released unless the following condition is satisfied. Within thirty (30) days, the original Transmission Customer agrees to pay the Firm Point-To-Point transmission rate for its Reserved Capacity concurrent with the new Service Commencement Date. In the event the Transmission Customer elects to release the Reserved Capacity, the reservation fees or portions thereof previously paid will be forfeited.



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18. Procedures for Arranging Non-Firm Point-To-Point Transmission Service

- **18.1 Application:** Eligible Customers seeking Non-Firm Point-To-Point Transmission Service must submit a Completed Application to the Transmission Provider. Applications should be submitted by entering the information listed below on the Transmission Provider's OASIS. Prior to implementation of the Transmission Provider's OASIS, a Completed Application may be submitted by (i) transmitting the required information to the Transmission Provider by telefax, or (ii) providing the information by telephone over the Transmission Provider's time recorded telephone line. Each of these methods will provide a time-stamped record for establishing the service priority of the Application.
- **18.2 Completed Application:** A Completed Application shall provide all of the following information:

(i) The identity, address, telephone number and facsimile number of the entity requesting service;

(ii) A statement that the entity requesting service is, or will be upon commencement of service, an Eligible Customer under the Tariff;

(iii) The Point(s) of Receipt and the Point(s) of Delivery;

(iv) The maximum amount of capacity requested at each Point of Receipt and Point of Delivery; and

(v) The proposed dates and hours for initiating and terminating transmission service hereunder. In addition to the information specified above, when required to properly evaluate system conditions, the Transmission Provider also may ask the Transmission Customer to provide the following:

(vi) The electrical location of the initial source of the power to be transmitted pursuant to the Transmission Customer's request for service; and

(vii) The electrical location of the ultimate load.

The Transmission Provider will treat this information in (vi) and (vii) as confidential at the request of the Transmission Customer except to the extent that disclosure of this information is required by this Tariff, by regulatory or judicial order, for reliability purposes pursuant to Good Utility Practice, or pursuant to RTG transmission information sharing agreements. The Transmission Provider shall treat this information





consistent with the standards of conduct contained in Part 37 of the FERC regulations.

- **18.3 Reservation of Non-Firm Point-To-Point Transmission Service:** Requests for monthly service shall be submitted <u>no earlier than sixty (60) days</u> before service is to commence; requests for weekly service shall be submitted no earlier than fourteen (14) days before service is to commence, requests for daily service shall be submitted no earlier than two (2) days before service is to commence, and requests for hourly service shall be submitted no earlier than noon the day before service is to commence. Requests for service received later than 2:00 p.m. prior to the day service is scheduled to commence will be accommodated if practicable.
- 18.4 Determination of Available Transmission Capability: Following receipt of a tendered schedule the Transmission Provider will make a determination on a non-discriminatory basis of available transmission capability pursuant to Section 15.2. Such determination shall be made as soon as reasonably practicable after receipt, but not later than the following time periods for the following terms of service (i) thirty (30) minutes for daily service, (iii) four (4) hours for weekly service, and (iv) two (2) days for monthly service.
- 19. Additional Study Procedures for Firm Point-To-Point Transmission Service Requests
 - 19.1 Notice of Need for System Impact Study: After receiving a request for service, the Transmission Provider shall determine on a non-discriminatory basis whether a System Impact Study is needed. A description of the Transmission Provider's methodology for completing a System Impact Study is provided in Attachment D. If the Transmission Provider determines that a System Impact Study is necessary to accommodate the requested service, it shall so inform the Eligible Customer, as soon as practicable. In such cases, the Transmission Provider shall within thirty (30) days of receipt of a Completed Application, tender a System Impact Study Agreement pursuant to which the Eligible Customer shall agree to reimburse the Transmission Provider for performing the required System Impact Study. For a service request to remain a Completed Application, the Eligible Customer shall execute the System Impact Study Agreement and return it to the Transmission Provider within fifteen (15) days. If the Eligible



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Customer elects not to execute the System Impact Study Agreement, its application shall be deemed withdrawn and its deposit, pursuant to Section **17.3**, shall be returned with interest.

19.2 System Impact Study Agreement and Cost Reimbursement:

(i) The System Impact Study Agreement will clearly specify the Transmission Provider's estimate of the actual cost, and time for completion of the System Impact Study.

The charge shall not exceed the actual cost of the study. In performing the System Impact Study, the Transmission Provider shall rely, to the extent reasonably practicable, on existing transmission planning studies. The Eligible Customer will not be assessed a charge for such existing studies; however, the Eligible Customer will be responsible for charges associated with any modifications to existing planning studies that are reasonably necessary to evaluate the impact of the Eligible Customer's request for service on the Transmission System.

(ii) If in response to multiple Eligible Customers requesting service in relation to the same competitive solicitation, a single System Impact Study is sufficient for the Transmission Provider to accommodate the requests for service, the costs of that study shall be pro-rated among the Eligible Customers.

(iii) For System Impact Studies that the Transmission Provider conducts on its own behalf, the Transmission Provider shall record the cost of the System Impact Studies pursuant to Section **20**.

19.3 System Impact Study Procedures: Upon receipt of an executed System Impact Study Agreement, the Transmission Provider will use due diligence to complete the required System Impact Study within a sixty (60) day period. The System Impact Study shall identify any system constraints and redispatch options, additional Direct Assignment Facilities or Network Upgrades required to provide the requested service. In the event that the Transmission Provider is unable to complete the required System Impact Study within such time period, it shall so notify the Eligible Customer and provide an estimated completion date along with an explanation of the reasons why additional time is required to complete the required studies. A copy of the completed System Impact Study and related work papers shall be made available to the Eligible Customer. The Transmission

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Provider will use the same due diligence in completing the System Impact Study for an Eligible Customer as it uses when completing studies for itself. The Transmission Provider shall notify the Eligible Customer immediately upon completion of the System Impact Study if the Transmission System will be adequate to accommodate all or part of a request for service or that no costs are likely to be incurred for new transmission facilities or upgrades. In order for a request to remain a Completed Application, within fifteen (15) days of completion of the System Impact Study the Eligible Customer must execute a Service Agreement or request the filing of an unexecuted Service Agreement pursuant to Section **15.3**, or the Application shall be deemed terminated and withdrawn.

19.4 Facilities Study Procedures: If a System Impact Study indicates that additions or upgrades to the Transmission System are needed to supply the Eligible Customer's service request, the Transmission Provider, within thirty (30) days of the completion of the System Impact Study, shall tender to the Eligible Customer a Facilities Study Agreement pursuant to which the Eligible Customer shall agree to reimburse the Transmission Provider for performing the required Facilities Study. For a service request to remain a Completed Application, the Eligible Customer shall execute the Facilities Study Agreement and return it to the Transmission Provider within fifteen (15) days. If the Eligible Customer elects not to execute the Facilities Study Agreement, its application shall be deemed withdrawn and its deposit, pursuant to Section **17.3**, shall be returned with interest. Upon receipt of an executed Facilities Study Agreement, the Transmission Provider will use due diligence to complete the required Facilities Study within a sixty (60) day period. If the Transmission Provider is unable to complete the Facilities Study in the allotted time period, the Transmission Provider shall notify the Transmission Customer and provide an estimate of the time needed to reach a final determination along with an explanation of the reasons that additional time is required to complete the study. When completed, the Facilities Study will include a good faith estimate of (i) the cost of Direct Assignment Facilities to be charged to the Transmission Customer, (ii) the Transmission Customer's appropriate share of the cost of any required Network Upgrades as determined pursuant to the provisions of Part II of the Tariff, and (iii) the time required

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to complete such construction and initiate the requested service. The Transmission Customer shall provide the Transmission Provider with a letter of credit or other reasonable form of security acceptable to the Transmission Provider equivalent to the costs of new facilities or upgrades consistent with commercial practices as established by the Uniform Commercial Code. The Transmission Customer shall have thirty (30) days to execute a Service Agreement or request the filing of an unexecuted Service Agreement and provide the required letter of credit or other form of security or the request will no longer be a Completed Application and shall be deemed terminated and withdrawn.

- **19.5** Facilities Study Modifications: Any change in design arising from inability to site or construct facilities as proposed will require development of a revised good faith estimate. New good faith estimates also will be required in the event of new statutory or regulatory requirements that are effective before the completion of construction or other circumstances beyond the control of the Transmission Provider that significantly affect the final cost of new facilities or upgrades to be charged to the Transmission Customer pursuant to the provisions of Part II of the Tariff.
- **19.6 Due Diligence in Completing New Facilities:** The Transmission Provider shall use due diligence to add necessary facilities or upgrade its Transmission System within a reasonable time. The Transmission Provider will not upgrade its existing or planned Transmission System in order to provide the requested Firm Point-To-Point Transmission Service if doing so would impair system reliability or otherwise impair or degrade existing firm service.
- **19.7 Partial Interim Service:** If the Transmission Provider determines that it will not have adequate transmission capability to satisfy the full amount of a Completed Application for Firm Point-To-Point Transmission Service, the Transmission Provider nonetheless shall be obligated to offer and provide the portion of the requested Firm Point-To-Point Transmission Service that can be accommodated without addition of any facilities and through redispatch. However, the Transmission Provider shall not be obligated to provide the incremental amount of requested Firm Point-To-Point Transmission Service that Transmission Service that requires the addition of facilities or upgrades to



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the Transmission System until such facilities or upgrades have been placed in service.

- 19.8 Expedited Procedures for New Facilities: In lieu of the procedures set forth above, the Eligible Customer shall have the option to expedite the process by requesting the Transmission Provider to tender at one time, together with the results of required studies, an "Expedited Service Agreement" pursuant to which the Eligible Customer would agree to compensate the Transmission Provider for all costs incurred pursuant to the terms of the Tariff. In order to exercise this option, the Eligible Customer shall request in writing an expedited Service Agreement covering all of the above-specified items within thirty (30) days of receiving the results of the System Impact Study identifying needed facility additions or upgrades or costs incurred in providing the requested service. While the Transmission Provider agrees to provide the Eligible Customer with its best estimate of the new facility costs and other charges that may be incurred, such estimate shall not be binding and the Eligible Customer must agree in writing to compensate the Transmission Provider for all costs incurred pursuant to the provisions of the Tariff. The Eligible Customer shall execute and return such an Expedited Service Agreement within fifteen (15) days of its receipt or the Eligible Customer's request for service will cease to be a Completed Application and will be deemed terminated and withdrawn.
- 20. Procedures if The Transmission Provider is Unable to Complete New Transmission Facilities for Firm Point-To-Point Transmission Service
 - 20.1 Delays in Construction of New Facilities: If any event occurs that will materially affect the time for completion of new facilities, or the ability to complete them, the Transmission Provider shall promptly notify the Transmission Customer. In such circumstances, the Transmission Provider shall within thirty (30) days of notifying the Transmission Customer of such delays, convene a technical meeting with the Transmission Customer. The Transmission Provider also shall make available to the Transmission Customer. The Transmission Provider also shall make available to the Transmission Customer to information that is in the possession of the Transmission Provider that is reasonably needed by the Transmission Customer to evaluate any alternatives.

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- 20.2 Alternatives to the Original Facility Additions: When the review process of Section 20.1 determines that one or more alternatives exist to the originally planned construction project, the Transmission Provider shall present such alternatives for consideration by the Transmission Customer. If, upon review of any alternatives, the Transmission Customer desires to maintain its Completed Application subject to construction of the alternative facilities, it may request the Transmission Provider to submit a revised Service Agreement for Firm Point-To-Point Transmission Service. If the alternative approach solely involves Non-Firm Point-To-Point Transmission Service, the Transmission Provider shall promptly tender a Service Agreement for Non-Firm Point-To-Point Transmission Service providing for the service. In the event the Transmission Provider concludes that no reasonable alternative exists and the Transmission Customer disagrees, the Transmission Customer may seek relief under the dispute resolution procedures pursuant to Section **12** or it may refer the dispute to the Commission for resolution.
- **20.3 Refund Obligation for Unfinished Facility Additions:** If the Transmission Provider and the Transmission Customer mutually agree that no other reasonable alternatives exist and the requested service cannot be provided out of existing capability under the conditions of Part II of the Tariff, the obligation to provide the requested Firm Point-To-Point Transmission Service shall terminate and any deposit made by the Transmission Customer shall be returned with interest pursuant to Commission security deposit regulations. However, the Transmission Customer shall be responsible for all prudently incurred costs by the Transmission Provider through the time construction was suspended.
- 21. Provisions Relating to Transmission Construction and Services on the Systems of Other Utilities
 - **21.1 Responsibility for Third-Party System Additions:** The Transmission Provider shall not be responsible for making arrangements for any necessary engineering, permitting, and construction of transmission or distribution facilities on the system(s) of any other entity or for obtaining any regulatory approval for such facilities. The Transmission Provider will undertake reasonable efforts to assist the Transmission Customer in obtaining such arrangements, including without limitation, providing any





information or data required by such other electric system pursuant to Good Utility Practice.

21.2 Coordination of Third-Party System Additions: In circumstances where the need for transmission facilities or upgrades is identified pursuant to the provisions of Part II of the Tariff, and if such upgrades further require the addition of transmission facilities on other systems, the Transmission Provider shall have the right to coordinate construction on its own system with the construction required by others. The Transmission Provider, after consultation with the Transmission Customer and representatives of such other systems, may defer construction of its new transmission facilities, if the new transmission facilities on another system cannot be completed in a timely manner. The Transmission Provider shall notify the Transmission Customer in writing of the basis for any decision to defer construction and the specific problems which must be resolved before it will initiate or resume construction of new facilities. Within sixty (60) days of receiving written notification by the Transmission Provider of its intent to defer construction pursuant to this section, the Transmission Customer may challenge the decision in accordance with the dispute resolution procedures pursuant to Section 12 or it may refer the dispute to the Commission for resolution.

22. Changes in Service Specifications

- 22.1 Modifications On a Non-Firm Basis: The Transmission Customer taking Firm Point-To-Point Transmission Service may request the Transmission Provider to provide transmission service on a non-firm basis over Receipt and Delivery Points other than those specified in the Service Agreement ("Secondary Receipt and Delivery Points"), in amounts not to exceed its firm capacity reservation, without incurring an additional Non-Firm Point-To-Point Transmission Service charge or executing a new Service Agreement, subject to the following conditions.
 - (a) Service provided over Secondary Receipt and Delivery Points will be non-firm only, on an as-available basis and will not displace any firm or non-firm service reserved or scheduled by third-parties under the Tariff or by the Transmission Provider on behalf of its Native Load Customers.
 - (b) The sum of all Firm and non-firm Point-To-Point Transmission Service provided to the Transmission Customer at any time pursuant to this



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section shall not exceed the Reserved Capacity in the relevant Service Agreement under which such services are provided.

- (c) The Transmission Customer shall retain its right to schedule Firm Point-To-Point Transmission Service at the Receipt and Delivery Points specified in the relevant Service Agreement in the amount of its original capacity reservation.
- (d) Service over Secondary Receipt and Delivery Points on a non-firm basis shall not require the filing of an Application for Non-Firm Point-To-Point Transmission Service under the Tariff. However, all other requirements of Part II of the Tariff (except as to transmission rates) shall apply to transmission service on a non-firm basis over Secondary Receipt and Delivery Points.
- 22.2 Modification On a Firm Basis: Any request by a Transmission Customer to modify Receipt and Delivery Points on a firm basis shall be treated as a new request for service in accordance with Section 17 hereof, except that such Transmission Customer shall not be obligated to pay any additional deposit if the capacity reservation does not exceed the amount reserved in the existing Service Agreement. While such new request is pending, the Transmission Customer shall retain its priority for service at the existing firm Receipt and Delivery Points specified in its Service Agreement.

23. Sale or Assignment of Transmission Service

23.1 Procedures for Assignment or Transfer of Service: Subject to Commission approval of any necessary filings, a Transmission Customer may sell, assign, or transfer all or a portion of its rights under its Service Agreement, but only to another Eligible Customer (the Assignee). The Transmission Customer that sells, assigns, or transfers its rights under its Service Agreement is hereafter referred to as the Reseller. Compensation to the Reseller shall not exceed the higher of (i) the original rate paid by the Reseller, (ii) the Transmission Provider's maximum rate on file at the time of the assignment, or (iii) the Reseller's opportunity cost capped at the Transmission Provider's cost of expansion.

If the Assignee does not request any change in the Point(s) of Receipt or the Point(s) of Delivery, or a change in any other term or condition set forth in the original Service Agreement, the Assignee will receive the same services as did the Reseller and the priority of service for the Assignee will



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be the same as that of the Reseller. A Reseller should notify the Transmission Provider as soon as possible after any assignment or transfer of service occurs, but in any event, notification must be provided prior to any provision of service to the Assignee. The Assignee will be subject to all terms and conditions of this Tariff. If the Assignee requests a change in service, the reservation priority of service will be determined by the Transmission Provider pursuant to Section **13.2**.

- **23.2** Limitations on Assignment or Transfer of Service: If the Assignee requests a change in the Point(s) of Receipt or Point(s) of Delivery, or a change in any other specifications set forth in the original Service Agreement, the Transmission Provider will consent to such change subject to the provisions of the Tariff, provided that the change will not impair the operation and reliability of the Transmission Provider's generation, transmission, or distribution systems. The Assignee shall compensate the Transmission Provider for performing any System Impact Study needed to evaluate the capability of the Transmission System to accommodate the proposed change and any additional costs resulting from such change. The Reseller shall remain liable for the performance of all obligations under the Service Agreement, except as specifically agreed to by the Parties through an amendment to the Service Agreement.
- **23.3** Information on Assignment or Transfer of Service: In accordance with Section 4, Resellers may use the Transmission Provider's OASIS to post transmission capacity available for resale.

24. Metering and Power Factor Correction at Receipt and Delivery Points(s)

- 24.1 Transmission Customer Obligations: Unless otherwise agreed, the Transmission Customer shall be responsible for installing and maintaining compatible metering and communications equipment to accurately account for the capacity and energy being transmitted under Part II of the Tariff and to communicate the information to the Transmission Provider. Such equipment shall remain the property of the Transmission Customer.
- 24.2 Transmission Provider Access to Metering Data: The Transmission Provider shall have access to metering data, which may reasonably be required to facilitate measurements and billing under the Service Agreement.





24.3 Power Factor: Unless otherwise agreed, the Transmission Customer is required to maintain a power factor within the same range as the Transmission Provider pursuant to Good Utility Practices. The power factor requirements are specified in the Service Agreement where applicable.

25. Compensation for Transmission Service

Rates for Firm and Non-Firm Point-To-Point Transmission Service are provided in the Schedules appended to the Tariff: Firm Point-To-Point Transmission Service (Schedule **7**); and Non-Firm Point-To-Point Transmission Service (Schedule **8**). The Transmission Provider shall use Part II of the Tariff to make its Third-Party Sales. The Transmission Provider shall account for such use at the applicable Tariff rates, pursuant to Section **8**.

26. Stranded Cost Recovery

The Transmission Provider may seek to recover stranded costs from the Transmission Customer pursuant to this Tariff. However, the Transmission Provider must separately file any specific proposed stranded cost charge with the Commission.

27. Compensation for New Facilities and Redispatch Costs

Whenever a System Impact Study performed by the Transmission Provider in connection with the provision of Firm Point-To-Point Transmission Service identifies the need for new facilities, the Transmission Customer shall be responsible for such costs to the extent consistent with Commission policy. Whenever a System Impact Study performed by the Transmission Provider identifies capacity constraints that may be relieved more economically by redispatching the Transmission Provider's resources than by building new facilities or upgrading existing facilities to eliminate such constraints, the Transmission Customer shall be responsible for the redispatch costs to the extent consistent with Commission policy.

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III. NETWORK INTEGRATION TRANSMISSION SERVICE

Preamble

The Transmission Provider will provide Network Integration Transmission Service pursuant to the applicable terms and conditions contained in the Tariff and Service Agreement. Network Integration Transmission Service allows the Network Customer to integrate, economically dispatch and regulate its current and planned Network Resources to serve its Network Load in a manner comparable to that in which the Transmission Provider utilizes its Transmission System to serve its Native Load Customers. Network Integration Transmission Service also may be used by the Network Customer to deliver economy energy purchases to its Network Load from non-designated resources on an asavailable basis without additional charge. Transmission service for sales to non-designated loads will be provided pursuant to the applicable terms and conditions of Part II of the Tariff.

28. Nature of Network Integration Transmission Service

- **28.1 Scope of Service:** Network Integration Transmission Service is a transmission service that allows Network Customers to efficiently and economically utilize their Network Resources (as well as other non-designated generation resources) to serve their Network Load located in the Transmission Provider's Control Area and any additional load that may be designated pursuant to Section **31.3** of the Tariff. The Network Customer taking Network Integration Transmission Service must obtain or provide Ancillary Services pursuant to Section **3**.
- **28.2 Transmission Provider Responsibilities:** The Transmission Provider will plan, construct, operate and maintain its Transmission System in accordance with Good Utility Practice in order to provide the Network Customer with Network Integration Transmission Service over the Transmission Provider's Transmission System. The Transmission Provider, on behalf of its Native Load Customers, shall be required to designate resources and loads in the same manner as any Network Customer under Part III of this Tariff. This information must be consistent with the information used by the Transmission Provider to calculate available transmission capability. The Transmission Provider shall include the Network Customer's Network Load in its Transmission System planning and shall, consistent with Good Utility Practice, endeavor to construct and place into service sufficient transmission capacity to deliver the Network Customer's Network Resources to serve its Network Load on a basis



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comparable to the Transmission Provider's delivery of its own generating and purchased resources to its Native Load Customers.

- **28.3** Network Integration Transmission Service: The Transmission Provider will provide firm transmission service over its Transmission System to the Network Customer for the delivery of capacity and energy from its designated Network Resources to service its Network Loads on a basis that is comparable to the Transmission Provider's use of the Transmission System to reliably serve its Native Load Customers.
- 28.4 Secondary Service: The Network Customer may use the Transmission Provider's Transmission System to deliver energy to its Network Loads from resources that have not been designated as Network Resources. Such energy shall be transmitted, on an as-available basis, at no additional charge. Deliveries from resources other than Network Resources will have a higher priority than any Non-Firm Point-To-Point Transmission Service under Part II of the Tariff.
- 28.5 Real Power Losses: Real Power Losses are associated with all transmission service. The Transmission Provider is not obligated to provide Real Power Losses. The Network Customer is responsible for replacing losses associated with all transmission service as calculated by the Transmission Provider. The applicable Real Power Loss factors are set forth in Schedule 9.
- 28.6 Restrictions on Use of Service: The Network Customer shall not use Network Integration Transmission Service for (i) sales of capacity and energy to non-designated loads, or (ii) direct or indirect provision of transmission service by the Network Customer to third parties. All Network Customers taking Network Integration Transmission Service shall use Point-To-Point Transmission Service under Part II of the Tariff for any Third-Party Sale which requires use of the Transmission Provider's Transmission System.

29. Initiating Service

29.1 Condition Precedent for Receiving Service: Subject to the terms and conditions of Part III of the Tariff, the Transmission Provider will provide Network Integration Transmission Service to any Eligible Customer, provided that (i) the Eligible Customer completes an Application for service as provided under Part III of the Tariff, (ii) the Eligible Customer and the

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Transmission Provider complete the technical arrangements set forth in Sections **29.3** and **29.4**, (iii) the Eligible Customer executes a Service Agreement pursuant to Attachment **F** for service under Part III of the Tariff or requests in writing that the Transmission Provider file a proposed unexecuted Service Agreement with the Commission, and (iv) the Eligible Customer executes a Network Operating Agreement with the Transmission Provider pursuant to Attachment **G**.

- 29.2 Application Procedures: An Eligible Customer requesting service under Part III of the Tariff must submit an Application, with a deposit approximating the charge for one month of service, to the Transmission Provider as far as possible in advance of the month in which service is to commence. Unless subject to the procedures in Section 2, Completed Applications for Network Integration Transmission Service will be assigned a priority according to the date and time the Application is received, with the earliest Application receiving the highest priority. Applications should be submitted by entering the information listed below on the Transmission Provider's OASIS. Prior to implementation of the Transmission Provider's OASIS, a Completed Application may be submitted by (i) transmitting the required information to the Transmission Provider by telefax, or (ii) providing the information by telephone over the Transmission Provider's time recorded telephone line. Each of these methods will provide a timestamped record for establishing the service priority of the Application. A Completed Application shall provide all of the following information:
 - (i) The identity, address, telephone number and facsimile number of the party requesting service;
 - (ii) A statement that the party requesting service is, or will be upon commencement of service, an Eligible Customer under the Tariff;
 - (iii) A description of the Network Load at each delivery point. This description should separately identify and provide the Eligible Customer's best estimate of the total loads to be served at each transmission voltage level, and the loads to be served from each Transmission Provider substation at the same transmission voltage level. The description should include a ten (10) year forecast of summer and winter load and resource requirements beginning with the first year after the service is scheduled to commence;





- (iv) The amount and location of any interruptible loads included in the Network Load. This shall include the summer and winter capacity requirements for each interruptible load (had such load not been interruptible), that portion of the load subject to interruption, the conditions under which an interruption can be implemented and any limitations on the amount and frequency of interruptions. An Eligible Customer should identify the amount of interruptible customer load (if any) included in the 10-year load forecast provided in response to (iii) above;
- (v) A description of Network Resources (current and 10-year projection), which shall include, for each Network Resource:
 - Unit size and amount of capacity from that unit to be designated as Network Resource
 - VAR capability (both leading and lagging) of all generators
 - Operating restrictions
 - Any periods of restricted operations through-out the year
 - Maintenance schedules
 - Minimum loading level of unit
 - Normal operating level of unit
 - Any must-run unit designations required for system reliability or contract reasons
 - Approximate variable generating cost (\$/MWH) for redispatch computations
 - Arrangements governing sale and delivery of power to third parties from generating facilities located in the Transmission Provider Control Area, where only a portion of unit output is designated as a Network Resource
 - Description of purchased power designated as a Network Resource including source of supply, Control Area location, transmission arrangements and delivery point(s) to the Transmission Provider's Transmission System;
- (vi) Description of Eligible Customer's transmis-sion sys-tem:
 - Load flow and stability data, such as real and reactive parts of the load, lines, transformers, reactive devices, and load type, including normal and emergency ratings of all transmission





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equipment in a load flow format compatible with that used by the Transmission Provider

- Operating restrictions needed for reliability
- Operating guides employed by system operators
- Contractual restrictions or committed uses of the Eligible Customer's transmission system, other than the Eligible Customer's Network Loads and Resources
- Location of Network Resources described in subsection (v) above
- 10-year projection of system expansions or upgrades
- Transmission System maps that include any proposed expansions or upgrades
- Thermal ratings of Eligible Customer's Control Area ties with other Control Areas; and
- (vii) Service Commencement Date and the term of the requested Network Integration Transmission Service. The minimum term for Network Integration Transmission Service is one year.

Unless the Parties agree to a different time frame, the Transmission Provider must acknowledge the request within ten (10) days of receipt. The acknowledgment must include a date by which a response, including a Service Agreement, will be sent to the Eligible Customer. If an Application fails to meet the requirements of this section, the Transmission Provider shall notify the Eligible Customer requesting service within fifteen (15) days of receipt and specify the reasons for such failure. Wherever possible, the Transmission Provider will attempt to remedy deficiencies in the Application through informal communications with the Eligible Customer. If such efforts are unsuccessful, the Transmission Provider shall return the Application without prejudice to the Eligible Customer filing a new or revised Application that fully complies with the requirements of this section. The Eligible Customer will be assigned a new priority consistent with the date of the new or revised Application. The Transmission Provider shall treat this information consistent with the standards of conduct contained in Part 37 of the FERC regulations.

29.3 Technical Arrangements to be Completed Prior to Commencement of Service: Network Integration Transmission Service shall not commence



until the Transmission Provider and the Network Customer, or a third party, have completed installation of all equipment specified under the Network Operating Agreement consistent with Good Utility Practice and any additional requirements reasonably and consistently imposed to ensure the reliable operation of the Transmission System. The Transmission Provider shall exercise reasonable efforts, in coordination with the Network Customer, to complete such arrangements as soon as practicable taking into consideration the Service Commencement Date.

- **29.4 Network Customer Facilities:** The provision of Network Integration Transmission Service shall be conditioned upon the Network Customer's constructing, maintaining and operating the facilities on its side of each delivery point or interconnection necessary to reliably deliver capacity and energy from the Transmission Provider's Transmission System to the Network Customer. The Network Customer shall be solely responsible for constructing or installing all facilities on the Network Customer's side of each such delivery point or interconnection.
- **29.5 Filing of Service Agreement:** The Transmission Provider will file Service Agreements with the Commission in compliance with applicable Commission regulations.

30. Network Resources

- **30.1 Designation of Network Resources:** Network Resources shall include all generation owned, purchased, or leased by the Network Customer designated to serve Network Load under the Tariff. Network Resources may not include resources, or any portion thereof, that are committed for sale to non-designated third-party load or otherwise cannot be called upon to meet the Network Customer's Network Load on a non-interruptible basis. Any owned or purchased resources that were serving the Network Customer's loads under firm agreements entered into on or before the Service Commencement Date shall initially be designated as Network Resources.
- **30.2 Designation of New Network Resources:** The Network Customer may designate a new Network Resource by providing the Transmission Provider with as much advance notice as practicable. A designation of a new



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Network Resource must be made by a request for modification of service pursuant to an Application under Section **29**.

- **30.3 Termination of Network Resources:** The Network Customer may terminate the designation of all or part of a generating resource as a Network Resource at any time but should provide notification to the Transmission Provider as soon as reasonably practicable.
- **30.4 Operation of Network Resources:** The Network Customer shall not operate its designated Network Resources located in the Network Customer's or Transmission Provider's Control Area such that the output of those facilities exceeds its designated Network Load, plus non-firm sales delivered pursuant to Part II of the Tariff, plus losses. This limitation shall not apply to changes in the operation of a Transmission Customer's Network Resources at the request of the Transmission Provider to respond to an emergency or other unforeseen condition which may impair or degrade the reliability of the Transmission System.
- **30.5** Network Customer Redispatch Obligation: As a condition to receiving Network Integration Transmission Service, the Network Customer agrees to redispatch its Network Resources as requested by the Transmission Provider pursuant to Section **33.2**. To the extent practical, the redispatch of resources pursuant to this section shall be on a least cost, non-discriminatory basis between all Network Customers, and the Transmission Provider.
- **30.6** Transmission Arrangements for Network Resources Not Physically Interconnected with The Transmission Provider: The Network Customer shall be responsible for any arrangements necessary to deliver capacity and energy from a Network Resource not physically interconnected with the Transmission Provider's Transmission System. The Transmission Provider will undertake reasonable efforts to assist the Network Customer in obtaining such arrangements, including without limitation, providing any information or data required by such other entity pursuant to Good Utility Practice.
- **30.7** Limitation on Designation of Network Resources: The Network Customer must demonstrate that it owns or has committed to purchase generation pursuant to an executed contract in order to designate a generating resource as a Network Resource. Alternatively, the Network Customer may



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establish that execution of a contract is contingent upon the availability of transmission service under Part III of the Tariff.

- **30.8** Use of Interface Capacity by the Network Customer: There is no limitation upon a Network Customer's use of the Transmission Provider's Transmission System at any particular interface to integrate the Network Customer's Network Resources (or substitute economy purchases) with its Network Loads. However, a Network Customer's use of the Transmission Provider's total interface capacity with other transmission systems may not exceed the Network Customer's Load Ratio Share.
- **30.9** Network Customer Owned Transmission Facilities: The Network Customer that owns existing transmission facilities that are integrated with the Transmission Provider's Transmission System may be eligible to receive consideration either through a billing credit or some other mechanism. In order to receive such consideration the Network Customer must demonstrate that its transmission facilities are integrated into the plans or operations of the Transmission Provider to serve its power and transmission customers. For facilities constructed by the Network Customer subsequent to the Service Commencement Date under Part III of the Tariff, the Network Customer shall receive credit where such facilities are jointly planned and installed in coordination with the Transmission Provider. Calculation of the credit shall be addressed in either the Network Customer's Service Agreement or any other agreement between the Parties.

31. Designation of Network Load

- **31.1** Network Load: The Network Customer must designate the individual Network Loads on whose behalf the Transmission Provider will provide Network Integration Transmission Service. The Network Loads shall be specified in the Service Agreement.
- **31.2** New Network Loads Connected with the Transmission Provider: The Network Customer shall provide the Transmission Provider with as much advance notice as reasonably practicable of the designation of new Network Load that will be added to its Transmission System. A designation of new Network Load must be made through a modification of service pursuant to a new Application. The Transmission Provider will use due diligence to install any transmission facilities required to interconnect a



new Network Load designated by the Network Customer. The costs of new facilities required to interconnect a new Network Load shall be determined in accordance with the procedures provided in Section **32.4** and shall be charged to the Network Customer in accordance with Commission policies.

- 31.3 Network Load Not Physically Interconnected with the Transmission Provider: This section applies to both initial designation pursuant to Section 31.1 and the subsequent addition of new Network Load not physically interconnected with the Transmission Provider. To the extent that the Network Customer desires to obtain transmission service for a load outside the Transmission Provider's Transmission System, the Network Customer shall have the option of (1) electing to include the entire load as Network Load for all purposes under Part III of the Tariff and designating Network Resources in connection with such additional Network Load, or (2) excluding that entire load from its Network Load and purchasing Point-To-Point Transmission Service under Part II of the Tariff. To the extent that the Network Customer gives notice of its intent to add a new Network Load as part of its Network Load pursuant to this section the request must be made through a modification of service pursuant to a new Application.
- **31.4** New Interconnection Points: To the extent the Network Customer desires to add a new Delivery Point or interconnection point between the Transmission Provider's Transmission System and a Network Load, the Network Customer shall provide the Transmission Provider with as much advance notice as reasonably practicable.
- **31.5 Changes in Service Requests:** Under no circumstances shall the Network Customer's decision to cancel or delay a requested change in Network Integration Transmission Service (<u>e.g.</u> the addition of a new Network Resource or designation of a new Network Load) in any way relieve the Network Customer of its obligation to pay the costs of transmission facilities constructed by the Transmission Provider and charged to the Network Customer as reflected in the Service Agreement. However, the Transmission Provider must treat any requested change in Network Integration Transmission Service in a non-discriminatory manner.
- **31.6** Annual Load and Resource Information Updates: The Network Customer shall provide the Transmission Provider with annual updates of Network

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Load and Network Resource forecasts consistent with those included in its Application for Network Integration Transmission Service under Part III of the Tariff. The Network Customer also shall provide the Transmission Provider with timely written notice of material changes in any other information provided in its Application relating to the Network Customer's Network Load, Network Resources, its transmission system or other aspects of its facilities or operations affecting the Transmission Provider's ability to provide reliable service.

32. Additional Study Procedures for Network Integration Transmission Service Requests

Notice of Need for System Impact Study: After receiving a request for 32.1 service, the Transmission Provider shall determine on a non-discriminatory basis whether a System Impact Study is needed. A description of the Transmission Provider's methodology for completing a System Impact Study is provided in Attachment D. If the Transmission Provider determines that a System Impact Study is necessary to accommodate the requested service, it shall so inform the Eligible Customer, as soon as practicable. In such cases, the Transmission Provider shall within thirty (30) days of receipt of a Completed Application, tender a System Impact Study Agreement pursuant to which the Eligible Customer shall agree to reimburse the Transmission Provider for performing the required System Impact Study. For a service request to remain a Completed Application, the Eligible Customer shall execute the System Impact Study Agreement and return it to the Transmission Provider within fifteen (15) days. If the Eligible Customer elects not to execute the System Impact Study Agreement, its Application shall be deemed withdrawn and its deposit shall be returned with interest.

32.2 System Impact Study Agreement and Cost Reimbursement:

(i) The System Impact Study Agreement will clearly the Transmission Provider's estimate of the actual cost, and time for completion of the System Impact Study. The charge shall not exceed the actual cost of the study. In performing the System Impact Study, the Transmission Provider shall rely, to the extent reasonably practicable, on existing transmission planning studies. The Eligible Customer will not be assessed a charge for such existing studies; however, the Eligible Customer will be responsible for charges associated with any modifications to existing planning studies that are reasonably





necessary to evaluate the impact of the Eligible Customer's request for service on the Transmission System.

- (ii) If in response to multiple Eligible Customers requesting service in relation to the same competitive solicitation, a single System Impact Study is sufficient for the Transmission Provider to accommodate the service requests, the costs of that study shall be pro-rated among the Eligible Customers.
- (iii) For System Impact Studies that the Transmission Provider conducts on its own behalf, the Transmission Provider shall record the cost of the System Impact Studies pursuant to Section 8.
- 32.3 System Impact Study Procedures: Upon receipt of an executed System Impact Study Agreement, the Transmission Provider will use due diligence to complete the required System Impact Study within a sixty (60) day period. The System Impact Study shall identify any system constraints and redispatch options, additional Direct Assignment Facilities or Network Upgrades required to provide the requested service. In the event that the Transmission Provider is unable to complete the required System Impact Study within such time period, it shall so notify the Eligible Customer and provide an estimated completion date along with an explanation of the reasons why additional time is required to complete the required studies. A copy of the completed System Impact Study and related work papers shall be made available to the Eligible Customer. The Transmission Provider will use the same due diligence in completing the System Impact Study for an Eligible Customer as it uses when completing studies for itself. The Transmission Provider shall notify the Eligible Customer immediately upon completion of the System Impact Study if the Transmission System will be adequate to accommodate all or part of a request for service or that no costs are likely to be incurred for new transmission facilities or upgrades. In order for a request to remain a Completed Application, within fifteen (15) days of completion of the System Impact Study the Eligible Customer must execute a Service Agreement or request the filing of an unexecuted Service Agreement, or the Application shall be deemed terminated and withdrawn.
- **32.4** Facilities Study Procedures: If a System Impact Study indicates that additions or upgrades to the Transmission System are needed to supply





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the Eligible Customer's service request, the Transmission Provider, within thirty (30) days of the completion of the System Impact Study, shall tender to the Eligible Customer a Facilities Study Agreement pursuant to which the Eligible Customer shall agree to reimburse the Transmission Provider for performing the required Facilities Study. For a service request to remain a Completed Application, the Eligible Customer shall execute the Facilities Study Agreement and return it to the Transmission Provider within fifteen (15) days. If the Eligible Customer elects not to execute the Facilities Study Agreement, its Application shall be deemed withdrawn and its deposit shall be returned with interest. Upon receipt of an executed Facilities Study Agreement, the Transmission Provider will use due diligence to complete the required Facilities Study within a sixty (60) day period. If the Transmission Provider is unable to complete the Facilities Study in the allotted time period, the Transmission Provider shall notify the Eligible Customer and provide an estimate of the time needed to reach a final determination along with an explanation of the reasons that additional time is required to complete the study. When completed, the Facilities Study will include a good faith estimate of (i) the cost of Direct Assignment Facilities to be charged to the Eligible Customer, (ii) the Eligible Customer's appropriate share of the cost of any required Network Upgrades, and (iii) the time required to complete such construction and initiate the requested service. The Eligible Customer shall provide the Transmission Provider with a letter of credit or other reasonable form of security acceptable to the Transmission Provider equivalent to the costs of new facilities or upgrades consistent with commercial practices as established by the Uniform Commercial Code. The Eligible Customer shall have thirty (30) days to execute a Service Agreement or request the filing of an unexecuted Service Agreement and provide the required letter of credit or other form of security or the request no longer will be a Completed Application and shall be deemed terminated and withdrawn.

33. Load Shedding and Curtailments

33.1 Procedures: Prior to the Service Commencement Date, the Transmission Provider and the Network Customer shall establish Load Shedding and Curtailment procedures pursuant to the Network Operating Agreement with the objective of responding to contingencies on the Transmission



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System. The Parties will implement such programs during any period when the Transmission Provider determines that a system contingency exists and such procedures are necessary to alleviate such contingency. The Transmission Provider will notify all affected Network Customers in a timely manner of any scheduled Curtailment.

- 33.2 Transmission Constraints: During any period when the Transmission Provider determines that a transmission constraint exists on the Transmission System, and such constraint may impair the reliability of the Transmission Provider's system, the Transmission Provider will take whatever actions, consistent with Good Utility Practice, that are reasonably necessary to maintain the reliability of the Transmission Provider's system. To the extent the Transmission Provider determines that the reliability of the Transmission System can be maintained by redispatching resources, the Transmission Provider will initiate procedures pursuant to the Network Operating Agreement to redispatch all Network Resources and the Transmission Provider's own resources on a least-cost basis without regard to the ownership of such resources. Any redispatch under this section may not unduly discriminate between the Transmission Provider's use of the Transmission System on behalf of its Native Load Customers and any Network Customer's use of the Transmission System to serve its designated Network Load.
- **33.3 Cost Responsibility for Relieving Transmission Constraints:** Whenever the Transmission Provider implements least-cost redispatch procedures in response to a transmission constraint, the Transmission Provider and Network Customers will each bear a proportionate share of the total redispatch cost based on their respective Load Ratio Shares.
- **33.4 Curtailments of Scheduled Deliveries:** If a transmission constraint on the Transmission Provider's Transmission System cannot be relieved through the implementation of least-cost redispatch procedures and the Transmission Provider determines that it is necessary to Curtail scheduled deliveries, the Parties shall Curtail such schedules in accordance with the Network Operating Agreement.
- **33.5** Allocation of Curtailments: The Transmission Provider shall, on a nondiscriminatory basis, Curtail the transaction(s) that effectively relieve the constraint. However, to the extent practicable and consistent with Good





Utility Practice, any Curtailment will be shared by the Transmission Provider and Network Customer in proportion to their respective Load Ratio Shares. The Transmission Provider shall not direct the Network Customer to Curtail schedules to an extent greater than the Transmission Provider would Curtail the Transmission Provider's schedules under similar circumstances.

- **33.6** Load Shedding: To the extent that a system contingency exists on the Transmission Provider's Transmission System and the Transmission Provider determines that it is necessary for the Transmission Provider and the Network Customer to shed load, the Parties shall shed load in accordance with previously established procedures under the Network Operating Agreement.
- 33.7 System Reliability: Notwithstanding any other provisions of this Tariff, the Transmission Provider reserves the right, consistent with Good Utility Practice and on a not unduly discriminatory basis, to Curtail Network Integration Transmission Service without liability on the Transmission Provider's part for the purpose of making necessary adjustments to, changes in, or repairs on its lines, substations and facilities, and in cases where the continuance of Network Integration Transmission Service would endanger persons or property. In the event of any adverse condition(s) or disturbance(s) on the Transmission Provider's Transmission System or on any other system(s) directly or indirectly interconnected with the Transmission Provider's Transmission System, the Transmission Provider, consistent with Good Utility Practice, also may Curtail Network Integration Transmission Service in order to (i) limit the extent or damage of the adverse condition(s) or disturbance(s), (ii) prevent damage to generating or transmission facilities, or (iii) expedite restoration of service. The Transmission Provider will give the Network Customer as much advance notice as is practicable in the event of such Curtailment. Any Curtailment of Network Integration Transmission Service will be not unduly discriminatory relative to the Transmission Provider's use of the Transmission System on behalf of its Native Load Customers. The Transmission Provider shall specify the rate treatment and all related terms and conditions applicable in the event that the Network Customer fails to respond to established Load Shedding and Curtailment procedures.



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34. Rates and Charges

The Network Customer shall pay the Transmission Provider for any Direct Assignment Facilities, Ancillary Services, and applicable study costs, consistent with Commission policy, along with the following:

- **34.1 Monthly Demand Charge:** The Network Customer shall pay a monthly Demand Charge, which shall be determined by multiplying its Load Ratio Share times one twelfth (1/12) of the Transmission Provider's Annual Transmission Revenue Requirement specified in Schedule **H**.
- **34.2 Determination of Network Customer's Monthly Network Load:** The Network Customer's monthly Network Load is its hourly load (including its designated Network Load not physically interconnected with the Transmission Provider under Section **31.3**) coincident with the Transmission Provider's Monthly Transmission System Peak.
- 34.3 Determination of Transmission Provider's Monthly Transmission System Load: The Transmission Provider's monthly Transmission System load is the Transmission Provider's Monthly Transmission System Peak minus the coincident peak usage of all Firm Point-To-Point Transmission Service customers pursuant to Part II of this Tariff plus the Reserved Capacity of all Firm Point-To-Point Transmission Service customers.
- **34.4 Redispatch Charge:** The Network Customer shall pay a Load Ratio Share of any redispatch costs allocated between the Network Customer and the Transmission Provider pursuant to Section **33**. To the extent that the Transmission Provider incurs an obligation to the Network Customer for redispatch costs in accordance with Section **33**, such amounts shall be credited against the Network Customer's bill for the applicable month.
- **34.5 Stranded Cost Recovery:** The Transmission Provider may seek to recover stranded costs from the Network Customer pursuant to this Tariff. However, the Transmission Provider must separately file any proposal to recover stranded costs with the Commission.

35. Operating Arrangements

35.1 Operation under The Network Operating Agreement: The Network Customer shall plan, construct, operate and maintain its facilities in accordance with Good Utility Practice and in conformance with the Network Operating Agreement.



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- 35.2 Network Operating Agreement: The terms and conditions under which the Network Customer shall operate its facilities and the technical and operational matters associated with the implementation of Part III of the Tariff shall be specified in the Network Operating Agreement. The Network Operating Agreement shall provide for the Parties to (i) operate and maintain equipment necessary for integrating the Network Customer within the Transmission Provider's Transmission System (including, but not limited to, remote terminal units, metering, communications equipment and relaying equipment), (ii) transfer data between the Transmission Provider and the Network Customer (including, but not limited to, heat rates and operational characteristics of Network Resources, generation schedules for units outside the Transmission Provider's Transmission System, interchange schedules, unit outputs for redispatch required under Section **33**, voltage schedules, loss factors and other real time data), (iii) use software programs required for data links and constraint dispatching, (iv) exchange data on forecasted loads and resources necessary for longterm planning, and (v) address any other technical and operational considerations required for implementation of Part III of the Tariff, including scheduling protocols. The Network Operating Agreement will recognize that the Network Customer shall either (i) operate as a Control Area under applicable guidelines of the North American Electric Reliability Council (NERC) and the Southwest Power Pool (SPP), (ii) satisfy its Control Area requirements, including all necessary Ancillary Services, by contracting with the Transmission Provider, or (iii) satisfy its Control Area requirements, including all necessary Ancillary Services, by contracting with another entity, consistent with Good Utility Practice, which satisfies NERC and the Southwest Power Pool requirements. The Transmission Provider shall not unreasonably refuse to accept contractual arrangements with another entity for Ancillary Services. The Network Operating Agreement is included in Attachment G.
- **35.3** Network Operating Committee: A Network Operating Committee (Committee) shall be established to coordinate operating criteria for the Parties' respective responsibilities under the Network Operating Agreement. Each Network Customer shall be entitled to have at least one



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representative on the Committee. The Committee shall meet from time to time as need requires, but no less than once each calendar year.

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SCHEDULE 1

Scheduling, System Control and Dispatch Service

This service is required to schedule the movement of power through, out of, within, or into a Control Area. This service can be provided only by the operator of the Control Area in which the transmission facilities used for transmission service are located. Scheduling, System Control and Dispatch Service is to be provided directly by the Transmission Provider (if the Transmission Provider is the Control Area operator) or indirectly by the Transmission Provider making arrangements with the Control Area operator that performs this service for the Transmission Provider's Transmission System. The Transmission Customer must purchase this service from the Transmission Provider or the Control Area operator. The charges for Scheduling, System Control and Dispatch Service are to be based on the rates set forth below. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass-through of the costs charged to the Transmission Provider by that Control Area operator.

Another entity, Western Resources, Inc. - Kansas Power & Light, provides all of the Transmission Provider's Scheduling, System Control and Dispatch Service bundled with Reactive Supply and Voltage Control from Generation Sources Service, Regulation and Frequency Response Service, Energy Imbalance Service, Operating Reserve - Spinning Reserve Service, and Operating Reserve - Supplemental Reserve Service. These services are provided under Western Resources - Kansas Power & Light's Schedule P at a total bundled cost of \$0.12/kW-mo. Transmission Provider will purchase these services on behalf of the Transmission Customer and charge only a passthrough of the costs charged to the Transmission Provider under Western Resources - Kansas Power & Light's Schedule P, as it may be amended or superseded.

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SCHEDULE 2 Reactive Supply and Voltage Control from Generation Sources Service

In order to maintain transmission voltages on the Transmission Provider's transmission facilities within acceptable limits, generation facilities under the control of the control area operator are operated to produce (or absorb) reactive power. Thus, Reactive Supply and Voltage Control from Generation Sources Service must be provided for each transaction on the Transmission Provider's transmission facilities. The amount of Reactive Supply and Voltage Control from Generation Sources Service that must be supplied with respect to the Transmission Customer's transaction will be determined based on the reactive power support necessary to maintain transmission voltages within limits that are generally accepted in the region and consistently adhered to by the Transmission Provider.

Reactive Supply and Voltage Control from Generation Sources Service is to be provided directly by the Transmission Provider (if the Transmission Provider is the Control Area operator) or indirectly by the Transmission Provider making arrangements with the Control Area operator that performs this service for the Transmission Provider's Transmission System. The Transmission Customer must purchase this service from the Transmission Provider or the Control Area operator. The charges for such service will be based on the rates set forth below. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass-through of the costs charged to the Transmission Provider by the Control Area operator.

Another entity, Western Resources, Inc. - Kansas Power & Light, provides all of the Transmission Provider's Reactive Supply and Voltage Control from Generation Sources Service bundled with Scheduling, System Control and Dispatch Service, Regulation and Frequency Response Service, Energy Imbalance Service, Operating Reserve - Spinning Reserve Service, and Operating Reserve - Supplemental Reserve Service. These services are provided under Western Resources - Kansas Power & Light's Schedule P at a total bundled cost of \$0.12/kW-mo. Transmission Provider will purchase these services on behalf of the Transmission Customer and charge only a passthrough of the costs charged to the Transmission Provider under Western Resources - Kansas Power & Light's Schedule P, as it may be amended or superseded.

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SCHEDULE 3

Regulation and Frequency Response Service

Regulation and Frequency Response Service is necessary to provide for the continuous balancing of resources (generation and interchange) with load and for maintaining scheduled Interconnection frequency at sixty cycles per second (60 Hz). Regulation and Frequency Response Service is accomplished by committing on-line generation whose output is raised or lowered (predominantly through the use of automatic generating control equipment) as necessary to follow the moment-by-moment changes in load. The obligation to maintain this balance between resources and load lies with the Transmission Provider (or the Control Area operator that performs this function for the Transmission Provider). The Transmission Provider must offer this service when the transmission service is used to serve load within its Control Area. The Transmission Customer must either purchase this service from the Transmission Provider or make alternative comparable arrangements to satisfy its Regulation and Frequency Response Service obligation. The amount of and charges for Regulation and Frequency Response Service are set forth below. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass-through of the costs charged to the Transmission Provider by that Control Area operator.

Another entity, Western Resources, Inc. - Kansas Power & Light, provides all of the Transmission Provider's Regulation and Frequency Response Service bundled with bundled with Scheduling, System Control and Dispatch Service, Reactive Supply and Voltage Control from Generation Sources Service, Energy Imbalance Service, Operating Reserve - Spinning Reserve Service, and Operating Reserve - Supplemental Reserve Service. These services are provided under Western Resources - Kansas Power & Light's Schedule P at a total bundled cost of \$0.12/kW-mo. Transmission Provider will purchase these services on behalf of the Transmission Customer and charge only a passthrough of the costs charged to the Transmission Provider under Western Resources - Kansas Power & Light's Schedule P, as it may be amended or superseded.

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SCHEDULE 4 Energy Imbalance Service

Energy Imbalance Service is provided when a difference occurs between the scheduled and the actual delivery of energy to a load located within a Control Area over a single hour. The Transmission Provider must offer this service when the transmission service is used to serve load within its Control Area. The Transmission Customer must either purchase this service from the Transmission Provider or make alternative comparable arrangements to satisfy its Energy Imbalance Service obligation. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass-through of the costs charged to the Transmission Provider by that Control Area operator.

The Transmission Provider shall establish a deviation band of +/- 1.5 percent (with a minimum of 1 MW) of the scheduled transaction to be applied hourly to any energy imbalance that occurs as a result of the Transmission Customer's scheduled transaction(s). Parties should attempt to eliminate energy imbalances within the limits of the deviation band within thirty (30) days or within such other reasonable period of time as is generally accepted in the region and consistently adhered to by the Transmission Provider. If an energy imbalance is not corrected within thirty (30) days or a reasonable period of time that is generally accepted in the region and consistently adhered to by the Transmission Provider. If an energy imbalance is not corrected within thirty (30) days or a reasonable period of time that is generally accepted in the region and consistently adhered to by the Transmission Provider, the Transmission Customer will compensate the Transmission Provider for such service at the Transmission Provider's incremental cost if the Transmission Customer has taken energy from the Transmission Provider; the Transmission Provider will pay the Transmission Customer the Transmission Provider's decremental cost if energy is taken from the Transmission Customer. Energy imbalances outside the deviation band will be subject to a charge of 100 mills per kilowatt-hour.

Another entity, Western Resources, Inc. - Kansas Power & Light, provides all of the Transmission Provider's Energy Imbalance Service bundled with Scheduling, System Control and Dispatch Service, Reactive Supply and Voltage Control from Generation Sources Service, Regulation and Frequency Response Service, Operating Reserve - Spinning Reserve Service, and Operating Reserve - Supplemental Reserve Service. These services are provided under Western Resources - Kansas Power & Light's Schedule P at a total bundled cost of \$0.12/kWmo. Transmission Provider will purchase these services on behalf of the Transmission Customer and charge only a passthrough of the costs charged to the Transmission Provider under Western Resources - Kansas Power & Light's Schedule P, as it may be amended or superseded.

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SCHEDULE 5

Operating Reserve - Spinning Reserve Service

Spinning Reserve Service is needed to serve load immediately in the event of a system contingency. Spinning Reserve Service may be provided by generating units that are on-line and loaded at less than maximum output. The Transmission Provider must offer this service when the transmission service is used to serve load within its Control Area. The Transmission Customer must either purchase this service from the Transmission Provider or make alternative comparable arrangements to satisfy its Spinning Reserve Service obligation. The amount of and charges for Spinning Reserve Service are set forth below. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass-through of the costs charged to the Transmission Provider by that Control Area operator.

Another entity, Western Resources, Inc. - Kansas Power & Light, provides all of the Transmission Provider's Operating Reserve - Spinning Reserve Service bundled with bundled with Scheduling, System Control and Dispatch Service, Reactive Supply and Voltage Control from Generation Sources Service, Regulation and Frequency Response Service, Energy Imbalance Service, and Operating Reserve - Supplemental Reserve Service. These services are provided under Western Resources - Kansas Power & Light's Schedule P at a total bundled cost of \$0.12/kW-mo. Transmission Provider will purchase these services on behalf of the Transmission Customer and charge only a passthrough of the costs charged to the Transmission Provider under Western Resources - Kansas Power & Light's Schedule P, as it may be amended or superseded.

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SCHEDULE 6

Operating Reserve - Supplemental Reserve Service

Supplemental Reserve Service is needed to serve load in the event of a system contingency; however, it is not available immediately to serve load but rather within a short period of time. Supplemental Reserve Service may be provided by generating units that are on-line but unloaded, by quick-start generation or by interruptible load. The Transmission Provider must offer this service when the transmission service is used to serve load within its Control Area. The Transmission Customer must either purchase this service from the Transmission Provider or make alternative comparable arrangements to satisfy its Supplemental Reserve Service obligation. The amount of and charges for Supplemental Reserve Service are set forth below. To the extent the Control Area operator performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass-through of the costs charged to the Transmission Provider by that Control Area operator.

Another entity, Western Resources, Inc. - Kansas Power & Light, provides all of the Transmission Provider's Operating Reserve - Supplemental Reserve Service bundled with bundled with Scheduling, System Control and Dispatch Service, Reactive Supply and Voltage Control from Generation Sources Service, Regulation and Frequency Response Service, Energy Imbalance Service, and Operating Reserve - Spinning Reserve Service. These services are provided under Western Resources - Kansas Power & Light's Schedule P at a total bundled cost of \$0.12/kW-mo. Transmission Provider will purchase these services on behalf of the Transmission Customer and charge only a passthrough of the costs charged to the Transmission Provider under Western Resources - Kansas Power & Light's Schedule P, as it may be amended or superseded.

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SCHEDULE 7

Long-Term Firm and Short-Term Firm Point-To-Point Transmission Service

- 1) The Transmission Customers shall compensate the Transmission Provider each month for the Reserved Capacity at the rates listed in Attachment H-1, line 133 through 136.
- 2) Discounts: Three principal requirements apply to discounts for transmission service as follows: (1) any offer of a discount made by the Transmission Provider must be announced to all Eligible Customers solely by posting on the OASIS, (2) any customer-initiated requests for discounts (including requests for use by one's wholesale merchant or an affiliate's use) must occur solely by posting on the OASIS, and (3) once a discount is negotiated, details must be immediately posted on the OASIS. For any discount agreed upon for service on a path, from point(s) of receipt to point(s) of delivery, the Transmission Provider must offer the same discounted transmission service rate for the same time period to all Eligible Customers on all unconstrained transmission paths that go to the same point(s) of delivery on the Transmission System.

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SCHEDULE 8

Non-Firm Point-To-Point Transmission Service

The Transmission Customer shall compensate the Transmission Provider for Non-Firm Point-To-Point Transmission Service up to the sum of the applicable charges set forth below:

- 1) The Transmission Customer shall compensate the Transmission Provider for Non-Firm Point-To-Point Transmission Service up to the sum of the applicable charges set forth in Attachment H-1 to this tariff on lines 133 through 137.
- 2) **Hourly delivery**: The basic charge shall be that agreed upon by the Parties at the time this service is reserved and in no event shall exceed the rate as specified in Attachment H-1 on line 137. The total demand charge in any day, pursuant to a reservation for Hourly delivery, shall not exceed the rate specified for Daily delivery in Attachment H-1 times the highest amount in kilowatts of Reserved Capacity in any hour during such day. In addition, the total demand charge in any week, pursuant to a reservation for Hourly or Daily delivery, shall not exceed the rate specified in Attachment H-1 on line 135 times the highest amount in kilowatts of Reserved Capacity in any hour during such day.
- 3) **Discounts**: Three principal requirements apply to discounts for transmission service as follows (1) any offer of a discount made by the Transmission Provider must be announced to all Eligible Customers solely by posting on the OASIS, (2) any customer-initiated requests for discounts (including requests for use by one's wholesale merchant or an affiliate's use) must occur solely by posting on the OASIS, and (3) once a discount is negotiated, details must be immediately posted on the OASIS. For any discount agreed upon for service on a path, from point(s) of receipt to point(s) of delivery, the Transmission Provider must offer the same discounted transmission service rate for the same time period to all Eligible Customers on all unconstrained transmission paths that go to the same point(s) of delivery on the Transmission System.

Issued By: Pot Darke

Chief Executive Officer

Effective Date: May 5, 2010


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SCHEDULE 9 Loss Compensation Service

Capacity and energy losses occur when a Transmission Provider delivers electricity across its transmission facilities for a transmission Customer. A Transmission Customer may elect to (1) supply the capacity and/or energy necessary to compensate the Transmission Provider for such losses, (2) receive an amount of electricity at delivery points that is reduced by the amount of losses incurred by the Transmission Provider or (3) have the Transmission Provider supply the capacity and/or energy necessary to compensate for such losses. The procedures to determine the amount of losses associated with a transaction are set forth below. If loss compensation service is supplied by the Transmission Provider, the applicable charges for such service are set forth below. Both the procedures for determining the amount of losses and the charges for loss compensation service must be consistent with the rate design of the transmission rates charged by the Transmission Provider. To the extent another entity performs this service for the Transmission Provider, charges to the Transmission Customer are to reflect only a pass-through of the costs charged to the Transmission Provider by that entity.

Charges when the Transmission Provider supplies the capacity and/or energy necessary to compensate the Transmission Provider for losses:

Demand Loss Charges:

\$ 0.7387 per kW-mo.

\$ 0.1705 per kW-wk.

\$ 0.0341 per kW-day

\$ 0.0021 per kW-hour

Energy Loss Charges:

\$ 0.00105 per kW-hour

Loss Percentages when the Transmission Customer elects to supply the capacity and/or energy necessary to compensate the Transmission Provider for losses, or when the Transmission Customer elects to receive an amount of electricity at delivery points that is reduced by the amount of losses incurred by the Transmission Provider:

Demand Loss Percent: 8.57%

Energy Loss Percent: 4.26%

The total demand loss charge in any day, pursuant to a reservation for Hourly Delivery, shall not exceed the rate specified above on a per hour basis times the highest amount in kilowatts of Reserved Capacity in any hour during such day. In addition, the total demand loss charge in any week, pursuant to a reservation for Hourly or Daily delivery, shall not exceed

Port Parke Chief Executive Officer

Effective Date: May 5, 2010



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the rate specified above on a per kW-week basis times the highest amount in kilowatts of Reserved Capacity in any hour during such week.

Issued By: Pat Plache, C

Chief Executive Officer

Effective Date: May 5, 2010



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ATTACHMENT A

Form of Service Agreement for Firm Point-To-Point Transmission Service

- 1.0 This Service Agreement, dated as of ______, is entered into, by and between (the Transmission Provider), and ("Transmission Customer").
- 2.0 The Transmission Customer has been determined by the Transmission Provider to have a Completed Application for Firm Point-To-Point Transmission Service under the Tariff.
- 3.0 The Transmission Customer has provided to the Transmission Provider an Application deposit in accordance with the provisions of Section 17.3 of the Tariff.
- 4.0 Service under this agreement shall commence on the later of (I) the requested service commencement date, or (2) the date on which construction of any Direct Assignment Facilities and/or Network Upgrades are completed, or (3) such other date as it is permitted to become effective by the Commission. Service under this agreement shall terminate on such date as mutually agreed upon by the parties.
- 5.0 The Transmission Provider agrees to provide and the Transmission Customer agrees to take and pay for Firm Point-To-Point Transmission Service in accordance with the provisions of Part II of the Tariff and this Service Agreement.
- 6.0 Any notice or request made to or by either Party regarding this Service Agreement shall be made to the representative of the other Party as indicated below. Transmission Provider:

Transmission Customer:

Issued By: ________, Chief Executive Officer

Effective Date: May 5, 2010



7.0 The Tariff is incorporated herein and made a part hereof:

IN WITNESS WHEREOF, the Parties have caused this Service Agreement to be executed by their respective authorized officials.

Transmission Provider:

By:<u></u> Name

Title

Date

Transmission Customer:

By:___

Name

Title

Date

Issued By: Port Parke

, Chief Executive Officer

Effective Date: May 5, 2010



Specifications for Long-Term Firm Point-To-Point Transmission Service

1.0	Term of Transaction:
	Termination Date:
2.0	Description of capacity and energy to be transmitted by Transmission Provider including the electric Control Area in which the transaction originates:
3.0	Point(s) of Delivery:
	Delivering Party:
4.0	Point(s) of Delivery: Delivering Party:
5.0	Maximum amount of capacity and energy to be transmitted (Reserved Capacity):
6.0	Designation of party(ies) subject to reciprocal service obligation:
7.0	Name(s) of any Intervening Systems providing transmission service:
8.0	Service under this Agreement may be subject to some combination of the charges detailed below. (The appropriate charges for individual transactions will be determined in accordance with the terms and conditions of the Tariff.)

8.1 Transmission Charge:

Issued By: <u>Pat Planke</u>, Chier

Chief Executive Officer

Effective Date: May 5, 2010



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8.2 System Impact and/or Facilities Study Charge(s):

- 8.3 Direct Assignment Facilities Charge:
- 8.4 Ancillary Services Charges:



Chief Executive Officer

Effective Date: May 5, 2010



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ATTACHMENT B

Form of Service Agreement for Non-Firm Point-To-Point Transmission Service

- 1.0 This Service Agreement, dated as of ______, is entered into, by and between ______ (the Transmission Provider), and ______ (Transmission Customer).
- 2.0 The Transmission Customer has been determined by the Transmission Provider to be a Transmission Customer under Part II of the Tariff and has filed a Completed Application for Non-Firm Point-To-Point Transmission Service in accordance with Section 18.2 of the Tariff.
- 3.0 Service under this Agreement shall be provided by the Transmission Provider upon request by an authorized representative of the Transmission Customer.
- 4.0 The Transmission Customer agrees to supply information the Transmission Provider deems reasonably necessary in accordance with Good Utility Practice in order for it to provide the requested service.
- 5.0 The Transmission Provider agrees to provide and the Transmission Customer agrees to take and pay for Non-Firm Point-To-Point Transmission Service in accordance with the provisions of Part II of the Tariff and this Service Agreement.
- 6.0 Any notice or request made to or by either Party regarding this Service Agreement shall be made to the representative of the other Party as indicated below.

Issued By: <u>Pat Planke</u>, Chief Executive Officer

Effective Date: May 5, 2010



Transmission Provider:

Transmission Customer:

7.0 The Tariff is incorporated herein and made a part hereof:

IN WITNESS WHEREOF, the Parties have caused this Service Agreement to be executed by their respective authorized officials.

Transmission Provider:

By:

Name

Title

Date

By:

Name

Title

Date

Issued By: Part Planke

Chief Executive Officer

Effective Date: May 5, 2010



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ATTACHMENT C

Methodology to Assess Available Transmission Capability

Scope of Study

The assessment shall determine whether adequate capacity will be available on the Transmission Provider's Transmission System to provide the service requested by the Applicant. If adequate transmission capacity is not available on the Transmission Provider's Transmission System to provide the service requested by the Applicant, the results of this study shall determine what transmission capacity is available, by what amount the transmission capacity available falls short of the Applicant's request, and the cause of the transmission capacity limitations.

Factors to be considered in determining the capacity availability on the Transmission Provider's Transmission System may include, but not be limited to:

- 1. Steady state load flow study results;
- 2. Stability study results;
- 3. NERC, SPP, MOKAN and the Transmission Provider's system design and reliability criteria;
- 4. Transmission capacity of the existing system;
- 5. Transmission capacity of the system after the request is added; and
- 6. Type and terms of service requested.

Study Standards

The study procedure will use Good Utility Practice and the engineering and operating principles, standards, guideline and criteria of the Transmission Provider, the Southwest Power Pool and MOKAN pool, of which the Transmission Provider is a member, any entity of which the Transmission Provider is a member and is approved by the FERC to promulgate or apply regional or national planning and reliability standards (such as a regional transmission group) or any similar organization that may exist in the future of which the Transmission Provider is then a member. Data for load flow or stability studies shall utilize the current applicable models developed by members of the Southwest Power Pool for use in planning and transfer studies.

By: <u>Patllache</u>, Chief Executive Officer

Effective Date: May 5, 2010



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ATTACHMENT D

Methodology for Completing a System Impact Study

Performance of Study

The Transmission Provider agrees to provide all necessary labor, facilities, transportation, and supervision necessary to perform the System Impact Study for the Applicant. The Transmission Provider shall use its sole discretion as to the scope, details and methods used to perform the Study.

Scope of Study

A meeting between the Transmission Provider and Applicant shall be held as soon a practical after execution of the Study Agreement to: (a) review the application and any known issue that could affect the scope of the study; and (b) develop a scope of study. The location of the meeting shall be at the Transmission Provider's offices unless another location is mutually agreed to.

The results of this study shall determine whether adequate capacity will be available on the Transmission Provider's Transmission System to provide the service requested by the Applicant. If adequate transmission capacity is not available on the Transmission provider's Transmission System to provide the service requested by the Applicant, the results of this study shall determine: what transmission capacity is available; by what amount the transmission capacity available falls short of the Applicant's request; and the cause of the transmission capacity limitations and options to alleviate the constraint (s).

Factors to be considered in determining the capacity availability on the Transmission Provider's Transmission System may include, but not be limited to:

- 1. Steady state load flow study results;
- 2. Stability study results;
- 3. NERC, SPP, MOKAN and the Transmission Provider's system design and reliability criteria;
- 4. Transmission capacity of the existing system;
- 5. Transmission capacity of the system after the request is added; and
- 6. Type and terms of service requested.

Patllane, Chief Executive Officer

Effective Date: May 5, 2010



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Study Standards

The study procedure will use Good Utility Practice and the engineering and operating principles, standards, guideline and criteria of the Transmission Provider, the Southwest Power Pool and MOKAN pool, of which the Transmission Provider is a member, and entity of which the Transmission Provider is a member and is approved by the FERC to promulgate or apply regional or national planning and reliability standards (such as a regional transmission group) or any similar organization that may exist in the future of which the Transmission Provider is then a member. Data for load flow or stability studies shall utilize the current applicable models developed by members of the Southwest Power Pool for use in planning and transfer studies.

Schedule for Completion and Report

Upon receipt of an executed Study Agreement, the Transmission Provider will complete the System Impact study and provide study results to Applicant within a period not to exceed sixty (60) days from the date of receipt, unless the complexity of the application or the number of applications from others reasonably requires additional time. If additional time is required, the Transmission Provider shall notify the Applicant on a timely basis and provide an estimate of the time needed to reach a final determination.

Issued By: Pat Dache

Chief Executive Officer

Effective Date: May 5, 2010



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ATTACHMENT E

Index of Point-To-Point Transmission Service Customers

<u>Customer</u>

Non-Firm Point-to-Point Transmission Service Customers

City of St. John, Kansas (Effective August 15, 2003)



Chief Executive Officer

Effective Date: May 5, 2010



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ATTACHMENT F

Service Agreement For Network Integration Transmission Service

- 1.0 This Service Agreement, dated as of ______ is entered into, by and between ______ (the Transmission Provider), and ______ ("Transmission Customer").
- 2.0 The Transmission Customer has been determined by the Transmission Provider to have a Valid Request for Firm Network Transmission Service under the Transmission Provider's Network Integration Transmission Service Tariff ("Tariff").
- 3.0 The Transmission Customer has provided to the Transmission Provider an Application deposit in accordance with the provisions of Section 29.2 of the Tariff.
- 4.0 Service under this agreement shall commence on the later of: (1) the requested service commencement date, or (2) the date on which construction of any Direct Assignment Facilities and/or Network Upgrades are completed, or (3) such other date as it is permitted to become effective by the Commission. Service under this agreement shall terminate on such date as mutually agreed upon by the parties.
- 5.0 The Transmission Provider agrees to provide and the Transmission Customer agrees to take and pay for Firm Transmission Service in accordance with the provisions of the Tariff and this Service Agreement.
- 6.0 Any notice or request made to or by either Party regarding this Service Agreement shall be made to the representative of the other Party as indicated below.

Transmission Provider:

Transmission Customer:

Issued By: <u>Pot Date</u>, Chief Executive Officer

Effective Date: May 5, 2010



7.0 The Tariff is incorporated herein and made a part hereof.

IN WITNESS WHEREOF, the Parties have caused this Service Agreement to be executed by their respective authorized officials.

Transmission Provider:

Ву:		
Name	Title	Date
Transmission Customer:		
Ву:		
Name	Title	Date

Issued By: Port Parke

Chief Executive Officer

Effective Date: May 5, 2010



SPECIFICATIONS FOR FIRM NETWORK TRANSMISSION SERVICE

- 1.0 Term of Transaction: Start Date: ______ Termination Date:
- 2.0 Description of capacity and/or energy to be transmitted by Transmission Provider across the Transmission Provider's Transmission System (including electric control area in which the transaction originates).
- 3.0 Point (s) of Receipt: Receiving Party: _____
- 4.0 Point (s) of Delivery: Delivering Party:
- 5.0 Maximum amount of capacity and/or energy to be transmitted (Reserved Capacity):
- 6.0 Designation of party subject to reciprocal service obligation:
- 7.0 Name (s) of any Intervening Systems providing transmission service:
- 8.0 Service under this Agreement may be subject to some combination of the charges detailed below. (The appropriate charges for individual transactions will be determined in accordance with the terms and conditions of the tariff.)
- 8.1 Embedded Cost Transmission Charge: _____
- 8.2 Facilities Study Charge:
- 8.3 Direct Assignment Facilities Charge:
- 8.4 Ancillary Services Charge: _____

Issued By: <u>Pat Dark</u>, Chief Executive Officer

Effective Date: May 5, 2010



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ATTACHMENT G Network Operating Agreement

The Transmission Provider hereby commits that any Network Operating Agreement prepared by the Transmission Provider pursuant to Section 17.2 of the Network Integration Tariff shall include, but not be limited to, provisions addressing the following matters:

- 1. Responsibilities for Local Facilities, Resources and Loads
 - a. A provision requiring that each party have and properly maintain the transmission and distribution facilities located on its side of the interconnection point (s) that are necessary to serve its load reliably;
 - b. A provision requiring that each party install or purchase the generation resources necessary to serve its load reliably;
 - A provision requiring that each party operate as a control area or purchase the control area services necessary to operate reliably consistent with NERC and SPP guidelines;
 - d. A provision requiring that each party operate under the guidelines and criteria of the NERC and SPP.
- 2. Continuity and Coordination of Operations
 - a. A provision listing the points of interconnection between the Transmission Provider and the Transmission Customer;
 - b. A provision defining the parties' responsibilities regarding the installation, operation and maintenance of interconnection points and the procedures for the addition of new interconnection points;
 - c. A provision requiring that each party take all reasonable steps to ensure the continuity of synchronous operation'
 - d. A provision requiring that each party take all reasonable steps to minimize the likelihood of any system disturbance;
 - e. A provision requiring that no party shall be obligated to provide reactive power for the benefit of the other party;
 - f. A provision requiring that each party undertake all reasonable efforts to minimize the inadvertent exchange of energy; and
 - g. A provision governing the curtailment of a party's load in times of system emergency so as not to cause a disturbance or burden on the system of the other party.

he_____. Chief Executive Officer

Effective Date: May 5, 2010



3. Metering and Data Transfer Equipment

A provision governing the installation and maintenance of metering and data transfer equipment, as provided in items (i) through (iii) of Section 17.2 of the Network Integration Service Tariff.

4. Planning

A provision governing the coordinated planning and construction of new transmission facilities and the coordinated maintenance of transmission facilities.

5. Scheduling

A provision governing the scheduling of resources over the Transmission Provider's transmission interfaces with other control areas or transmission systems.

6. Operating Committee

A provision establishing an operating committee that will be responsible for administering the provisions of the Agreement.



Chief Executive Officer

Effective Date: May 5, 2010



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ATTACHMENT H

Annual Transmission Revenue Requirement For Network Integration Transmission Service

- 1. The Annual Transmission Revenue Requirement for purposes of the Network Integration Transmission Service shall be equivalent to the Net Zonal Revenue Requirement set-forth on line 130 of the Transmission Formula Rate in Attachment H-1.
- 2. The amount in (1) shall be effective until updated by the Transmission Provider or modified by the Commission.



Chief Executive Officer

Effective Date: May 5, 2010

Midwest Energy, Inc. Transmission Formula Rate Protocols

Section 1, Definitions

Annual Publication and Posting Date shall mean the date on which the event listed in Section 3.A(iii) occurs for each year.

Annual Transmission Revenue Requirement (ATRR) means the amount of revenue the Company must recover annually to recover costs associated with its transmission system, as calculated in its Transmission Formula Rate. ATRR is the same as Net Zonal Revenue Requirement.

Annual Update shall mean Midwest Energy's re-calculation of its ATRR and Network Service rates pursuant to its Formula Rate and these Protocols for the upcoming Rate Year.

Business Day means any day other than a Saturday, Sunday or day on which the FERC is not open for business.

Company means Midwest Energy, Inc.

Customer Meeting shall mean a meeting convened by Midwest Energy at Midwest Energy corporate headquarters in Hays, KS, for Zonal Transmission Customers as well as any other Interested Party that wishes to attend, as is more fully described in Section3.D(i). Such meeting shall include video conferencing, a webinar, or an internet conference.

Discovery Period means a one hundred twenty (120) day period after the Annual Publication and Posting Date for an Interested Party to serve reasonable information requests on Midwest Energy, unless such period is extended with the written consent of Midwest Energy.

Formal Challenge shall mean a challenge to the Informational Filing with FERC made by an Interested Party no later than twenty-one (21) days after the submission of the Informational Filing.

FERC shall mean the Federal Energy Regulatory Commission or its successor, which is the regulatory entity that has jurisdiction over SPP's Open Access Transmission Tariff (SPP Tariff).

Formula Rate (or Transmission Formula Rate) means the mechanism to calculate by formula the ATRR and resulting wholesale rates as directed in the blank Addendum 14 to Attachment H of the SPP Tariff and conducted in the Company's populated Transmission Formula Rate, Sheet 1-20.

Informational Filing means the submittal of Midwest Energy's Annual Update to the FERC as described in Section 5 of these Protocols.

Initial Challenge shall mean a challenge made by an Interested Party within one hundred fifty (150) days, after the Annual Publication and Posting Date, unless this period is extended by the written consent of the Company. An Initial Challenge shall be made in writing, including via electronic communication, to the Company and shall specify the challenge, including those pertaining to Material Accounting Changes, over how Midwest Energy applied the Formula Rate.

Interest means interest computed consistent with the FERC rules in 18 C.F.R. § 35.19a, as in effect from time to time.

Interested Parties includes, but is not limited to, (i) customers under the SPP Tariff; (ii) any state utility commission that has jurisdiction over the rates of the customers that are allocated costs of the Company's facilities; (iii) any consumer advocates who represent customers that are allocated costs of the Company's facilities; and (iv) state attorneys general.

Issue means a question raised by an Interested Party with respect to an Annual Update. A challenge to the Formula Rate itself is not an Issue.

KCC shall mean the State Corporation Commission of the State of Kansas.

Material Accounting Change shall mean a material change in Midwest Energy's accounting policies and procedures from those in effect for the calendar year upon which the immediately preceding Annual Update was based.

Midwest Energy means Midwest Energy, Inc.

NERC means the North American Electric Reliability Corporation.

NERC Standards means the reliability and critical infrastructure protection standards promulgated in accordance with Section 1211 of the Energy Policy Act of 2005, Section 215 of the Federal Power Act, 16 U.S.C. 8240, as in effect from time to time.

Rate Year means services rendered to the Company's wholesale customers on and after September 1 of a given calendar year through August 31 of the subsequent calendar year.

Resolution Period means the twenty-one (21) day period following the Review Period to resolve an Initial Challenge, unless such twenty-one day period is extended by the written consent of the Company.

Review Period means the one hundred fifty (150) day period following the Annual Publication and Posting Date, for Interested Parties to review the calculations and notify Midwest Energy of any specific challenges, including those related to Material Accounting Changes, over how Midwest Energy applied the Formula Rate, unless the Company extends the one hundred fifty day period by written consent.

SPP means the Southwest Power Pool, Inc., or its successor.

Zonal Transmission Customers means any person that is or has applied to become an SPP transmission customer whose service is all or partly in the Midwest Energy Zone.

Section 2, Annual Update

A. The Annual Update for the Rate Year:

- (i) shall be based upon data included in Midwest Energy's independentlyaudited, certified FERC Form No. 1 for the most recent calendar year, as specified in the Formula Rate, and Midwest Energy's independentlyaudited, certified books and records, consistent with FERC accounting policies;
- (ii) shall provide supporting documentation for data used in the Formula Rate, but not otherwise available in the FERC Form No. 1, as specified in the Formula Rate;¹
- (iii) shall include an identification of (a) any changes in Midwest Energy accounting policies, practices, and procedures (including changes resulting from revisions to FERC's Uniform System of Accounts and/or Form No. 1 reporting requirements) from those in effect during the calendar year upon which the most recent ATRR was based and that, in Midwest Energy's reasonable judgment, could impact the Formula Rate or the calculations under the Formula Rate within the next year, and (b) any changes in the SPP Tariff from the provisions of the SPP Tariff in effect during the calendar year upon which the most recent ATRR was based and that, in Midwest Energy's reasonable judgment, could impact the Formula Rate within the next year, and that, in widwest Energy's reasonable judgment, could impact the Formula Rate within the next year was based and that, in Midwest Energy's reasonable judgment, could impact the Formula Rate within the next year;
- (iv) shall be subject to challenge limited to whether the Formula Rate, including treatment of Material Accounting Changes, has been applied appropriately under its terms and these Protocols;

- (v) shall not seek to modify the Formula Rate and shall not be subject to challenge by any party seeking to modify the Formula Rate, including modification of (a) the most recently approved rate of return on equity (b) depreciation and amortization rates, and (c) "Post-Employment Benefits other than Pension" under Statement of Financial Accounting Standards No. 106, Employers' Accounting for Postretirement Benefits Other Than Pensions (PBOP) charges;
- (vi) shall include a change analysis of, at minimum, revenue requirement components of rate base, operating and maintenance expenses, depreciation expense, taxes, return on rate base, and revenue credits as compared to the corresponding components in the revenue requirement that was calculated for the prior rate year with an explanation of any material changes (increase over 10 percent and over \$100,000 from the previous year for each of the listed revenue requirement components); and
- (vii) to the extent that payment of a fine or penalty for violation or alleged violation of NERC Standards has been made on or after January 1, 2015, and the amount is included in the Formula Rate, shall include a description of the violation, the enforcement proceeding, and the basis for seeking recovery of such violation in rates.
- B. Formula Rate inputs for (i) rate of return on patronage capital, (ii) depreciation and amortization rates, and (iii) PBOP under Statement of Financial Accounting Standards No. 106, Employers' Accounting for PBOP charges shall be fixed, stated values to be used in the rate formula until changed under authority of the FERC; provided, however, that despite the previous limitation, periodic updates to the depreciation rates used in the formula, while still subject to the formal review by the FERC and the affected parties in a docketed separate proceeding prior to application, will not cause the re-opening of the entire formula.

Section 3, Review of Midwest Energy's Annual Update

- A. On or before May 10 of each year, Midwest Energy shall:
 - (i) Recalculate its ATRR and Network Service Rates, producing the "Annual Update" discussed in Section 2 above for the upcoming Rate Year;

¹ It is the intent of the Formula Rate, including the supporting explanations and allocations described therein, that each input to the Formula Rate will be either taken directly from the FERC Form No. 1 or reconciled to the FERC Form No. 1 by the application of clearly identified and supported information. Where any reconciliation is provided through a worksheet included in the filed Formula Rate template, the inputs to the worksheet must meet this transparency standard, and doing so will satisfy this transparency requirement for the amounts that are output from the worksheet and input to the main body of the Formula Rate. Worksheets will be clearly labeled to direct the reviewer to the applicable support portion of the worksheet.

- (ii) Prepare for posting an Annual Update. The Annual Update shall include a workable data-populated Formula Rate template with all cell formulas functional, containing the supporting workpapers of the appropriate data to calculate the Annual Update for the upcoming Rate Year.
- (iii) Post, or cause to be posted, the Annual Update on SPP's OASIS website; and
- (iv) Notify its Zonal Transmission Customers and the KCC's designated staff, via e-mail to the most recent e-mail addresses provided to Midwest Energy, of the website address of the Annual Update posting, and e-mail, phone and street address contact information for corresponding with the appropriate Company representative(s) to answer related questions.
- B. If the date for making the Annual Update posting should fall on a weekend, or a FERC-recognized holiday, then the posting and filing shall be due on the next Business Day.
- C. Upon written request for a particular year's Annual Update by any Interested Party, Midwest Energy will promptly make available to such entity and/or a consultant designated by it, an Excel file, with all formulas functional, containing that year's Annual Update data.
- D. Each Annual Update shall be subject to the following review procedures and timeline (if any of the dates provided for herein do not fall on a Business Day, then the due date shall be the first Business Day thereafter):
 - (i) Each year, Midwest Energy will, with at least thirty (30) calendar days' notice, convene a Customer Meeting no sooner than ten (10) Business Days after the filing date of the Annual Publication and Posting Date, and no later than June 16 to discuss the Annual Update. Midwest Energy will provide notice on the SPP OASIS website of the time, date, and meeting arrangements. The open meeting will be hosted by Midwest Energy and may be conducted via video conferencing, webinar, internet conferencing, phone conferencing, in person meeting, or other similar options. Midwest Energy shall provide remote access for Interested Parties to participate in the meeting. The Customer Meeting shall (a) permit Midwest Energy to explain and clarify its Annual Update and (b) provide Interested Parties an opportunity to seek information and clarifications from Midwest Energy about the Annual Update.
 - (ii) Interested Parties shall have a Discovery Period ending one hundred twenty (120) calendar days after the Annual Publication and Posting Date to serve reasonable information requests on Midwest Energy. Information requests shall be limited to what is necessary to determine if Midwest Energy has properly applied the Formula Rate and whether the calculated

rates are just and reasonable. In addition, information requests may only solicit information concerning data inputs to the Formula Rate template. Midwest Energy shall post on the SPP OASIS website all information requests from Interested Parties and Midwest Energy's response(s) to such requests; except, if responses to requests include material deemed by Midwest Energy to be confidential, such responses will be made available to requesting parties pursuant to a confidentiality agreement to be executed by Midwest Energy and requesting party.

- (iii) Midwest Energy shall make a good faith effort to respond to information requests pertaining to the Annual Update within ten (10) Business Days of receipt of such requests.
- (iv) Interested Parties shall have a Review Period ending one hundred fifty (150) calendar days after the Annual Publication and Posting Date (unless Midwest Energy extends the period under written consent) to review the calculations and to notify Midwest Energy in writing, including via electronic communication, of any specific challenges, including those related to Material Accounting Changes, over how Midwest Energy applied the Formula Rate.
- (v) If an Initial Challenge has been made by any Interested Party(ies) during the Review Period, then Midwest Energy and the party(ies) have up to 21 calendar days after the Review Period to resolve the Initial Challenge (Resolution Period) (unless Midwest Energy extends the Resolution Period under written consent).

Section 4, Contents of Informational Filings at FERC

- A. By November 15 of the year of the posting of the Annual Update on SPP's OASIS and the subsequent Discovery and Review Periods as described in Section 3 of these Protocols, Midwest Energy or the SPP shall submit an Informational Filing to FERC.
- B. Midwest Energy shall post, or cause to be posted, the Informational Filing onto SPP's OASIS website. Midwest Energy shall also notify its Zonal Transmission Customers and the KCC's designated Staff, via e-mail to the most recent e-mail addresses provided to Midwest Energy, of the website address of the Informational Filing.
- C. The Informational Filing must include the information that is reasonably necessary to determine: (1) the input data under the Formula Rate are properly recorded in any underlying workpapers; (2) that Midwest Energy has properly applied the Formula Rate and these Protocols; (3) the accuracy of data and the consistency with the Formula Rate of the ATRR and rates under review; and (4) the extent of accounting changes that affect Formula Rate inputs.

Informational Filing must also describe any corrections or adjustments made and must describe all aspects of the Formula Rate or its inputs that are the subject of an ongoing dispute under the Initial Challenge procedures.

D. Any changes to the data inputs, including but not limited to revisions to Midwest Energy's FERC Form No. 1, or as the result of any FERC proceeding to consider the Annual Update, or as a result of these Protocols, shall detail how the reconciliation is calculated, as well as how associated interest is calculated. The reconciled value will be incorporated into "1-Appendix A" and the charges produced by the Formula Rate, with the appropriate footnote explaining the reconciliation. Interest shall be computed pursuant to 18 C.F.R. § 35.19a. The impact of the change (as well as the associated interest) will be reflected in Midwest Energy's calculated revenue requirement during the next possible Annual Update following the specific change.

Section 5, Formal Challenges

- A. A Formal Challenge must be filed within 21 days of the filing of the Informational Filing. An Interested Party may not pursue a Formal Challenge if that party did not submit an Initial Challenge on at least one issue. Having submitted an Initial Challenge on at least one issue, an Interested Party may pursue a Formal Challenge on other issues.
- B. A Formal Challenge shall:
 - (i) Clearly identify the action or inaction which is alleged to violate the Formula Rate, explain the alleged violation, and make a good faith effort to quantify the financial impact or burden (if any) on the filing party as a result of the alleged violation;
 - (ii) State whether the issues presented are pending in an existing FERC proceeding or a proceeding in any other forum in which the filing party is a party, and if so, provide an explanation why timely resolution cannot be achieved in that forum;
 - (iii) State the specific relief or remedy requested, including any request for stay or extension of time, and the basis for that relief;
 - (iv) Include all documents that support the facts in the Formal Challenge in possession of, or otherwise attainable by, the filing party, including, but not limited to, contracts and affidavits; and
 - (v) State whether the filing party utilized the Initial Challenge procedures above to dispute the alleged violation raised by the Formal Challenge, and, if not, describe why not.

- C. The party filing the Formal Challenge must serve a copy of it on Midwest Energy via email simultaneous with filing at FERC. Simultaneous service can be accomplished by electronic mail in accordance with 18 C.F.R. § 385.2010(f)(3).
- D. In addition to any challenges brought before the FERC through the Formal Challenge procedures, any challenges to SPP's implementation of Midwest Energy's Formula Rate may be made in a separate Section 206 complaint proceeding before FERC.
- E. In any proceeding concerning FERC review of the filing of Midwest Energy's Annual Update, or in response to a Formal Challenge, Midwest Energy shall bear the burden of demonstrating that: (1) SPP's pass-through of Midwest Energy's ATRR in FERC-jurisdictional rates does not result in FERC-jurisdictional rates that are unjust and unreasonable rates; (2) it correctly applied the terms of the Formula Rate consistent with these Protocols; and (3) it followed the applicable requirements and procedures in these Protocols. Nothing herein is intended to alter the burdens applied by FERC with respect to prudence challenges.

For	mula Rate	Notes	FERC Form 1 Page # or Instruction	Year Ending 12/31/2015
Sha	ded cells are input cells			
Alloca	ators			
	Wages & Salary Allocation Factor			
1	Transmission Wages Expense		p354.21.b	0
2	Total Wages Expense		p354.28b	0
3	Less A&G Wages Expense		p354.27b	0
4	Total		(Lines 2 - 3)	0
5	Wages & Salary Allocator		(Lines 1 / 4)	0.0000%
	Plant Allocation Factors			
6	Electric Plant in Service	(Note B)	Cost Support Sheets	0
7	Common Plant In Service - Electric		(Line 24)	0
8	Total Plant In Service		(Sum Lines 6 to 7)	0
9	Accumulated Depreciation (Total Electric Plant)		p219.29c	0
10	Accumulated Intangible Amortization	(Note A)	p200.21c	0
11	Accumulated Common Amortization - Electric	(Note A)	p356	0
12	Accumulated Common Plant Depreciation - Electric	(Note A)	p356.1	0
13	Total Accumulated Depreciation		(Sum Lines 9 to 12)	0
14	Net Plant		(Lines 8 - 13)	0
15	Transmission Gross Plant		(Lines 29 - Line 28)	0
16	Gross Plant Allocator		(Lines 15 / 8)	0.0000%
17	Transmission Net Plant		(Lines 39 - Line 28)	0
18	Net Plant Allocator		(Lines 17 / 14)	0.0000%
Diant	Calculations			
1 Ian				
	Plant In Service			
19	Transmission Plant In Service	(Note B)	p207.58.g	0
20	This line is not used but is held for future use			
21 22	This line is not used, but is held for future use. Total Transmission Plant In Service		(Lines 19 - 20 + 21)	0
22			(Lines 13 - 20 + 21)	0
23	General & Intangible		p205.5.g & p207.99.g	0
24	Common Plant (Electric Only)	(Notes A & B)	p356	0
25	Total General & Common		(Lines 23 + 24)	0
26 27	Wage & Salary Allocation Factor General & Common Plant Allocated to Transmission		(Line 5) (Lines 25 * 26)	0.00000%
27			(LINES 23 20)	U
28	Plant Held for Future Use (Including Land)	(Note C)	p214	0
29	TOTAL Plant In Service		(Lines 22 + 27 + 28)	0
			· · ·	

For	mula Rate	Notes	FERC Form 1 Page # or Instruction	Year Ending 12/31/2015
Sha	ded cells are input cells			
Accur	nulated Depreciation			
30	Transmission Accumulated Depreciation	(Note B)	p219.25.c	0
31	Accumulated General Depreciation		p219.28.c	C
32	Accumulated Intangible Amortization		(Line 10)	C
33	Accumulated Common Amortization - Electric		(Line 11)	(
34	Common Plant Accumulated Depreciation (Electric Only)		(Line 12)	(
35	Total Accumulated Depreciation		(Sum Lines 31 to 34)	C
36	Wage & Salary Allocation Factor		(Line 5)	0.00000%
37	General & Common Allocated to Transmission		(Lines 35 x 36)	C
38	TOTAL Accumulated Depreciation		(Lines 30 + 37)	0
39	TOTAL Net Property, Plant & Equipment		(Lines 29 - 38)	0
A	ferrent To Dote Door			
Aajus	tment To Rate Base			
	Prepayments			
40	Prepayments (Account 165)	(Note A)	p111.57c	0
41	Net Plant Allocation Factor	. ,	(Line 18)	0.0000%
42	Total Prepayments Allocated to Transmission		(Lines 40 x 41)	0
	Materials and Supplies			
43	Materials and Supplies	(Note A)	Cost Support Sheets	-
44	Wage & Salary Allocation Factor		(Line 5)	0.00%
45	Total Transmission Allocated		(Linex 43 x 44)	C
46	Transmission Materials & Supplies - if not included in line 43			C
47	Total Materials & Supplies Allocated to Transmission		(Lines 45 + 46)	0
	Cash Working Capital			
48	Transmission Operation & Maintenance Expense		(Line 73)	C
49	1/8th Rule		x 1/8	12.5%
50	Total Cash Working Capital Allocated to Transmission		(Lines 48 x 49)	0
	Construction Work in Progress (CWIP)			
51	Transmission Construction Work in Progress		Cost Support Sheets	0

52	TOTAL Adjustment to Rate Base	(Line 42+47+50+51)	0
53	Rate Base	(Lines 39 + 52)	0

For	mula Rate	Notes	FERC Form 1 Page # or Instruction	Year Ending 12/31/2015
Sha	ded cells are input cells			
O&M				
	Transmission O&M			
54	Transmission O&M		p322.112.b	
55	Less Account 565	(Note I)	p322.96.b	
56	Less Schedule 12 payments if specifically recovered elsewhere	(Note I)	MWE Data	
57	Less Transmission Share of Acc. 454 - Rent from Elec Property	(Note C)	Cost Support Sheets	
58	Plus Transmission Lease Payments	(Note E)		
59	Transmission O&M	((Lines 54-55-56-57+58)	
	Allocated General & Common Expenses			
60	Common Plant O&M	(Note A)	p356	
61	Adjusted A&G	Υ Υ	Cost Support Sheets	
62	General & Common Expenses		(Lines 60 x 61)	
63	Wage & Salary Allocation Factor		(Line 5)	0.0000%
64	General & Common Expenses Allocated to Transmission		(Lines 62 x 63)	
65	Directly Assigned A&G			
66	Property Insurance Account 924		Cost Support Sheets	(
67	Net Plant Allocation Factor		(Line 18)	0.00%
68	Transmission Property Insurance		(Lines 67 x 68)	(
69	Plus Transmission General Advertising	(Note J)	Cost Support Sheets	
70	Plus Transmission Regulatory - State		Cost Support Sheets	
71	Plus Transmission Regulatory - Federal		Cost Support Sheets	(
72	Plus Transmission Industry Dues	(Note D)	Cost Support Sheets	(
73	Total Transmission O&M		(Lines 59 + 64 + 68 + 69 + 70 + 71 + 72)	0
Depre	ciation & Amortization Expense			
	Depreciation Expense			
74	Transmission Depreciation Expense		Depreciation & Amortization Sheets	(
75	General Depreciation		Depreciation & Amortization Sheets	
76	Intangible Amortization	(Note A)	Depreciation & Amortization Sheets	(
77	Total		(Lines 75 + 76)	(
78	Wage & Salary Allocation Factor		(Line 5)	0.0000%
79	General Depreciation Allocated to Transmission		(Lines 77 x 78)	(
80	Common Depreciation - Electric Only	(Note A)	Depreciation & Amortization Sheets	#DIV/0
81	Common Amortization - Electric Only	(Note A)	p356 or p336.11.d	
82	Total		(Lines 80 + 81)	#DIV/0
83	Wage & Salary Allocation Factor		(Line 5)	0.0000%
84	Common Depreciation - Electric Only Allocated to Transmission		(Lines 82 x 83)	#DIV/0
85	Total Transmission Depreciation & Amortization		(Lines 74 + 79 + 84)	#DIV/0
			· · · · · · · · · · · · · · · · · · ·	
laxes	Other than Income			
86	Taxes Other than Income		Other Taxes Sheets	C
87	Total Taxes Other than Income		(Line 86)	0
-			· /	

Forr	nula Rate		Notes	FERC Form 1 Page # or Instruction	Year Ending 12/31/2015
Sha	ded cells are input	t cells			
Return	n / Capitalization Calculatio	ons			
	Long Term Interest				
88	Long Term Interest (0	Consolidated)		p117.62c through 67c	0
	Patronage Capital & Othe	r Equity			
89	Patronage Capital &	Other Equity (Consolidated)		p112.16c	0
	Capitalization				
90	Long Term Debt (Cor			p112.18.c through 21.c	0
91	Total Long Term Deb	t		(Line 90)	0
92	Patronage Cap.			(Line 89)	0
93	Total Capitalization			(Lines 91 + 92)	0
94	Debt %	Total Long Term Debt		(Lines 91 / 93)	0.0000%
95	Capital %	Patronage Cap.		(Lines 92 / 93)	0.0000%
96	Debt Cost	Total Long Term Debt		(Lines 88 / 91)	0.0000%
97	Equity Cost	Patronage Cap.	(Note F)	Fixed - with 50 bps RTO Member adder	10.5000%
98	Weighted Cost of Del			(Lines 94 x 96)	0.0000%
99	Weighted Cost of Ca	pital Patronage Cap.		(Lines 95 x 97)	0.0000%
100	Total Return (R)			(Lines 98 + 99)	0.0000%
101	Investment Return = Rate	Base * Rate of Return		(Lines 53 x 100)	0
REVE					
-	Summary				
102	Net Property, Plant &	Equipment		(Line 39)	0
102	Adjustment to Rate B			(Line 52)	0
104	Rate Base			(Line 53)	0
105	O&M			(Line 73)	0
106	Depreciation & Amor	tization		(Line 85)	#DIV/0!
107	Taxes Other than Inc	ome		(Line 87)	0
108	Investment Return			(Line 101)	0
109	Gross Revenue Req	uirement		(Sum Lines 105 to 108)	#DIV/0!

Form	ula Rate		Notes	FERC Form 1 Page # or Instruction	Year Ending 12/31/2015
	ed cells are input cells				
	djustment to Remove Revenue Requirements Assoc	ciated with Excluded Tran	nsmission Facilit	ies	
110	Transmission Plant In Service			(Line 19)	
111	Excluded Transmission Facilities		(Note H)	Cost Support Sheets	
112	Included Transmission Facilities			(Lines 110 - 111)	
113	Inclusion Ratio			(Lines 112 / 110)	0.0000
114	Gross Revenue Requirement			(Lines 109)	#DIV/0!
115	Adjusted Gross Revenue Requirement			(Lines 113 x 114)	#DIV/0!
116	Less Account 456 - Other Electric Revenue (transi	mission-related activity)		Cost Support Sheets	
17	Net Revenue Requirement			(Lines 115 - 116)	#DIV/0!
N	et Plant Carrying Charge without New Investment In	centive			
118	Net Revenue Requirement			(Line 117)	#DIV/0!
119	Net Transmission Plant			(Lines 19 - 30)	-
120	Net Plant Carrying Charge			(Lines 118 / 119)	0.0000
121	Net Plant Carrying Charge without Depreciation			((Ln118 - 74) / Ln119)	0.0000
122	Net Revenue Requirement			(Line 117)	#DIV/0!
123	Less Base Plan Revenue Requirement			RTO Directed Projects, L13, Col. (k)	#DIV/0!
	Less Balanced Portfolio Revenue Requirement			RTO Directed Projects, L17, Col.(k)	#DIV/0!
	Line currently not used, but reserved for future use				
124	Net Zonal Revenue Requirement			(Line 122 - Line 123)	#DIV/0!
	etwork Zonal Service Rate				
125	12 CP Peak		(Note G)	12CP Firm Trans Sheet	#DIV/0!
126	Rate (\$/KW-Year)			(Lines 124 / 125)	#DIV/
F	irm Transmission Service Rates			Non-Firm Transmission Service Rates	
127	Annual Point-to-Point Firm (\$/kW) #E	DIV/0!		Annual Point-to-Point Non-Firm (\$/kW)	n
128	Monthly Firm (\$/kW) - (Annual/12) #E	0IV/0!		Monthly Non-Firm (\$/kW) - (Annual/12)	#DIV/0!
129	Weekly Firm (\$/kW) - (Annual/52) #E	0IV/0!		Weekly Non-Firm (\$/kW) - (Annual/52)	#DIV/0!
130	Daily Firm (\$/kW) - (Weekly/5) #D	0IV/0!		Daily Non-Firm (\$/kW) - (Weekly/5)	#DIV/0!
	Hourly Firm (\$/MWh)	n/a		Hourly Non-Firm (\$/MWh) (Daily/16 x 1000)	#DIV/0!

B Exclude Construction Work In Progress.

C Transmission Portion Only

D 50% of W/S portion of all KEC and NRECA Annual Membership Dues E Transmission lines leased from others. Midwest does not lease transmission lines from other entities.

F Equity cost shall remain fixed as approved by the Kansas Corporation Commission, and shall not change until a change in the cost of equity as approved by the Commission becomes effective

G Average aggregated firm demand during each of the 12 calendar-month peak demand hours (i.e., *12 CP') for Network and Point to Point transactions of over one year on the Company's transmission system

H Amount of transmission plant excluded from rates, includes investment that does not pass the FERC tests for functionalization as transmission plant. Midwest Energy details specific segments of line in Attachment 3.

L Midwest Energy Records assessments by SPP less revenues from SPP in account 555 (i.e. debit and credits) . The amounts offset each other completely. However, SPP also adds its administrative fees thereby making a net expense charged to 555. This administrative fee is recovered through the ECA mechanism and therefore should not be included as part of the TFR MWE does not record anything in account 565. ="Account 566 is the account where NERC and FERC assessments are charged and are included in Transmission O&M expense (line 56)." J 50% of W/S Allocated Advertising

END



Taxes Other Than Income Worksheet

Total as reported on p. 263(i)

0

Midwest Energy, Inc. Transmission Formula Rate Attachment H-1 to Open Access Transmission Tariff - Cost Support

Test Year 12/31/20xx

Electric / Non-electric Cost Support

	SPP Formula Line #s, Descriptions, Notes, Form 1 Page #s and	Instructi	ons	Form 1 Amount	Electric Portion	Non-electric Port	ion	Details
	Plant Allocation Factors							
10	Accumulated Intangible Amortization		p200.21c					
11	Accumulated Common Amortization - Electric		p356					
12	Accumulated Common Plant Depreciation - Electric	(Note A)	p356.1					
	Plant In Service							
6	Electric Plant in Service		p207.104g				 RC Sched 4-1, Col 4, L7 	
24	Common Plant (Electric Only)	(Notes A & E						
87	Gross Plant in Service		200.13.b and c	-	-		 RC Sched 8-1, C4, L7-L6, Sched 4-1, C4, L13 	
	Gross Plant in Service Allocation Factor				#DIV/0!	#DIV/0!		
	Prepayments							
40	Prepayments (Account 165)	(Note A)	p111.57c					
	Materials and Supplies							
43	Materials and Supplies	(Note A)	p227.5c & 6c	-			- RC Sched 6-1, Col 4 & 5, L6	
	Reference footnote in Form 1 for split between electric and non-electric portion.							
	Allocated General & Common Expenses							
58	Plus Transmission Lease Payments	(Note E)	0					
60	Common Plant O&M	(Note A)	p356					
	Depreciation Expense							
76	Intangible Amortization	(Note A)	Depreciation & Amortiza					
80	Common Depreciation - Electric Only	(Note A)	Depreciation & Amortiza	tion Sheets				
81	Common Amortization - Electric Only	(Note A)	p356 or p336.11.d					

Transmission / Non-transmission Cost Support

	SPP Formula Line #s, Descriptions, Notes, Form 1 Page #s and Instructions				Transmission Related	Non-transmission Related	Details
28	Plant Held for Future Use (Including Land)	(Note C)	p214				Specific identification based on plant records
							2
							5 4
							6
							,

Midwest Energy, Inc. Transmission Formula Rate Attachment H-1 to Open Access Transmission Tariff - Cost Support

Test Year 12/31/20xx

CWIP & Expensed Lease Worksheet

SPP Formula Line #s, Descriptions, Notes, Form 1 Page #s and Instructions				Adjustments	Adjusted CWIP	Details
Plant Allocation Factors						
6 Electric Plant in Service	(Note B)	Cost Support Sheets				See Form 1
Construction Work in Progress						
51 CWIP Adjustment		p200.11c	C	-		Goodman Energy Center included in CWIP
					0	Direct amt, see WP1
Plant In Service				-		
19 Transmission Plant In Service	(Note B)	p207.58.g				See Form 1
24 Common Plant (Electric Only)	(Notes A & B)	p356				See Line 24
Accumulated Depreciation						
30 Transmission Accumulated Depreciation	(Note B)	p219.25.c				See Form 1
'						

Administrative and General Cost Support

SPP Formula Line #s, Descriptions, Notes, Form 1 Pag	e #s and Instructions	Form 1 Amount	Transmission Amount	Removed from Transmission	Calculation Details	Notes
Allocated General & Common Expenses						
Administrative and General Expense	p.324.197b					
Less Property Insurance, Account 924	p.324.185.b					
Less General Advertising, Account 930.1	p.324.191.b	-	-	-		Applying W/S to the Form 1 amount times 50%
Less Regulatory- State, Account 928.1		-	-	-		Prior Year TFR Revenue Requirement divided by GIOR for Prior Year
Less Regulatory - Federal, Account 928.0			-			Direct Assigned if/when applicable
Less Industry Dues, Account 930.20.30		-		-		NRECA plus KEC dues times W/S times 50%
Adjusted Administrative & General Expense		-				
						*The GIOR is the sum of Prior Year TFR revenue requirement,
						current year Sale for Resale Revenue (Form 1), and TFR-related portion
						of the Account 456 identified as revenue credit in the Prior Year's TFR.

Excluded Plant Cost Support

	rmula Line #s, Descriptions, Notes, Form 1 Page #s and evenue Requirements Associated with Excluded Transmission Facilities	Instructions	Excluded Transmission Facilities	Description of the Facilities
111 Excluded Transm	ission Facilities	(Note H) Cost Support Sheets	s -	
			\$ -	
			\$-	
			\$-	Total 69 KV Line (miles) 0 Miles of 0 Total cost of 69 KV Line #DIV/01 Cost of #DIV/01 Add more lines if necessary

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Midwest Energy, Inc. Transmission Formula Rate Attachment H-1 to Open Access Transmission Tariff - Cost Support

Test Year 12/31/20xx

Revenue Credit Support

	SPP Formula Line #s, Descriptions, Notes, Form 1 Page #s and Instruction	ons	Form 1 Balance	Functional Allocation	Transmission Portior	1
Revenue Ci	redits for Rents and Non-Firm Transmission Service					
57 Accourt	nt 454 - Rents from Utility Property	p300.19.b		0.00% \$	-	Sched 9-2, Col 1, L16
116 Accou	nt 456.20.10 - Portion that is Non-Firm Transmission Service	MWE Data		PTP Transmissior editing per SPP At		
				Company Total \$		
			January \$	-		
			February	-		
			March	-		
			April	-		
			May	-		
			June	-		
			July	-		
			August	-		
			September	-		
			October	-		
			November	-		
			December	-		
			Period Total \$	-		
			See	e Acct 456 TFR Amt S	Sheets	

Load Cost Support

	SPP Formula Line #s, Descriptions, Notes, Form 1 Page #s an	d Instructions	12 CP Peak	Documentation	
Netwo	rk Zonal Service Rate				
125 1	2 CP Peak	(Note G) 12CP Firm Trans Sheet			Total Transmission System Firm 12 CP Demand

Page 9 of 17

Midwest Energy, Inc. Transmission Formula Rate Attachment H-1 to Open Access Transmission Tariff - Acct 456 TFR-related Amount

Revenues for Non-Firm RTO PTP Transmission Service and Revenue Crediting for Upgrades Test Year 12/31/20xx

TFR-related Amounts Booked to Acct. 456

	Sch	nedule 7,8,11	PTP Revenues or ar	ny Other PTP Revenue, or Adjust	tment Revenue Cred	ited to TO by S	SPP simila	r to PTP			
	0.55										
	SPP	SPP									
	Schedule 7	Schedule 8	SPP Schedule 11	SPP Attachment Z2	SPP Schedule 1	Other					
Month	Revenues	Revenues	Revenues	Revenue Credits	Revenues	Revenue				Total	
1.											
January											\$0
February											\$0
March											\$0 \$0
April											
May June											\$0 \$0
July											
August											\$0 \$0
September											\$0 \$0
October											\$0 \$0
November											\$0 \$0
December											\$0 \$0
Total	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0 \$0

Midwest Energy, Inc. Transmission Formula Rate Attachment H-1 to Open Access Transmission Tariff - 12CP Firm Transmission

Month	Year	System Firm Peak Load	Wholesale Firm PTP Contribution to Peak	Wholesale NITS Cont. to Peak Non-MDWE	Total MIDW NITS Contribution to Peak	WHOLESALE NITS Cont. to Peak MDWE Munis	RETAIL NITS Contribution to Peak
Jan.	2015						
Feb	2015						
Mar	2015						
Apr	2015						
May	2015						
Jun	2015						
Jul	2015						
Aug	2015						
Sep	2015						
Oct	2015						
Nov	2015						
Dec	2015						
Average 1	2 CP	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!

Summary of 12 CP Firm Calculation Test Year 12/31/20xx

PTP = "Point-to-Point" transmission service.

NITS = "Network Integration Transmission Service" provided under either Midwest Energy's or the Southwest Power Pool's Open Access Transmission Tariff.

			em Firm Demand (KW								
		Hour Ending		Mon	thly Coincident Peak	Demand (kW)					
								Plus: Non-		Correction for	Corrected
							Plus: Non-	Control-	Total	Over-	Total
			Total Transmission	Less: Firm PTP	Plus: Firm PTP		Company	Area	Transmission	Scheduled	Transmission
			System Scheduled	Scheduled	Contract Demand	Less: Non-Firm	Generation	Demand	System Firm	Firm Demand	System Firm
Month	Date		Peak	Demand (Table 2)	(Table 3)	Demand (Table 4)	(Table 5)	(Table 6)	Demand (kW)	(Note 1)	Demand (kW)
lonuoni											0
January											0
February											0
March											0
April											0
May											0
June											0
July											0
August											0
Septembe	r										0
October											0
November											0
December											0
12-CP				#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	0

Table 1. Total Transmission System Firm Demand (kW) at Coincident Peak

Page 11 of 17

Table 2. Firm Point-to-Point (PTP) Scheduled Demand (kW) at Coincident Peak

Data reflect actual scheduled demand under PTP transmission service agreements during monthly coincident peaks.

					•		Firm PT	P Transmission Custom	er						Firm PTP
		Hour	Sunflower	WAPA		GRDA	GRDA			Colby		LaCrosse		Radium	Scheduled
Month	Date	Ending	GFA	(OAKLEY)	WAPA (COLBY)	(STERLING)	(ELLINWOOD)	GRDA (LARNED)	St. John Firm	Firm	Jetmore Firm	Firm	Seward Firm	Firm	Demand
January	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
February	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
March	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
April	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
May	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
June	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
July	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
August	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
Septembe	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
October	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
November	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
December	1/0/00	0	0	0	0	0	0	0	0	0	0	C	0	0	0
12-CP			0	0	0	0	0	0	0	0	0	C	0	0	0

Table 3. Firm Point-to-Point (PTP) Contract Demand (kW) at Coincident Peak

Data reflect contract demand reservations under PTP transmission service agreements during monthly coincident peaks.

							Firm PT	P Transmission Custom	er						Firm PTF
		Hour	Sunflower	WAPA		GRDA	GRDA			Colby		LaCrosse		Radium	Contrac
Month	Date	Ending	GFA	(OAKLEY)	WAPA (COLBY)	(STERLING)	(ELLINWOOD)	GRDA (LARNED)	St. John Firm	Firm	Jetmore Firm	Firm	Seward Firm	Firm	Demand
			_										_	-	
January	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
February	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
March	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
April	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
May	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
June	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
July	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
August	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
Septembe	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
October	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
November	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
December	1/0/00	0	0	0	0	0	0	0	0	0	0	0	0	0	
12-CP			0	0	0	0	0	0	0	0	0	0	0	0	

Table 4. Non-Firm Sales Demand (kW) at Coincident Peak

Data reflect scheduled demands under non-firm Point to Point transmission service agreements during monthly coincident peaks.

		Hour					m Transmission Custo					Non-Firm
Month	Date	Ending	Colby	Oakley	Jetmore	LaCrosse	Ellinwood	Stafford	Sterling	St. John	Larned	Sales
January	1/0/00	0	0	0	0	0	0	0	0	0	0	0
February	1/0/00	0	0	0	0	0	0	0	0	0	0	0
March	1/0/00	0	0	0	0	0	0	0	0	0	0	0
April	1/0/00	0	0	0	0	0	0	0	0	0	0	0
May	1/0/00	0	0	0	0	0	0	0	0	0	0	0
June	1/0/00	0	0	0	0	0	0	0	0	0	0	0
July	1/0/00	0	0	0	0	0	0	0	0	0	0	0
August	1/0/00	0	0	0	0	0	0	0	0	0	0	0
Septembe	1/0/00	0	0	0	0	0	0	0	0	0	0	0
October	1/0/00	0	0	0	0	0	0	0	0	0	0	0
November	1/0/00	0	0	0	0	0	0	0	0	0	0	0
December	1/0/00	0	0	0	0	0	0	0	0	0	0	0
12-CP			0	0	0	0	0	0	0	0	0	0

Table 5. Non-Company Generation (kW) at Coincident Peak

Data reflect self-generation covered by grandfathered agreements operating synchronously with the Company's transmission system to serve transmission system demand not accounted for elsewhere.

	_	Hour										
	Date	Ending			Comp	any Providing Genera	tion on Transmission Sy	stem				Non-Company
Month												Gen. Demand
January	1/0/00	0	0	0	0	0	0	0	0	0	0	0
February	1/0/00	0	0	0	0	0	0	0	0	0	0	0
March	1/0/00	0	0	0	0	0	0	0	0	0	0	0
April	1/0/00	0	0	0	0	0	0	0	0	0	0	0
May	1/0/00	0	0	0	0	0	0	0	0	0	0	0
June	1/0/00	0	0	0	0	0	0	0	0	0	0	0
July	1/0/00	0	0	0	0	0	0	0	0	0	0	0
August	1/0/00	0	0	0	0	0	0	0	0	0	0	0
September	1/0/00	0	0	0	0	0	0	0	0	0	0	0
October	1/0/00	0	0	0	0	0	0	0	0	0	0	0
November	1/0/00	0	0	0	0	0	0	0	0	0	0	0
December	1/0/00	0	0	0	0	0	0	0	0	0	0	0
12-CP			0	0	0	0	0	0	0	0	0	0

Table 6. Non-Control Area Demand (kW) at Coincident Peak

Data reflect demand on the Company's transmission system that is electronically transferred to other control areas that is not accounted for elsewhere.

		Hour										Non-Control- Area Demand
	Date	Ending				Customer with Non-	Control Area Demand					(kW)
Month												
January	1/0/00	0	0	(0 0	0	0	0	0	0	0	0
February	1/0/00	0	0	(0 0	0	0	0	0	0	0	0
March	1/0/00	0	0	(0 0	0	0	0	0	0	0	0
April	1/0/00	0	0	(0 C	0	0	0	0	0	0	0
May	1/0/00	0	0	(0 0	0	0	0	0	0	0	0
June	1/0/00	0	0	(0 C	0	0	0	0	0	0	0
July	1/0/00	0	0	(0 C	0	0	0	0	0	0	0
August	1/0/00	0	0	(0 C	0	0	0	0	0	0	0
September	1/0/00	0	0	(0 0	0	0	0	0	0	0	0
October	1/0/00	0	0	(0 C	0	0	0	0	0	0	0
November	1/0/00	0	0	(0 0	0	0	0	0	0	0	0
December	1/0/00	0	0	(0 0	0	0	0	0	0	0	0
12-CP			0	(0 0	0	0	0	0	0	0	0

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Midwest Energy, Inc. Transmission Formula Rate Attachment H-1 to Open Access Transmission Tariff - Depreciation & Amortization Expense Annualization Test Year Ending 12/31/20xx

		[1]	[2] Plant	Adju	[3] stments nd/or	[4] Adjusted	[5] Annualized	Pro	[6] Forma ualized
Line		Account	Balance At		Plant	Plant	Depreciation		eciation
#	Description	Number	12/31/2015	Reclas	sification	Balance	Rate*		pense
						 [2]+[3]		[4]*[5]
	IBLE PLANT								
1	Organization	301	\$ -	\$	-	\$ -		\$	-
2	Franchise & Consent	302	\$ -			\$ -		\$	-
3	Miscellaneous Intangible Plant	303				\$ -	0.0500	\$ \$	-
4	TOTAL INTANGIBLE	Sum L 1 to L 3	\$ 	\$	-	\$ 		\$	-
соммс	ON PLANT - electric only (use same spread by GL as gra	nd total)							
5	Land and land rights	118-89	#DIV/0!	\$	-	#DIV/0!		#[DIV/0!
6	Structures, Improvements	118-90	#DIV/0!		-	#DIV/0!	0.0234	#[DIV/0!
7	Office furniture and equipment	118-91-00	#DIV/0!		-	#DIV/0!	0.0131	#[DIV/0!
8	CES Project	118-91-10	#DIV/0!			#DIV/0!	0.1429	#[DIV/0!
9	Other Computer Equipment	118-91-10	#DIV/0!			#DIV/0!	0.2433	#[DIV/0!
10	Transportation equipment		#DIV/0!		-	#DIV/0!	-	#[DIV/0!
11	Communications equipment	118-97	#DIV/0!		-	#DIV/0!	0.0503	#[DIV/0!
12	Other		 #DIV/0!		-	#DIV/0!			DIV/0!
13	TOTAL COMMON PLANT - Electric (see F1, p.356)	Sum L 5 to L 12	\$ 23,743,952	\$	-	 #DIV/0!		#[DIV/0!
TRANS	MISSION PLANT								
14	Land and land rights	350				\$ -		\$	-
15	Structures, Improvements	352				\$ -	0.0129	\$	-
16	Station Equipment (non-GMEC)	353				\$ -	0.0144	\$	-
17	Station Equipment (GMEC portion)	353				\$ -	0.0286	\$	-
18	Towers and Fixtures	354				\$ -		\$	-
19	Poles and Fixtures	355				\$ -	0.0160	\$	-
20	Overhead Conductors and Devices	356				\$ -	0.0178	\$	-
21	Underground Conduit	357				\$ -	0.0200	\$	-
22	Underground Conductor & Devices	358				\$ -	0.0200	\$	-
23	Other Transmission Plant					\$ -		\$	-
24	TOTAL TRANSMISSION PLANT	Sum L 14 to L 23	\$ -		-	\$ -	#DIV/0!	\$	-
GENER	AL PLANT								
25	Land and land rights	389		\$	-	\$ -		\$	-
26	Structures, Improvements	390		\$	-	\$ -	0.0170	\$	-
27	Office furniture and equipment	391		\$	-	\$ -	0.0236	\$	-
28	Transportation equipment	392		\$	-	\$ -	N/A	\$	-
29	Store equipment	393		\$	-	\$ -	0.0150	\$	-
30	Tools, shop and garage equipment	394		\$	-	\$ -	0.0227	\$	-
31	Laboratory equipment	395		\$	-	\$ -	0.0212	\$	-
32	Power operated equipment	396		\$	-	\$ -	0.0577	\$	-
33	Electric communications equipment	397		\$	-	\$ -	0.0322	\$	-
34	Miscellaneous equipment	398		\$	-	\$ -	0.0011	\$	-
35	Other tangible property	399		\$	-	\$ -		\$	-
36	TOTAL GENERAL	Sum L 25 to L35	\$ -		-	\$ -		\$	-
			 	-		 			

Midwest Energy, Inc. Transmission Formula Rate Attachment H-1 to Open Access Transmission Tariff - RTO Directed Transmission Projects Qualified for Regional Funding

Test Year 12/31/20xx

I.	Summary	of Actual RTO	Directed	Transmission Projects	
	(a)	(b)			

	 Summary of 		cted Transmission Proje									
	(a)	(b)		(c)	(d)	(e)	(f)	(g) Net Plant	(h) Gross	(i)	(j) KCC/FERC Approved	(k) Total Gross
					In Service	Gross		Carrying Chg.	Revenue Req.	Depreciation	Incentive	Revenue
Line	Proj. No.	Proj. ID	Upgrade ID	Description	Date	Plant	Net Plant	w/o Depr.	w/o Depr.	Expense	Adder	Requirements
	A. Base Plan P	rojects Qualified f	or Regional Funding (see)	WP2)		(see below)	(see below)	(see Apx. A, L125)	(f x q)	(see below)	(see WP3)	(h + i + j)
1	1				:	\$ -	#DIV/0!	0.00%	#DIV/0!	#DIV/0!		#DIV/0!
2	2				:	\$ -	#DIV/0!	0.00%	#DIV/0!	#DIV/0!		#DIV/0!
3	3				:	ş -	#DIV/0!	0.00%	#DIV/0!	#DIV/0!		#DIV/0!
4	4				:	\$ -	#DIV/0!	0.00%	#DIV/0!	#DIV/0!		#DIV/0!
5	5						#DIV/0!	0.00%	#DIV/0!	#DIV/0!		#DIV/0!
6	6				:	ş -	#DIV/0!	0.00%	#DIV/0!	#DIV/0!		#DIV/0!
7	7				:	ş -	#DIV/0!	0.00%	#DIV/0!	#DIV/0!		#DIV/0!
8	8				:	ş -	#DIV/0!	0.00%	#DIV/0!	#DIV/0!		#DIV/0!
9	9											
10	10											
11	11											
12	12	- · · ·			_							
13	Total Base Plan	n Projects			:	÷ -	#DIV/0!		#DIV/0!	#DIV/0!	\$ -	#DIV/0!
	B Balanced Pr	ortfolio Projects (s	see WP2)									
14	1		<u>, , , , , , , , , , , , , , , , , , , </u>			- 2	#DIV/0!	0.00%	#DIV/0!	#DIV/0!		#DIV/0!
14	2						\$ -	0.00%	*DIV/0:	#DIV/0!		#DIV/0!
16	3						š -	0.00%	š .	#DIV/0!		#DIV/0!
	Total Balanced	Portfolio Projects					#DIV/0!	0.0070	#DIV/0!	#DIV/0!	s -	#DIV/0!
	. eta. Balanceu					*					÷ -	

 18
 C. Reserved for Future Use

 19
 1

 20
 2

 21
 3

 22
 Total - reserved for future category

		Line - South	Hays - Hays	Plant - Vine St. 115 kV Ckt	1 #2	Line - MIDW Heizer -	Mullergren 11	15kV		Device - Kinsley Capac		
			oject	1	Upgrade ID	Project	2		Upgrade ID	Project	3	Upgrade ID
		SPP Proj.		316	10410	SPP Proj. ID	998		11312	SPP Proj. ID	30176	50184
		Plant B	alance by			Plant Balance b			Depreciation			Depreciation
Year	Month	M	onth	Monthly Depr. Rate	Depreciation Expense	Month	Monti	hly Depr. Rate	Expense	Plant Balance by Mo	nth Monthly Depr. Rate	Expense
20xx-1	Dec	\$	-			\$	-			\$		
20xx	Jan	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Feb	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Mar	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Apr	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	May	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Jun	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Jul	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Aug	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Sep	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Oct	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Nov	\$	-	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$	- #DIV/0!	#DIV/0!
20xx	Dec	S	-	#DIV/0!	#DIV/0!	s	-	#DIV/0!	#DIV/0!	S	#DIV/0!	#DIV/0!
				Sum lines 29 - 40		-	S	um lines 29 - 40	#DIV/0!		Sum lines 29 - 4	

	\$0.00				0				0			
	SPP Proj. ID)	Upgrade ID	10410	SPP Proj. ID)	Upgrade ID 1	11312	SPP Proj. ID	0	Upgrade ID	50184
Year	Gross PInt. (EOY)	Depr. Exp.	Accm. Depr.	Net Plant	Gross PInt. (EOY)	Depr. Exp.	Accm. Depr.	Net Plant	Gross PInt. (EOY)	Depr. Exp.	Accm. Depr.	Net Plant
2011												
2012		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!
2013		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!
2014		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!
2015		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!
2016												
2017												
2018												
2019												
2020												
2020												
2021												
2022												
2023												
2025												
2026												
2027												
2028												
2029					1				1			
2030												

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Midwest Energy, Inc. Transmission Formula Rate

Attachment H-1 to Open Access Transmission Tariff - RTO Directed Transmission Projects Qualified for Regional Funding

Test Year 12/31/20xx

		SPP I	Project Proj. ID	4 30190	Upgrade ID 50197
		Pla	ant Balance by		
Year	Month		Month	Monthly Depr. Rate	Depreciation Expense
20xx-1	Dec	\$	-		
20xx	Jan	\$	-	#DIV/0!	#DIV/0!
20xx	Feb	\$	-	#DIV/0!	#DIV/0!
20xx	Mar	\$	-	#DIV/0!	#DIV/0!
20xx	Apr	\$	-	#DIV/0!	#DIV/0!
20xx	May	\$	-	#DIV/0!	#DIV/0!
20xx	Jun	\$	-	#DIV/0!	#DIV/0!
20xx	Jul	\$	-	#DIV/0!	#DIV/0!
20xx	Aug	\$	-	#DIV/0!	#DIV/0!
20xx	Sep	\$	-	#DIV/0!	#DIV/0!
20xx	Oct	\$	-	#DIV/0!	#DIV/0!
20xx	Nov	\$	-	#DIV/0!	#DIV/0!
20xx	Dec	\$	-	#DIV/0!	#DIV/0!
				Sum lines 70 - 81	#DIV/0!

Р	roject	5	Upgrade ID
SPP Proj.	ID	30358	50411
Plant E	Balance by		Depreciation
N	lonth	Monthly Depr. Rate	Expense
\$	-		
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
\$	-	#DIV/0!	#DIV/0!
		Sum lines 70 - 81	#DIV/0!

Project	6	Upgrade ID
SPP Proj. ID	30358	50448
		Depreciation
Plant Balance by Month	Monthly Depr. Rate	Expense
\$ -		
\$ -	#DIV/0!	#DIV/0!
	#DIV/0!	#DIV/0!
\$ - \$ -	#DIV/0!	#DIV/0!
\$ -	#DIV/0!	#DIV/0!
\$ -	#DIV/0!	#DIV/0!
\$ -	#DIV/0!	#DIV/0!
	Sum lines 70 - 81	#DIV/0!

	Device-Pawnee 115 kV				Multi - Ellsworth - Bushto	on - Rice 115 kV			Multi - Ellsworth - Bushton	- Rice 115 kV		
	SPP Proj. ID	30190	Upgrade ID	50197	SPP Proj. ID	30358	Upgrade ID		1 SPP Proj. ID	30358	Upgrade ID	50448
Year	Gross PInt. (EOY)	Depr. Exp.	Accm. Depr.	Net Plant	Gross PInt. (EOY)	Depr. Exp.	Accm. Depr.	Net Plant	Gross PInt. (EOY)	Depr. Exp.	Accm. Depr.	Net Plant
2011												
2012	\$ -	#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!
2013		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!
2014		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!
2015		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!		#DIV/0!	#DIV/0!	#DIV/0!
2016												
2017												
2018												
2019												
2020												
2020												
2021												
2022												
2023												
2024												
2026												
2027												
2028												
2029												
2030												

		Project SPP Proj. ID	7 30426	Upgrade ID 50519	SE	Project P Proj. ID		Upgrade ID 50634	Project SPP Proj. ID	9	Upgrade ID
		Plant Balance by	30420	30313		Plant Balance by	50501	Depreciation			Depreciation
Year	Month	Month	Monthly Depr. Rate	Depreciation Expense		Month	Monthly Depr. Rate	Expense	Plant Balance by Mon	th Monthly Depr. Rate	Expense
20xx-1	Dec	\$-			\$	-			\$ -		
20xx	Jan	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Feb	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Mar	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Apr	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	May	\$ -	#DIV/0!	#DIV/0!	\$		#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Jun	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Jul	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Aug	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Sep	\$ -	#DIV/0!	#DIV/0!	\$		#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Oct	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Nov	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
20xx	Dec	\$ -	#DIV/0!	#DIV/0!	\$	-	#DIV/0!	#DIV/0!	\$ -	#DIV/0!	#DIV/0!
			Sum lines 112 - 123	#DIV/0!			Sum lines 112 - 123	#DIV/0!		Sum lines 112 - 123	#DIV/0!

				115 KV OKt I							· i lays i laitt - vi												
	SPP Proj. ID		3042	26		Upgrade ID			505	19 SPP	Proj. ID	305	507	Upgrade ID		50634	4 SPF	P Proj. ID			Upgrade ID		
Year	Gross Pl	nt. (EOY)		Depr. Exp.		Accm. Depr			Net Plant	G	oss Pint. (EOY)	Depr. Exp.	Accm. Depr.		Net Plant		Gross PInt. (EOY)		Depr. Exp.	Accm. Depr.		Net Plant
2011				r - r																			
2012	\$	-	s		- \$		-	\$	-	\$	-	\$		s -	s		\$		\$		s -	\$	-
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Midwest Energy, Inc. Transmission Formula Rate

Attachment H-1 to Open Access Transmission Tariff - RTO Directed Transmission Projects Qualified for Regional Funding

Test Year 12/31/20xx

		Project	10	Upgrade ID		Project	11	Upgrade ID			12	Upgrade ID	
[]		SPP Proj. ID Plant Balance by				SPP Proj. ID Plant Balance by		Depreciation		SPP Proj. ID		Depreciation	
Year	Month	Month	Monthly Depr. Rate	Depreciation Expense		Month	Monthly Depr. Rate	Expense		Plant Balance by Month	Monthly Depr. Rate	Expense	
20xx-1	Dec	\$ -				\$ -				\$ -			
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20xx	May	\$ -	#DIV/0!	#DIV/0!		\$ -	#DIV/0!	#DIV/0!		\$ -	#DIV/0!	#DIV/0!	
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20xx	Jul	\$ -	#DIV/0!	#DIV/0!		\$ -	#DIV/0!	#DIV/0!		s -	#DIV/0!	#DIV/0!	
20xx	Aug	\$ -	#DIV/0!	#DIV/0!		\$ -	#DIV/0!	#DIV/0!		\$ -	#DIV/0!	#DIV/0!	
20xx	Sep	\$ -	#DIV/0!	#DIV/0!		\$ -	#DIV/0!	#DIV/0!		\$ -	#DIV/0!	#DIV/0!	
20xx	Oct	\$ -	#DIV/0!	#DIV/0!		\$ -	#DIV/0!	#DIV/0!		s -	#DIV/0!	#DIV/0!	
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20xx	Dec	\$ -	#DIV/0!	#DIV/0!		\$ -	#DIV/0!	#DIV/0!		s -	#DIV/0!	#DIV/0!	
			Sum lines 155 - 166	#DIV/0!			Sum lines 155 - 166	#DIV/0!			Sum lines 155 - 166	6 #DIV/0!	
	Year	SPP Proj. ID Gross Pint. (EOY)	Depr. Exp.	Upgrade ID Accm. Depr.	Net Plant	SPP Proj. ID Gross Pint. (EOY)	Depr. Exp.	Upgrade ID Accm. Depr.	Net Plant	SPP Proj. ID Gross Plnt. (EOY)	Depr. Exp.	Upgrade ID Accm. Depr.	Ne
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194			Spearville – Wolf– Ax	tell 345 kV line	
195			Project	1	Upgrade ID
196			SPP Proj. ID	707	10941
			Plant Balance by		
197	Year	Month	Month	Monthly Depr. Rate	Depreciation Expense
198	20xx-1	Dec			
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203	20xx	May		#DIV/0!	#DIV/0!
204	20xx	Jun		#DIV/0!	#DIV/0!
205	20xx	Jul		#DIV/0!	#DIV/0!
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207	20xx	Sep		#DIV/0!	#DIV/0!
208	20xx	Oct		#DIV/0!	#DIV/0!
209	20xx	Nov		#DIV/0!	#DIV/0!
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211				Sum lines 199 - 210	#DIV/0!

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